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PUBLIC HEALTH SERVICE  
CENTERS FOR DISEASE CONTROL AND PREVENTION  
NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH**

convenes the

**ADVISORY BOARD ON  
RADIATION AND WORKER HEALTH**

VOLUME II

The verbatim transcript of the Meeting of the  
Advisory Board on Radiation and Worker Health held  
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# C O N T E N T S

VOLUME II  
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PARTICIPANTS (by group, in alphabetical order)	3
REGISTRATION AND WELCOME	
Dr. Ziemer	6
THE ROLE OF UNCERTAINTY ANALYSIS IN NIOSH-IREP	
Dr. Hoffman	8
RADIATION EFFECTIVENESS FACTORS (REF)	
Dr. Kocher	34
CASE STUDY RESULTS USING NIOSH-IREP	
Mr. Thomas	88
BOARD WORK SCHEDULE	
Ms. Homer, Dr. Ziemer, Mr. Elliott	134
ADMINISTRATIVE HOUSEKEEPING	
Mr. Elliott	156
PUBLIC COMMENT PERIOD	
Mr. Miller	160
Mr. Schofield	165
NIOSH-IREP TECHNICAL DOCUMENTATION, SUBJECT MATTER EXPERT COMMENTS, AND RADIATION EFFECTIVENESS FACTORS PAPER	
Board Discussion	165, 266
SPECIAL EXPOSURE COHORT PETITIONING NPRM	
Mr. Katz	169
Board Discussion	180
DOSE RECONSTRUCTION WORKGROUP RECOMMENDATION	
Mr. Griffon	230
Vote	245
ADJOURN	246

CERTIFICATE OF REPORTER . . . . .	248
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P R O C E E D I N G S

8:29 a.m.

**DR. ZIEMER:** Good morning, everyone. I want to call us back to order for our second day of our fifth meeting.

I think that everybody I see was probably here yesterday. If there is anyone who was not here yesterday, I'd like to ask you to please register in the log book back on the table. I have just one other announcement at this time for the members of the Advisory Board, and that is if you have more materials than you wish to carry aboard the plane and want those shipped to you, please let Cori know and she'll make arrangements with you to ship whatever materials you want her to -- within limits, I suppose, but anyway --

**UNIDENTIFIED:** If you're not shipping antiques -

(Laughter)

**DR. ZIEMER:** Right, antiques that you've bought.

We have a full session this morning. We're pleased to have several speakers here that will be addressing the IREP risk models, the uncertainty analysis, and the radiation

1 effectiveness factors. Those speakers are Dr.  
2 Owen Hoffman, Brian Thomas, and David Kocher.  
3 These three gentlemen are with SENES Oak Ridge,  
4 and I might tell you that that particular group  
5 originally worked with NCI and had a contract, I  
6 believe, with NCI to update the 1985 models; and  
7 then more recently then has had a contract with  
8 NIOSH to make the NCI-IREP adapted to the NIOSH  
9 approach. So they've been very heavily involved  
10 in the risk models, the uncertainty analysis, and  
11 radiation effectiveness factors.

12 So we're going to begin with Dr. Owen  
13 Hoffman, and then that'll be followed by a  
14 presentation by Brian Thomas, and then  
15 presentation by David Kocher. We've set aside  
16 two hours for these three presentations. There  
17 will be time during each of those, I think, for  
18 some discussion, even though we have a separate  
19 discussion period later.

20 Now one thing I want to mention to you that  
21 -- and Owen has already suggested that we do this  
22 -- and that is that if there are certain  
23 questions that he feels might be better answered  
24 by others who are not here, and more specifically  
25 by Dr. Land, we will in a sense collect those



1 questions. Dr. Land is standing by at his office  
2 and will join us, if needed, by conference call  
3 during the discussion period. So if questions  
4 are identified that either you wish to direct to  
5 Dr. Land or that Owen or his colleagues believe  
6 would be best answered by Dr. Land, we will set  
7 those questions aside until the 10:45 discussion  
8 period, at which time Dr. Land will be available  
9 to join us by conference call or speaker phone, I  
10 guess.

11 So with that, Owen, we'll let you kick it  
12 off, and then your other colleagues can join you  
13 as needed along the way. We appreciate your  
14 being here.

15 **DR. HOFFMAN:** I think with all the meetings  
16 I've attended and all the times I've had to do  
17 this, that this would be automatic. It's a  
18 pleasure to be invited to address you this  
19 morning. We've been involved for a period of  
20 perhaps three years in adapting the Interactive  
21 RadioEpidemiological Program for calculating  
22 probability of causation. And as Paul Ziemer  
23 mentioned we first started this under contract  
24 with the National Cancer Institute, and most  
25 recently have had a contract to make this program

1 available over the web for NIOSH in facilitating  
2 their implementation of worker's compensation  
3 legislation.

4 When I was asked by Jim Neton to come here,  
5 the issue at hand was can we increase the  
6 transparency of IREP? Evidently at your last  
7 meeting there was quite a bit of conversation  
8 from around the table and from the audience that  
9 the web version appeared to be somewhat like a  
10 black box, and that IREP wasn't as transparent as  
11 it could be. Well, our objective today before  
12 you is to try to make things as transparent as  
13 possible, and we are prepared to answer any  
14 question that you have. If you'd like to see  
15 what changes would be made in the final result as  
16 the result of changing input assumptions, we'll  
17 do that. We've got the source code with us, and  
18 so we're prepared to give you complete insight  
19 into this code.

20 Those of us from SENES Oak Ridge really had  
21 involvement with the code itself. The decisions  
22 about the risk coefficients, the actual models to  
23 be used in transferring the risk from Japanese to  
24 the U.S. population have been the responsibility  
25 of the scientists working with the National

1 Cancer Institute.

2 The estimation of the probability that past  
3 exposure to radiation caused a diagnosed cancer  
4 is primarily the product of three simple factors:  
5 quantifying the organ-specific exposure,  
6 translating that exposure into risk, and  
7 accounting for uncertainty in these two steps  
8 that then is put into the mathematical  
9 transformation that accounts for a probability of  
10 causation, whereby probability of causation is  
11 simply the risk from radiation divided by the  
12 risk from radiation plus the risk from all other  
13 sources.

14 Probability of causation is sometimes  
15 referred to as assigned share. Assigned share is  
16 the fraction of disease in a heterogenous  
17 population that would not have occurred in the  
18 absence of that exposure for all individuals of  
19 the same exposure category, such as dose, gender,  
20 age at exposure, age at diagnosis, time between  
21 exposure and onset of disease, ethnic background,  
22 et cetera. Assigned share is a conceptually  
23 measurable quantity. You can measure it.  
24 Probability of causation for an individual is not  
25 measurable. An individual's either going to get

1 disease from exposure or he's not going to get  
2 disease. For an individual, probability of  
3 causation is simply the weight of evidence that  
4 the disease could have been caused by that  
5 exposure. Assigned share, however, is a  
6 attribute of a population and is a measurable  
7 quantity.

8 The basic calculation of probability of  
9 causation in the Interactive RadioEpidemiological  
10 Program is simply the ratio of excess relative  
11 risk divided by excess relative risk plus one.  
12 The quantity excess relative risk plus one is  
13 known in epidemiological circles as the relative  
14 risk, so excess relative risk divided by relative  
15 risk equals probability of causation.

16 The excess relative risk is a product of risk  
17 coefficient, excess relative risk per unit dose  
18 at sievert times the dose. And it is the  
19 uncertainty in the risk coefficient times the  
20 uncertainty in dose that gives us the uncertainty  
21 in the excess relative risk. So you see that the  
22 uncertainty in probability of causation is just a  
23 function of the uncertainty in the calculated  
24 excess relative risk.

25 The program IREP is probably the most

1 extensive use of full quantitative uncertainty  
2 analysis and risk assessment to date, so it's a  
3 major step forward in how we calculate the risk  
4 from radiation -- in fact, how we calculate the  
5 risk from any type of hazardous substance.

6         Uncertainty is considered using probability  
7 distributions, and probability distributions are  
8 assigned to the organ equivalent dose. This must  
9 be defined by those responsible for doing the  
10 dose reconstruction. The original relative  
11 excess risk per unit dose is also considered as a  
12 probability distribution, but what goes into this  
13 is the original statistical uncertainty in the  
14 dose response as defined by age at time of  
15 exposure, gender, attained age at the time of  
16 onset of the disease, and numerous other factors.

17         But there's also bias or uncertain bias that  
18 is accounted for due to the random systematic  
19 errors associated with the original dosimetry  
20 that was incorporated in the analysis of the  
21 atomic bomb survivors. Well, this accounts for  
22 the fact that -- what is it -- BS-86 dosimetry is  
23 subject to update, and what kind of uncertainties  
24 would be introduced as a result of that impending  
25 update.

1           Uncertainty is also assigned to the selection  
2           of different mathematical models used to transfer  
3           the observed risk in the Japanese population to a  
4           member of the U.S. population, and this primarily  
5           accounts for differences in background incidence  
6           rates and differences between an additive, a  
7           multiplicative, and/or any combination of  
8           additive and multiplicative models for  
9           transferring risk from one population to another.

10          David Kocher is here to talk about one of the  
11          areas where there's been a major improvement in  
12          the way we look at quantification of radiation  
13          risk, and that is the assignment of probability  
14          distributions to account for the uncertainty in  
15          the radiation effectiveness of exposure to  
16          radiation types other than high energy gamma  
17          rays. Why high energy gamma rays? It's because  
18          that's what the Japanese survivor data is  
19          primarily based on. And now we're looking at  
20          very low energy gammas like X-rays or low energy  
21          betas like tritium, alpha particles or various  
22          energies of neutrons, we will have probability  
23          distributions assigned to those. And as David  
24          will mention, these probability distributions  
25          don't necessarily overlap with the default

1 assumptions recommended by national committees  
2 that recommend values for radiation protection  
3 purposes.

4 One of the areas that I know has been a  
5 subject of interest among your committee is what  
6 do we do about extrapolation from information  
7 from the Japanese survivors to conditions where  
8 individuals have been exposed at low doses and at  
9 low dose rates. Low dose rates mean chronic  
10 exposures, where there are several exposures in  
11 sequence over a number of years.

12 Well, this is accounted for as what's called  
13 a DDREF. That just means a dose and dose-rate  
14 effectiveness factor. It's using the denominator  
15 of the equation, so the higher the value of the  
16 DDREF or dose and dose-rate effectiveness factor,  
17 the lower is the adjustment of risk. The DDREF  
18 is used for both acute and chronic exposures to  
19 low LET radiation. But for acute exposure it  
20 only comes in when the exposures are below  
21 something that ranges between two and 20  
22 centisieverts. As you will see, there is a small  
23 possibility accounted for for an inverse dose  
24 rate effect for both low and high linear energy  
25 transfer radiation. This means that there is a

1 possibility accounted for that the DDREF may be  
2 superlinear or less than one.

3 Now the probability distributions used in  
4 IREP mostly reflect uncertainty that accounts for  
5 our subjective states of knowledge, as opposed to  
6 variability associated with an experimental  
7 design or repetitive observations. This is  
8 important to keep in mind. The probability  
9 distributions that describe stochastic  
10 variability from random observations in an  
11 experiment, these distributions must obey the  
12 laws of nature. Normal distributions, lognormal  
13 distributions are typically the most common that  
14 come out of such experiments.

15 State of knowledge distributions can be any  
16 shape necessary to represent the space within  
17 which the true but unknown value is likely to  
18 occur. And in IREP you'll see that there are a  
19 whole variety of distribution functions that are  
20 used to express our state of knowledge. Some are  
21 discrete, with weights given at specific values.  
22 Some are continuous -- normal, lognormal, uniform  
23 distributions, triangular, trapezoidal. And many  
24 are hybrids of various distributions to reflect  
25 the impact of alternative datasets. It's the



1 most, I would say, sophisticated use of combining  
2 various sets that contribute to our state of  
3 knowledge to represent this within a state of  
4 knowledge probability distribution.

5 To give you an example, here is the current  
6 distribution used in IREP for the dose and dose-  
7 rate effectiveness factor for solid tumors,  
8 except for breast and thyroid. And you can see  
9 that the primary weight is given to values  
10 between 1.0 and 3. A value of 1.0 means that  
11 there is complete linearity between health  
12 effects seen at high acute exposures and that  
13 that occurs at low doses and low dose rates. The  
14 higher the value of the DDREF, the more there is  
15 an adjustment downward in risk, the more the risk  
16 is suppressed; which means that exposure to  
17 chronic doses will give a lower risk. Notice  
18 that there is about a 80 percent probability for  
19 values between one and two; about a 15 percent  
20 probability for values at three and/or greater; a  
21 five percent probability for values less than  
22 one; and a 25 percent probability for values at  
23 one or less.

24 Now if we look at breast and thyroid, almost  
25 the same but not quite. There's increased weight

1 of evidence for linearity. Still the bulk of the  
2 distribution is between 1 and 3; a small  
3 probability out at 4.0; and about the same  
4 probability, five percent, for values less than  
5 1. The reason for this is the increased evidence  
6 for these two organs that radiogenic cancer is  
7 linear.

8 Now some of you asked about, well, how does  
9 this whole thing work, and how does Monte Carlo  
10 simulation affect the final outcome? What  
11 happens is that we have the probability of  
12 causation model. This is the Interactive  
13 RadioEpidemiological Program. This is a  
14 mathematical model that translates dose and  
15 disease into probability of causation. All of  
16 the uncertain inputs are expressed as a variety  
17 of probability distributions. One value at  
18 random is selected from each distribution to  
19 produce a randomized outcome. This is repeated  
20 over and over until there are a large number of  
21 possible outcomes that are tabulated, and from  
22 this we can get a central estimate, and in this  
23 case a 95 percent confidence interval.

24 For the purposes of adjudication of claims,  
25 the Veterans Administration and NIOSH and the

1 Department of Labor -- actually it's in the --  
2 the acronym, I can't pronounce it -- it's in the  
3 law that the upper 99th percentile of this  
4 population of numbers will be used for decision-  
5 making and the adjudication of claims. And the  
6 reason why such an extreme value is used is to  
7 give the benefit of the doubt to those who have  
8 been exposed. This is not a decision we have  
9 made. This is a decision that's was made  
10 external to the effort that we have put into  
11 quantifying uncertainty.

12 In fact, I read the minutes of your last  
13 meeting, and in those minutes there is numerous  
14 discussions about all the decisions that have  
15 been made within IREP to be claimant-friendly.  
16 We have made not a single one. Not a single  
17 assumption that we have made that has been  
18 intentionally made to be claimant-friendly. What  
19 we've tried to do is to capture our state of  
20 knowledge quantitatively, albeit many of these  
21 decisions are the result of our collective  
22 judgment, but subject to peer review. And we  
23 have structured IREP in such a way that in the  
24 future if there is a need for updating, it can be  
25 readily updated.

1           Now here's an example of results that are  
2           produced by IREP, and the example is a person  
3           exposed at age 24 who has come down with thyroid  
4           cancer at age 60. He was exposed to a thyroid  
5           dose of -- here I have 15 centigray, but 15  
6           centigray and 15 centisieverts are identical for  
7           low LET radiation to high energy gammas. The  
8           dose is uncertain, but we've given a modest  
9           uncertainty which would be a geometric standard  
10          deviation of 1.4. That's about a factor of two  
11          either side of this central estimate.

12          As a result of 2,000 Monte Carlo simulations  
13          using Median Latin Hypercube Sampling -- and I  
14          won't go into that, but that's the mechanism  
15          that's used for sampling -- here is the outcome.  
16          Notice that the central estimate only shows about  
17          a 12 percent probability of causation. The upper  
18          95th percentile often used for decision-making  
19          would still be less than a 40 percent probability  
20          of causation. However, at the 99th percentile,  
21          that percentile that has been deliberately chosen  
22          for decision-making, that would cause this person  
23          to be eligible for claims.

24          A feature of IREP that I know that some of  
25          you aren't familiar with, and this is an

1 important feature because we know that we're  
2 working in an atmosphere of imperfect knowledge.  
3 We know that although we have tried to account  
4 for all sources of uncertainty, that the state of  
5 knowledge progresses on. And so in addition to  
6 building this code so it can be readily updated,  
7 we've also allowed for additional sources of  
8 uncertainty to be included with adequate  
9 justification. This justification should require  
10 written rationale.

11 And what we have within IREP -- and Brian  
12 Thomas will demonstrate this -- is an additional  
13 variable that functions like an overall bias  
14 correction factor that is uncertain, with the  
15 central value and the width of the uncertainty in  
16 this parameter, will adjust the final excess  
17 relative risk. The rationale for such adjustment  
18 could be an individual whose background rates of  
19 cancer are known to be significantly different  
20 from those of the national average, updates in  
21 radiogenic cancer risk for certain disease end  
22 points, or as new information comes forward from  
23 worker populations. This back door can be used  
24 to justify additional modifications to the  
25 overall outcome.

1           But the point I want to make is that it was  
2           our intent that this just not be used willy-  
3           nilly; that, Larry, there should be good, strong  
4           scientific rationale for its implementation.

5           The default of this additional uncertainty  
6           factor is a lognormal distribution with a mean of  
7           one and a geometric standard deviation of one.  
8           What does that mean? Means it's constant.  
9           There's no effect at all currently. But if the  
10          mean were kept at one and this geometric standard  
11          deviation were changed to, let's say, 1.4, that  
12          would increase the overall uncertainty in the  
13          expression of probability of causation. If the  
14          geometric mean were to change to two, it means  
15          that we would have an overall bias whereby we  
16          felt that the current estimates in IREP were  
17          underestimating the probability of causation, and  
18          this could be used to adjust the entire  
19          distribution upward by a factor of two. If this  
20          were to go down to, let's say, .33, it means that  
21          we felt we were overestimating the probability of  
22          causation, and the whole distribution could be  
23          adjusted the other way by a factor of three.

24          So in summary in this introductory  
25          presentation, IREP starts with original risk

1 factors that come from the follow-up of the  
2 lifespan study of the Japanese cohort that is  
3 formed from the survivors of the atomic bombings  
4 of Hiroshima and Nagasaki. What's new is unlike  
5 past risk estimates that are based on mortality,  
6 this one is now based on incidence. And the  
7 basic data used in IREP is incidence-based. I  
8 think this is the first time anywhere in any  
9 radiation risk assessments that the incidence  
10 data have been used directly, as opposed to risk  
11 estimates being derived from mortality  
12 statistics.

13 The only organs not using the Japanese data  
14 would be the thyroid, in which case the pooled  
15 study from Ron, et al. in 1995 is the basic  
16 dataset, and for lung cancer exposures to radon  
17 is used as the primary dataset for the case where  
18 exposures to radon are explicitly quantified in  
19 terms of working level months' exposure.

20 These original epidemiological estimates are  
21 adjusted for errors in the epidemiological  
22 dosimetry. Those errors are further adjusted for  
23 the uncertainty associated with the transfer of  
24 risk from the Japanese to the U.S. population,  
25 and this accounts for both the uncertainty in the

1 models as well as uncertainty in the differences  
2 in the background incidence rates.

3 For low dose and chronic exposures, it's  
4 further adjusted for that dose and dose-rate  
5 effectiveness factor. And then the final excess  
6 relative risk per sievert can be adjusted using  
7 this user or claimant-justifiable uncertainty  
8 factor. To date it hasn't been used, and to date  
9 it is just simply set as a constant.

10 That's my introduction.

11 **DR. ZIEMER:** Thank you, Owen. I think we'll  
12 take a few moments for some questions here. Let  
13 me begin simply by asking you, in our handout  
14 there are three slides that deal with dose and  
15 dose-rate effectiveness factor that you either  
16 omitted or are holding for later. Were you  
17 intending not to cover those?

18 **DR. HOFFMAN:** You led right into the reason  
19 that I decided to hold them, because I wanted to  
20 wait for a question to come up.

21 (Laughter)

22 **DR. HOFFMAN:** Because I know this has been a  
23 subject of interest, but I didn't want to give  
24 you everything I knew.

25 **DR. ZIEMER:** Is there anything else you're



1 holding back?

2 **DR. HOFFMAN:** Hoping that a question would  
3 come forward, I used the advanced features of  
4 PowerPoint to hide these slides -- but you have  
5 them in your handouts -- to show what other  
6 distributions have people used in quantifying the  
7 uncertainty in radiogenic cancer risk.

8 The first attempt to formally quantify  
9 radiogenic cancer risk was in Publication 126 of  
10 the National Council on Radiation Protection and  
11 Measurements. And Dr. Charles Land, Andre  
12 Bouville, and Warren Sinclair were the principal  
13 authors of that report. That report used a state  
14 of knowledge distribution -- no named shape to  
15 this; it looks like a compounded series of  
16 triangular distributions with the left-hand side  
17 truncated at 1, peak value at 2, and then  
18 diminishing but stopping at 5.0.

19 Now the interesting part of this distribution  
20 is that linearity or 1.0 is not sampled at all,  
21 so there's no weight given to 1.0 here. There is  
22 weight given to values slightly above 1.0, but in  
23 a continuous distribution like that neither  
24 values at 5 or at 1 are sampled. This was a  
25 subject that was brought up in the Science

1       Advisory Board review of EPA's uncertainty in  
2       radiogenic cancer risk, and Gen and I were  
3       associated with that effort.

4               Well, here's what EPA did. And this is 1999,  
5       EPA's addendum to their radiogenic cancer risk.  
6       And this is the small report written on their  
7       attempt to quantify uncertainty in radiogenic  
8       cancer risk, and this is the distribution that  
9       they put in for all solid tumors other than  
10      breast and thyroid. Again, it goes from 1 to  
11      very small weights given to values greater than  
12      5. However, most of the distribution is between  
13      1 and 2. Because it's a continuous distribution,  
14      values at 1 aren't sampled. And again this was a  
15      subject that we discussed in our Science Advisory  
16      Board review, and EPA's answer was, well, if we  
17      put some weight here at 1, it would only change  
18      the overall results by about 10 percent. So they  
19      didn't do it.

20             This was an issue that I think over the last  
21      few years we battled and debated amongst the team  
22      of us working on IREP, and finally what  
23      influenced us to try for something different was  
24      the dose reconstruction for Rocky Flats. And  
25      this is Warren Sinclair, Helen Grogan, and

1 others, who looked at the NCRP distribution and  
2 said, well, there's evidence from the Japanese  
3 bomb survivors, and some animal experiments as  
4 well as some other human epidemiological studies,  
5 that says that even some superlinearity cannot be  
6 discounted. And so they went down as low as .2,  
7 but basically used the NCRP distribution and  
8 added this small probability to an inverse dose  
9 rate effect.

10 We looked at the information and said that,  
11 well, basically there is not a whole lot of  
12 epidemiological and experimental evidence to  
13 allow us to dictate a distribution of any shape,  
14 and that's why we put weights at discrete values  
15 and used a discrete distribution for both breast  
16 and thyroid and distributions for all other solid  
17 tumors.

18 Now for leukemia there is no DDREF used.  
19 It's just a -- basically it's a linear quadratic  
20 dose response. And that linear quadratic dose  
21 response has the effect that at low chronic  
22 exposures the risk is about a factor of two less  
23 than it would be at high acute exposures.

24 I'm not hiding any other slides. You've now  
25 seen all of them.

1           **DR. ROESSLER:** You led right into a question  
2 I have, and that's why do you use the DDREF for  
3 the solid tumors and then the linear quadratic  
4 for leukemia, when aren't they essentially the  
5 same? Or is there some fine difference that I'm  
6 not recognizing? Or are you trying to make it  
7 line up with the BEIR reports?

8           **DR. HOFFMAN:** Neither, neither. This is --  
9 and here's a case where the ultimate authority on  
10 that is Charles Land.

11           But since I've got the floor I will try to  
12 mimic what I know his answer would be, and that  
13 is that the data are far better developed for  
14 leukemia than perhaps any other organ, and it is  
15 clear from the statistical analysis of those data  
16 that it follows a linear quadratic relationship.  
17 It's also clear, however, that in looking at all  
18 other solid tumors that it is not a linear  
19 quadratic relationship. And in fact, for the  
20 range over which one sees a statistically  
21 significant excess relative risk, the model is  
22 more linear than anything else.

23           But we can reserve that as one of the  
24 questions we ask Charles when he gets on the line  
25 to get his viewpoint on it.

1           **MR. GRIFFON:** I guess I was looking for one  
2 other hidden overhead there. You mentioned that  
3 the analysis of the Hiroshima data showed some  
4 superlinearity, and I wondered did they recommend  
5 a separate distribution for the DDREF value? You  
6 said Grogan incorporated that into their  
7 distribution. Did the Hiroshima researchers --

8           **DR. HOFFMAN:** No.

9           **MR. GRIFFON:** -- recommend any distribution?

10          **DR. HOFFMAN:** No, they just report their  
11 observations. They make no recommendations.

12          **MR. GRIFFON:** Can you give the reference for  
13 that? What reference, and what was their  
14 citation? Some superlinearity, or was it more  
15 specific?

16          **DR. HOFFMAN:** Well, I believe it's the most  
17 recent publication on cancer mortality by Preston  
18 and Pierce -- either Preston and Pierce or Pierce  
19 and Preston, 1996, *Radiation Research*. I think  
20 if you look in the back of your documentation of  
21 Charles' report that I think has been circulated  
22 to all of you, the exact citation's in there.

23                 Yes, Gen.

24          **DR. ROESSLER:** I thought it was interesting  
25 you talked about the ability of IREP to deal with

1 additional sources of uncertainty. And I'm  
2 wondering on the thyroid, now that the Hanford  
3 Thyroid Disease Study -- do you feel like you're  
4 getting in a corner? -- now that the results of  
5 that study are final, will that make any impact  
6 on the adjustment of the geometric mean in IREP?

7 **DR. HOFFMAN:** I'm going to try to divorce my  
8 personal opinion on that subject with what I  
9 would consider a more direct answer, and the  
10 direct answer is that IREP is amenable to  
11 upgrades in the state of knowledge as the state  
12 of knowledge evolves. And I think the final  
13 Hanford Thyroid Disease Study has only been out  
14 for a matter of days. And I don't know about  
15 you, but I have not even had a chance to read it  
16 to know what effect that would have.

17 My personal opinion is I still don't think it  
18 has the power to sort out signal from the noise.  
19 And I think if one looks at the confidence  
20 intervals that would take into account  
21 uncertainty in dosimetry, especially shared  
22 sources of uncertainty and uncertainty that would  
23 be associated with what I call differential bias  
24 -- in other words, the potential to underestimate  
25 the high end of the distribution and overestimate

1 the low end of the distribution. You see those  
2 confidence intervals that clearly overlap risk  
3 coefficients in IREP. But I say that having seen  
4 the previous Hanford Thyroid Disease Study. I  
5 haven't look at this final version.

6 The bottom line is as the state of knowledge  
7 changes, IREP is amenable to updating. And one  
8 of the advantages in having it on the web is you  
9 can update it in one place and that update is  
10 available to the world, as opposed to putting it  
11 on CDs and having to generate thousands of new  
12 CDs every time there's an update.

13 **DR. DEHART:** Your comment just covered what I  
14 was going to say, that is the dynamic process of  
15 IREP over time. In that context, then, as  
16 epidemiological studies come forward, how are you  
17 validating and making adjustments?

18 **DR. HOFFMAN:** Well, our future role with IREP  
19 is uncertain, and so I can't answer that  
20 question. I can just say the design is that it's  
21 amenable to frequent updates. And each new  
22 epidemiological piece of information is a form of  
23 validation. And if it becomes clear that the  
24 upper bound of these uncertainty distributions  
25 are simply rewarding for the presence of lack of

1 knowledge, well, new information should justify a  
2 change.

3 Now of course the political difficulty is  
4 this, is what happens in the presence of lack of  
5 knowledge that a person today qualifies for  
6 compensation, and then as new knowledge comes  
7 forward the person is suddenly ineligible?  
8 That's outside the realm of our influence.  
9 That's your job, to deal with these really  
10 difficult situations whereby simply by rewarding  
11 for uncertainty that a person could be eligible  
12 for compensation today and not be eligible for  
13 compensation as the state of knowledge improves.

14 **DR. ZIEMER:** Tony.

15 **DR. ANDRADE:** I gather that if I were to ask  
16 you what was the real baseline baseline start for  
17 IREP, you would probably say the ICRP-60 risk  
18 coefficients insofar as calculating excess ERR,  
19 the excess risk -- no?

20 **DR. HOFFMAN:** I'm glad you said that. No.  
21 No, ICRP-60 is 1990. The real baseline baseline  
22 is the 1994 Thompson, et al. report and its  
23 associated datasets in radiation research.

24 But the National Cancer Institute made new  
25 analyses on that data, so you can't just get into



1 Thompson 1994 and map directly from that study  
2 onto what's in IREP. There have been -- and it's  
3 described in the write-up -- numerous re-analyses  
4 of age at time of exposure, time since exposure,  
5 attained age effects, gender effects in order to  
6 build in as much defensible specificity as is  
7 possible. And it probably could go on and on,  
8 but at some point you have to draw things to a  
9 close. And what you're seeing is the outcome of  
10 three years' worth of work.

11 **DR. ANDRADE:** Okay. Well, my point was going  
12 to be simply this, is that you've used  
13 information that has evolved tremendously since  
14 ICRP was put out, and even ICRP-60 attempted to  
15 use factors including gender, time at -- during  
16 the lifetime at which the person was exposed,  
17 age, that sort of thing.

18 And so what I wanted to do is just clarify or  
19 address a comment that was made yesterday, that  
20 apparently we in the health physics community  
21 have been trying to use only Japanese survival  
22 data to calculate these probabilities -- or risk  
23 coefficients, let's put it that way, let's be  
24 more precise -- risk coefficients. And the  
25 answer to that is that that is not true. We have

1 used all sorts of studies, one of which, only one  
2 of which has been the Japanese survivor data.  
3 And I just wanted to emphasize that point for the  
4 audience here in general.

5 **DR. HOFFMAN:** I wish I could adopt your  
6 enthusiasm. The truth is that the bulk of this  
7 really is the Japanese survivors data. But the  
8 radon, the radon cohorts and the thyroid are  
9 exceptions to that. I think if there is a major  
10 -- a major upgrade to all of this would be to  
11 include within the uncertainty analysis other  
12 options from other studies, such as worker  
13 studies and looking at outcomes from those. But  
14 that will be the job of a committee with more  
15 resources than what was available to the  
16 committee that put this together.

17 **DR. ANDRADE:** Exactly. But for example, in  
18 the case of lung cancer, the radon data and the  
19 radon studies would heavily weigh into those risk  
20 coefficients.

21 **DR. HOFFMAN:** In this case lung cancer itself  
22 does come from Japanese survivors, as long as the  
23 exposure is coming from low LET radiation. But  
24 for radon exposure directly, the working level  
25 month being the source of exposure, then it

1 changes over to use radon cohorts. And the bulk  
2 of that is the uranium miners.

3 Well, if I might introduce the next speaker  
4 --

5 **DR. ZIEMER:** Yes, please.

6 **DR. HOFFMAN:** When we were invited to come, a  
7 person that I felt was absolutely essential to be  
8 here is the person responsible for, I think, one  
9 of the major contributions to IREP. And this  
10 contribution has been done under the sponsorship  
11 of NIOSH, and that is to address the risk of  
12 other radiation types other than high energy  
13 gammas. That was an assignment given to us,  
14 assignment that I charged Dr. David Kocher with.

15 Dr. David Kocher is a health physicist that's  
16 had 30 years experience at Oak Ridge National  
17 Laboratory. Some of you from the health physics  
18 community are well aware of his publications.  
19 We've had the privilege of having Dave work with  
20 us for over a year now at SENES Oak Ridge. And  
21 Dave does things the old-fashioned way -- that  
22 is, with overheads.

23 **DR. KOCHER:** Anybody remember lantern slides?  
24 That's sort of where I come from.

25 Owen gave a good introduction to my remarks

1 when he commented that we've been looking at  
2 issues of how different types of radiation differ  
3 in their effectiveness in causing cancers in  
4 humans. And we have looked at neutrons, alpha  
5 particles, photons of different energies, and  
6 electrons of different energies. We haven't yet  
7 gotten into some real exotic stuff like nuons and  
8 very high energy neutrons, things that probably  
9 aren't encountered everyday in the Department of  
10 Energy system, but who knows?

11 What is new and exciting about all of this,  
12 as far as I'm concerned? Well, these different  
13 effectivenesses have been taken into account in  
14 radiation protection for 40 years now. ICRP-2  
15 had some assumptions about the effectiveness of  
16 alpha particles relative to gamma rays, and  
17 neutrons have been well known and studied, going  
18 back to the beginning of radiation biology. But  
19 what has never really been done in a broad scope  
20 before is to express these factors in terms of  
21 uncertainty.

22 In radiation protection you choose point  
23 values -- 20 for alpha particles, you're all  
24 familiar with this. But for purposes here of  
25 calculating the probability of causation of a

1 cancer in a real person who got a real dose, and  
2 if you want to express your state of knowledge,  
3 you must do this using uncertainty.

4 And there have been some limited efforts in  
5 other areas in the recent past -- for example,  
6 the Rocky Flats dose reconstruction did  
7 incorporate uncertainties in biological  
8 effectiveness of alpha particles from plutonium  
9 in that analysis. It has not yet been applied to  
10 real people. Tritium has been looked at from an  
11 uncertainty point of view in a limited context  
12 that Owen and Brian worked on for Berkeley Labs.  
13 But this is really the first time that I'm aware  
14 of that a broad approach to trying to capture  
15 uncertainty in a human health risk assessment has  
16 been done. So therefore we will be subject to  
17 lots of potshots, and deservedly so.

18 I know you all have read, from cover to  
19 cover, the 77-page report which was posted on the  
20 Internet not too long ago. That's an awful lot  
21 of stuff. And let me really tell you in 30  
22 seconds what I tried to do there. I tried to  
23 disclose, as fully and completely as I could, the  
24 thought process we went through to try to develop  
25 uncertainty distributions for these different

1 factors. If you go into ICRP and try to discover  
2 how do they come up with 20 for alpha particles  
3 or whatever, complete silence -- absolute,  
4 complete silence.

5 So really the bulk of this 77-page current  
6 version of this report is I tried to explain what  
7 we did. What we did has a lot of weaknesses. It  
8 has some strengths. What I'm going to try to do  
9 today -- I don't want to go too much into a lot  
10 of technical detail here, because I know most of  
11 you aren't necessarily that interested in really  
12 the fine details. But your mother said you've  
13 got to eat your spinach every once in a while, so  
14 there will be a little bit of that. But what I  
15 really want to try to do is to give you a feeling  
16 of what we did. What were the sources of  
17 information that we had to develop uncertainty  
18 distributions for different radiation types?  
19 What were the judgments that we made to come up  
20 with our final answer? And what are the  
21 weaknesses, what are areas where I am absolutely  
22 sure that better work could be done?

23 And I'll try to point in those directions,  
24 because there are a couple of areas here where we  
25 really are looking -- we eagerly would like to

1 have positive feedback or helpful comments and  
2 suggestions from anyone. We are open to changes  
3 in any of this. But I will try to point out to  
4 you a few areas that I feel like particular  
5 attention could be paid to doing things better.

6 Well, there's an awful lot of information in  
7 the radiobiological literature on the biological  
8 effectiveness of different radiation types. RBE,  
9 that's the acronym in radiation biology that  
10 stands for relative biological effectiveness.  
11 But we have a new term, REF, radiation  
12 effectiveness factor, and it's explained in the  
13 report. But the short answer is that what we are  
14 coming up with is not RBEs, because RBE is what  
15 you get when you do a specific radiobiological  
16 experiment. And I can say, mercifully, that we  
17 don't have a lot of human data on what we're  
18 looking for. So we need a new word, and I'm glad  
19 that you all are using radiation effectiveness  
20 factor in your everyday lingo, because I  
21 certainly hope this term catches on.

22 But there's enough literature data out there  
23 that could fill this room, and we just -- there  
24 was no way to go back and review all this from  
25 scratch. So we depended very heavily on past

1 reviews and analysis of this wealth of data by  
2 various expert groups in this alphabet soup of  
3 organizations. Some of these you may not know.  
4 ICRU is the International Commission on Radiation  
5 Units. They're kind of like the ICRP. The NRPB  
6 is the national authority in Great Britain.

7 Our work has been through two rounds of  
8 external peer reviews, and we've incorporated a  
9 lot of comments that we got from experts in the  
10 field. And we have used the recent primary  
11 literature to some extent to fill out because a  
12 lot of these things are getting a little bit old.  
13 The NCRP report, for example, is from 1990, and  
14 there has been some work since then. But by and  
15 large, we relied on expert groups who know far  
16 more about radiation biology than I do to look  
17 through all this data and assess the experiments  
18 that are good from those that are not so good,  
19 and what did they think this meant in terms of  
20 RBEs, et cetera.

21 I'm not going to go through the equations in  
22 any detail, but I did want to show you how these  
23 things -- these quantities are used in actually  
24 calculating cancer risks. And I've got two pages  
25 of equations, and I'll really just show you one



1 equation to give you a sense of how this works.

2 The quantity we're trying to calculate over  
3 here is risk, and we express it in terms of  
4 excess -- well, it's just the excess relative  
5 risk, is what you want at the end. That's what  
6 goes into a calculation of PC, as Owen showed.  
7 You start with some estimate of absorbed dose,  
8 and here's the risk coefficient that you get from  
9 the atomic bomb survivor data. This is some kind  
10 of -- I call it an ERR per gray, some people call  
11 it an ERR per sievert. They're basically the  
12 same. This is high energy gamma rays that have a  
13 defined biological effectiveness of one.

14 And if you're going to -- in some of the  
15 equations, not always, this is adjusted by the  
16 DDREF that Owen talked about. This is a thing  
17 that has an uncertainty distribution with a  
18 central value somewhere between one and two. And  
19 I never remember what the central value is --  
20 1.6, something like that.

21 And then this REF is just a multiplier. It  
22 just adjusts for the effectiveness of the  
23 different radiation type. And basically all this  
24 means -- it's really a simple concept -- if you  
25 give a certain absorbed dose of gamma rays to a

1 mouse, and you give the same absorbed dose of  
2 neutrons to the same mice, you're going to see  
3 more cancers in the mice than you do -- from  
4 neutrons than you do from the gamma ray  
5 exposures. They have a different effectiveness  
6 in causing the response that you're looking for,  
7 and that effectiveness is captured in this REF.  
8 It's a very simple concept. So this just kind of  
9 shows you how they're used.

10 And I'm not going to go into the difference  
11 between high and low doses and dose rates.  
12 That's for the health physics aficionados on the  
13 committee to look at and see what you think about  
14 it. I realize that certain things are just too  
15 painful.

16 I'm going to skip -- well, Owen did mention  
17 this, and I'll show you again. For all solid  
18 tumors there's a linear dose response in the  
19 atomic bomb survivor data. But -- Gen, this is  
20 the answer to your question -- it's linear  
21 quadratic for leukemias, and this is what the  
22 data show. They show linear quadratic for  
23 leukemias, but they look linear for everything  
24 else. So that's the assumption that Charles Land  
25 made. And enough of that.

1           Now here's something -- half of this should  
2           be familiar to many of you. The column for ICRU  
3           may not be quite so familiar. But this is how  
4           biological effectiveness is taken into account in  
5           radiation protection today. And again, radiation  
6           protection is not about estimating real risks to  
7           real people from an actual exposure. That's not  
8           what radiation protection is about. Radiation  
9           protection is about controlling doses, period.  
10          So they have standard assumptions. A point  
11          estimate of 20 for alpha particles, 20 for  
12          neutrons of unknown energy -- and the ICRP has a  
13          function I'll show you later that accounts for  
14          the energy dependence of the neutron weighting  
15          factor -- one for all electrons, and one for all  
16          gamma rays.

17          Now as we go ahead, you'll probably be  
18          keeping score on how I'm doing relative to this  
19          curve, to this set of numbers. Well, our  
20          distributions for alpha particles will encompass  
21          this, and our distribution for fission neutrons  
22          will encompass this, but we will depart from  
23          these numbers here at the lower energies.

24          A question came up over here when Owen was  
25          talking about what have we done about the ICRP

1 assumptions as we got into this. We did not  
2 start with an assumption that these values were  
3 the correct -- were the best central estimates of  
4 anything. We looked at what the data told us.  
5 And if the ICRP numbers fell within our  
6 distributions, fine. If they didn't, well,  
7 that's the way the mop flops. That's all I can  
8 say. We did not assume that they had the right  
9 answer, mainly because they didn't really  
10 disclose where these numbers came from.

11 So a key point to remember here is we're  
12 applying subjective judgment to a lot of data,  
13 and we absolutely acknowledge that knowledgeable  
14 individuals could look at the same information we  
15 looked at and come to somewhat different  
16 conclusions. I don't think the conclusions could  
17 be radically different, but you could certainly  
18 -- there's a lot of judgment in here. And again,  
19 the whole purpose of my paper was to try to  
20 disclose our judgments as best we could, and to  
21 express where the weaknesses are. But we did not  
22 assume that ICRP had the right answer.

23 So I just want to go through the different  
24 radiation types that we looked at and give you a  
25 flavor for the kinds of data that we used and the

1 kind of judgments that we made. And I'm going to  
2 start with neutrons.

3 Historically, neutrons have been the  
4 radiations that have been the most studied of  
5 all. Back in the sixties and seventies and  
6 eighties there were a lot of data on RBEs and  
7 neutrons in all kinds of biological systems  
8 ranging from simple cells up to whole organisms,  
9 plants and animals, the whole nine yards. But  
10 there are data in mice that actually where tumors  
11 themselves were the end point. They actually  
12 measured tumor induction in mice exposed to  
13 neutrons compared with some reference radiation,  
14 either X-rays or high energy gamma rays. And as  
15 Owen mentioned, we use high energy gamma rays as  
16 our radiation that has a defined REF of one,  
17 because that's the conditions under which the A-  
18 bomb survivors were exposed.

19 And again, going to reviews of the  
20 literature, there was a lot of data on RBE for  
21 life-shortening and induction of specific  
22 cancers, and life-shortening in these mice is due  
23 almost entirely to cancer induction. There's  
24 very little else that's killing them. And you  
25 find a range of RBEs -- and I just give you these

1 numbers, you don't have to pay any particular  
2 attention to this -- and from this you can just  
3 derive some kind of distribution. And we're  
4 trying to make life simple, and we're trying to  
5 choose familiar distributions when they can be  
6 justified. And lognormal is one of the most  
7 familiar distributions in natural systems,  
8 especially when the data are highly variable.  
9 Where the range from the low end to the high end  
10 is fairly large, lognormal often describes what's  
11 going on.

12 And from this range of data, we just said  
13 there's a 95 percent chance that the REF in  
14 humans lies between 2 and 30. That's a fairly  
15 wide range. That's a range of 15. The central  
16 estimate here is at 7.7.

17 Now some of you are already maybe keeping  
18 score, and here we're saying a central estimate  
19 at 7.7, where the big boys say it should be up  
20 around 20. Well, something I didn't talk about  
21 is that this is an REF at high acute doses. It  
22 doesn't have a DDREF in it. So more or less you  
23 need to multiply this value by a factor of about  
24 two if you want to compare it with the number 20.  
25 And this is explained in excruciating detail in

1 the paper, but I don't want to talk about it  
2 here. So this number has to be multiplied  
3 roughly by a factor of two, and this for acute  
4 exposure only, so that's around 15 to 16, which  
5 is pretty close to 20. But there's a substantial  
6 range of 15 here between the lower and upper end  
7 of that confidence interval.

8 I felt like the situation for fission  
9 neutrons in solid tumors and leukemias is in  
10 pretty good shape, because there are animal data,  
11 data on whole animals with the cancers that we're  
12 interested in as the biological response that was  
13 being measured. But still there are problems  
14 that we talk about in the paper, about are the  
15 mice data relevant for humans? A human doesn't  
16 look like a mouse. And those of you who know  
17 anything about neutrons, this is a very  
18 complicated type of radiation in terms of how it  
19 interacts with tissue. You get all kinds of  
20 secondary radiations. And if you had a  
21 monoenergetic neutron incident on the skin of a  
22 mouse, the spectrum of radiations inside that  
23 mouse is going to be very different from the  
24 spectrum of radiations in a deep-lying organ of a  
25 human being.

1           And we really haven't done much with that,  
2           and that's an area where perhaps something could  
3           be done. We basically just said that the mice  
4           data apply to humans. But that's an area where I  
5           think, as this method gets fine-tuned as we go  
6           along, where something more could be done. It's  
7           quite possible, I think, that the mouse data tend  
8           to overestimate the biological effectiveness in  
9           humans rather than underestimate. So in a sense,  
10          if you want to claim do we have a bias, it's a  
11          little bit on the claimant-friendly side, I  
12          think. But this is a matter of science that  
13          could be worked out, and we could do more here.

14          This next slide is not in your package, but  
15          in case some of you have never seen what a  
16          lognormal distribution looks like before, this is  
17          the distribution that I described on the previous  
18          slide. When plotted on a linear scale -- this is  
19          REF on this scale, and here's probability on the  
20          vertical scale -- a lognormal distribution tends  
21          to be skewed to the left, and the 50th percentile  
22          is somewhere about here and the 95th is from 2 to  
23          30. That's basically what a lognormal  
24          distribution looks like. And as this range gets  
25          bigger it gets more and more skewed to the left,



1 with a very long tail going out to the right.  
2 And of course, only 95 percent of the values are  
3 shown here. There are two and a half percent  
4 that lie out here, and there's another two and a  
5 half percent -- down to zero is show -- but  
6 there's two and a half percent of the values lie  
7 beyond the right-hand side of that curve. The  
8 beauty of lognormal distributions, they never go  
9 negative.

10 We did the same thing for leukemias, for both  
11 alpha particles and leukemias -- sorry, for both  
12 alpha particles and neutrons. There was  
13 convincing evidence from the literature that the  
14 biological effectiveness was different for  
15 leukemias and solid tumors. These are two  
16 entirely different types of cancers, so there's  
17 no reason that they have to be the same. And in  
18 general, RBEs for leukemias are less than RBEs  
19 for solid tumors, and we've incorporated that in  
20 what we did. We have separate distributions for  
21 leukemias and solid tumors for the high LET  
22 radiations.

And again there are data on  
23 mice, and we went through, and  
24 it ranges from this to that,  
25 and we had another lognormal

1 distribution.

2 Now here, this is a number which you could  
3 directly compare with the ICRP, because this is  
4 at low doses and low dose rates. That's what  
5 this  $L$  stands for. In fact, almost all our  
6 distributions are at low doses and dose rates.  
7 The only one that isn't is the solid tumors and  
8 neutrons. And here the confidence interval we  
9 just said dose from 2 to 60. That's a range of  
10 30, and the median is about 11. Well, 11  
11 compared with 20, that's a factor of two. But  
12 remember, the ICRP is coming up with a single  
13 number that's supposed to cover everything, and  
14 if they had to pick a single number they would  
15 probably bias it toward the solid tumor numbers  
16 rather than leukemias to be safe. But who knows  
17 what the process is they went through, because  
18 they haven't told anybody.

19 Now one of the complications about neutrons  
20 -- and Owen mentioned this -- is that there's  
21 some data in the radiobiological literature, and  
22 there's a lot of calculations which show that the  
23 -- suggest that the biological effectiveness of  
24 neutrons is energy dependent. Now most of the  
25 experiments are done for fission neutrons, and

1       that's a spectrum of neutrons over a wide energy  
2       range. But by and large, most of those neutrons  
3       are in the energy from -- this is .1 MeV here up  
4       to about 2, is this break point. And the fission  
5       neutron experiments lay up here in the region of  
6       maximum biological effectiveness.

7               But there's calculations going back 30 years  
8       now, and a lot -- and some radiobiological  
9       studies which show that as you get away from this  
10      range from .1 to 2 MeV the biological  
11      effectiveness drops off in this direction, and as  
12      you go toward higher energies. And this is just  
13      a reflection of as the energy changes, you get a  
14      different mix of secondary radiations that are  
15      actually delivering the dose. That's what this  
16      is all about. Neutrons don't do anything by  
17      themselves. They cause dose only because of the  
18      secondary radiations they produce.

19             And this solid curve is the standard ICRP  
20      assumption that many of you are familiar with,  
21      that the value -- here's 20 for .1 to 2 MeV. It  
22      drops by a factor of two out here down to 10 keV,  
23      another factor of two down to 5 at the lowest  
24      energies, and similar as you go up. But what  
25      really impressed me is kind of the database for

1       that step function curve. I don't know whether  
2       impressed is quite the right word. The data are  
3       sparse. Everybody used fission neutrons, and not  
4       too many people have studied neutrons of other  
5       energies in experiments. And I have two slides  
6       here that show, at least according to an NRPB  
7       review, really almost the entire data in this  
8       area.

9               Now here's one dataset. Here's the fission  
10       neutrons kind of up in here. Here's one dataset  
11       that maybe sort of shows what's going on that  
12       matches that other curve. But here's another one  
13       that it's okay up here, but there's a point way  
14       out here. And you can find other studies in the  
15       literature that don't really show much of a step  
16       function, like the ICRP said. Here's just one  
17       more example of the same thing. The open  
18       symbols, they kind of fall off as you go up here.  
19       But this, here's a dataset, who knows what that  
20       one's doing in terms of energy dependence.

21               So the point I want to make is that that nice  
22       little step function curve that the ICRP assumes  
23       today has a fairly shaky database in terms of the  
24       actual radiobiological information that goes into  
25       that. A lot of what goes into that is

1 theoretical calculation of how neutrons interact  
2 with tissue at different energies, and what are  
3 the secondary radiations they produce. But it's  
4 not really been verified experimentally. I wish  
5 -- I'm a humble physicist. I don't know much  
6 about this biology stuff. But really, no data on  
7 thermal neutrons. I guess that's a hard  
8 experiment. But we didn't find any data on  
9 thermal neutrons, which is often something of  
10 interest.

11 So what did we do about this in terms of the  
12 REF for different energy ranges? Here's where we  
13 get really into the idea of subjective states of  
14 knowledge distributions that Owen emphasized.  
15 What I'm going to show you next doesn't resemble  
16 anything that you would actually measure if you  
17 did the experiment. It's just to try to  
18 represent what do we know about the REF for  
19 neutrons of energies other than fission neutrons.  
20 And we assumed that these distributions should  
21 have three properties.

22 The first was that the REF should not be less  
23 than one, and this is a simple assumption that  
24 neutrons of any energy should not be biologically  
25 less effective than high energy gamma rays. High

1 energy gamma rays is our defined REF of one, so  
2 neutrons should not be less biologically  
3 effective than high energy gammas. That's  
4 assumption number one.

5 Assumption number two is we assumed the ICRP  
6 step function reduces the weighting factor for  
7 fission neutrons by either a factor of two or  
8 four as you step up or down in energy, and we  
9 assumed that the median of our distributions for  
10 fission neutrons should be reduced by about that  
11 amount. In other words, we assumed that the ICRP  
12 step function that I showed you more or less  
13 represents the energy distribution -- the energy  
14 dependence of REF.

15 But there's certainly uncertainty in that  
16 adjustment, as I showed you on those two plots of  
17 the data. The data are pretty shaky. So we  
18 reduced the upper confidence limit by an amount  
19 less than that to represent uncertainty in that  
20 adjustment. In other words, the uncertainty  
21 distribution is going to be broader at these  
22 other energies than it was for fission neutrons.

23 Now we started with a lognormal distribution  
24 for fission neutrons, which was already highly  
25 skewed to the left. And if you fix the lower

1 bound and lower the median by a certain amount,  
2 and lower the upper confidence limit by less than  
3 that, you're going to get a distribution that's  
4 more highly skewed to the left, and it's going to  
5 have a long tail. Here we tried to make life  
6 simple. We just fabricated a distribution that  
7 would have these properties but would look  
8 simple. Now this is obviously not a distribution  
9 that you would ever measure in an experiment, but  
10 it has the three properties that I showed on the  
11 previous slide.

12 This is just one example. This is a case  
13 where the median value is reduced by a factor of  
14 two compared with the distribution for fission  
15 neutrons, but the upper confidence limit was  
16 reduced by something less than a factor of two,  
17 around a factor of 1.7, 1.8, something like that.  
18 It's explained in detail in the report. And we  
19 just arbitrarily assumed that we would describe  
20 these distributions by what I call a piece-wise  
21 uniform distribution that had three pieces. It's  
22 uniform between one and some number, uniform  
23 between that number and another number, and then  
24 a third tail that goes way out here. And we just  
25 fixed the number of steps at three. And

1       furthermore, in every case we said there's going  
2       to be a 30 percent weight to this part, a 50  
3       percent weight to this part, and a 20 percent  
4       weight to this part.

5               Now these judgments are obviously arbitrary.  
6       There's an infinite number of probability  
7       distributions that would meet the three  
8       conditions that I showed on the previous slide.  
9       And we just wanted to have something that was  
10      visually and conceptually fairly simple.

11             So all we have to do once we have these  
12      definitions is we just adjust these three numbers  
13      until we get the conditions that we wanted on the  
14      previous slide. And it's just -- it looks  
15      simple, but you would never measure anything like  
16      this. But this captures the state of knowledge  
17      about REF at these other energies, and the state  
18      of knowledge is not real good.

19             Okay, I'm going to move on to alpha  
20      particles. I think in general alpha particles is  
21      a radiation type for which what we have come up  
22      with would be most subject to adjustment by  
23      further input. There's a lot of uncertainty in  
24      what to do. A lot of uncertainty in what to do,  
25      and our judgments could be wrong, or they could



1 be not as good as they should be. And I want to  
2 try to indicate where the weak parts are.

3 Let's look first at solid tumors. Here again  
4 we're fairly fortunate in that there's a lot of  
5 data in various small mammals -- dogs, rats, mice  
6 -- looking at induction of bone and lung tumors  
7 by alpha-emitting radionuclides like plutonium  
8 and americium, a lot of data on RB and E systems,  
9 a lot of data on different kinds of responses in  
10 cell systems. And you find a wide range of RBEs,  
11 down from about 5 at the low end -- these are  
12 central estimates -- range from about 5 at the  
13 low end to somewhere in the range of 60 to 100 at  
14 the high end. And here again, just to keep life  
15 simple, we describe this range of values by a  
16 lognormal distribution where 95 percent of the  
17 values were in the interval from about 3 to 80,  
18 and the median here is 15.

19 Now here's an area -- and this is not in our  
20 report, but I'm going to put it out to you. It's  
21 possible that the median of this distribution is  
22 a bit too low, that we might actually be better  
23 off in this case coming up with some kind of a  
24 hybrid distribution that has this confidence  
25 interval, but has the median shifted up somewhat.

1 And if you just kind of look at -- if you just  
2 plot all the data, you get the impression that  
3 it possibly could be a little higher, but not by  
4 a great deal. But this is an area where I think  
5 as this work evolves we might want to look at  
6 this again. Of course, this is not the final  
7 answer. We have this inverse dose rate effect  
8 that hasn't been applied yet that I haven't  
9 talked about. So that's one area where we might  
10 do a little bit more. I think I'm pretty  
11 comfortable with the range here. There's just  
12 not very much beyond 80, and there's hardly  
13 anything, virtually nothing below 3.

14 Where we're really skating on thin ice -- and  
15 I think no one really knows what to do about this  
16 -- is the question of alpha particles and  
17 leukemia. What's the problem here? The good  
18 news, in a way, is that there are data in humans,  
19 possible data in humans for the effectiveness of  
20 alpha particles in causing leukemias. The  
21 problem with the available data is that they're  
22 contradictory, and that there's a lot of problems  
23 in the data themselves. And it's very, very hard  
24 to sort this out.

25 The essential problem with alpha particles

1 and leukemia is this: The question of how to  
2 estimate the dose to radiosensitive cells in bone  
3 marrow. The whole problem of dosimetry is highly  
4 uncertain, so it's very, very hard -- when you  
5 try to look at the various human studies it's  
6 very, very hard to sort out issues of dosimetry  
7 versus issues of biological effectiveness of  
8 alpha particles. And what we have attempted to  
9 do -- what I have attempted to do, I can't blame  
10 this on Owen or Iulian -- what I attempted to do  
11 was say, look, if the dosimetrists have a  
12 problem, go fix it. I'm not going to bury  
13 uncertainty in -- I'm not going to bury a problem  
14 with dosimetry in the REF. I want to try to  
15 assess what is the REF, assuming that the  
16 dosimetry is right. And if you have a dosimetry  
17 problem, go take care of it, but I'm not going to  
18 blame -- I'm not going to incorporate your  
19 dosimetry problem in an estimate of REF. But I'm  
20 sure we have done some of that, just because the  
21 data are all we have.

22 Now let me just briefly try to describe what  
23 the problem is and what we tried to do about it.  
24 There's a group of medical patients out there  
25 called the Thorotrast patients. These are people

1       that were given a special substance that  
2       contained thorium for medical treatment. And  
3       these people received fairly high doses of alpha  
4       particles to bone marrow. And these people,  
5       these patients were followed over time, and lo  
6       and behold, there were excess leukemias seen in  
7       these populations. And you could derive an  
8       estimate of leukemia risk in those patients. And  
9       by comparing the leukemia risk in those patients  
10      with leukemia risks in the A-bomb survivors, you  
11      could estimate an RBE for alpha particles in  
12      leukemias, and you get something that ranges from  
13      about 1 to 15.

14           Well, this is a good dataset in the sense  
15      that it's data on humans. It shows an effect.  
16      You could use it. But the problem here is that  
17      Thorotrast is a special chemical form. It's  
18      called a colloid. Colloids are kind of large  
19      globs of stuff that kind of remain suspended in a  
20      liquid medium. Milk is a colloid. Milk is a  
21      colloid. So what happens in the Thorotrast  
22      patients is that the thorium in this stuff  
23      remains suspended in bone marrow, and perhaps  
24      more or less irradiates the marrow uniformly.  
25      But radionuclides that DOE workers get exposed

1 to, they're not colloids. And they probably get  
2 deposited very quickly on the surfaces of bone,  
3 and in some cases then translocate into the bone  
4 volume. And of course alpha particles have a  
5 very short range in tissue or in matter. That's  
6 a fundamental problem here.

7 So the way that marrow is irradiated by the  
8 Thorotrast patients is very different from what  
9 you get from a DOE worker who is exposed to  
10 plutonium. So this dataset may have nothing to  
11 do with exposures of DOE workers. It doesn't  
12 describe the exposure pattern at all. So it's  
13 questionable whether you could really use this.

14 There are other groups of populations that  
15 were exposed to alpha particles, the radium dial  
16 painters being the example that people are most  
17 familiar with. These are a group of young women  
18 who received high doses of radium, and the data  
19 seemed to suggest -- well, there's been no  
20 observed excess of leukemias in the dial  
21 painters.

22 Now here again, there's a lot of problems  
23 with this study. What do you mean by no excess  
24 leukemias? I haven't yet seen a really good  
25 statistical distribution that showed a confidence

1 interval in a risk coefficient. People just tend  
2 to focus on a central estimate, and say I don't  
3 see anything. But there needs to be more work  
4 done in uncertainties in this population.

5 There's a group of medical patients exposed  
6 to radium 224. No excess leukemias,  
7 statistically significant excess leukemias seen  
8 in those populations.

9 Another problem with the dial painters is  
10 that leukemia is a disease that, if you're going  
11 to get it, it tends to come fairly early after a  
12 radiation exposure. And there are some serious  
13 questions about whether the early follow-up of  
14 the dial painters was sufficient to have actually  
15 caught the leukemias that they might have gotten.  
16 So there's a lot of problems in this dataset.

17 But if you take the standard ICRP dosimetry  
18 model for radium in bone, you would predict a  
19 substantial increase in leukemias in these  
20 populations where none is seen. Well, there are  
21 two ways you could interpret this. Either the  
22 RBE is very low, or there's a problem in  
23 dosimetry -- and I personally think that there's  
24 a problem in dosimetry, that we don't want to  
25 muck up our REF with that. But here's a dataset

1       that shows no effect.

2           A third source of information is data on  
3       neutrons. It's been widely understood for many  
4       years that neutrons and alpha particles are quite  
5       -- should be quite a bit alike in terms of their  
6       biological effectiveness. They're both high LET  
7       radiations. The calculations all show that the  
8       effectiveness should be more or less the same.  
9       So there are the data on the mouse studies that I  
10      showed you previously that could provide a marker  
11      for what the leukemia risk for alpha particles  
12      is.

13           So we have these different datasets, and  
14      here's an example, a clear example of applying  
15      just purely subjective judgment. We constructed  
16      a hybrid distribution where we gave different  
17      weights to these different pieces of evidence.  
18      The weights that we assigned are obviously  
19      somewhat arbitrary. And we've gotten feedback  
20      already -- you know, I wouldn't do it that way.  
21      And that kind of feedback is welcome, and we want  
22      more of it.

23           We gave, as indicated here, 50 percent weight  
24      to the data in the Thorotrast patients. Here  
25      again, we clearly are irradiating the right cells

1 in this group. So if the dosimetry model for the  
2 other alpha emitters was correct, this probably  
3 gives you some idea of what it ought to be.

4 We gave 25 percent weight to the fact that  
5 there's no excess leukemias in these other human  
6 populations. Here again, we did not allow the  
7 value to go below one, and we feel pretty  
8 confident that if the cells are being irradiated  
9 that alpha particles are at least as effective as  
10 high energy gammas in causing leukemia. If you  
11 take the data straight away, what EPA did here is  
12 they assigned a uniform distribution from zero to  
13 one, what they called the effective RBE. We said  
14 it really shouldn't be less than one, if the  
15 dosimetry's right.

16 And we gave a 25 percent weight to the  
17 distribution for fission neutrons. But I would  
18 say this is the weakest. This is the weakest  
19 distribution we came up with, just because the  
20 data are so contradictory and there are serious  
21 problems with dosimetry here.

22 Something else that I think I would do, if I  
23 revisit this again, is see what we might learn  
24 from animal studies about alpha particles and  
25 leukemia. And most of the animal studies have



1 focused on bone cancer and not leukemia, but what  
2 can we learn from the animal studies in regard to  
3 alpha particles and leukemia? I think there's a  
4 lot of work to be done here.

5 What does a distribution like this look like?  
6 I just gave you a couple of plots here. Here's  
7 our 25 percent weight at the value one gives you  
8 a spike, and the other two, which were lognormal  
9 distributions, give you something here with a  
10 very long tail going out. Distributions like  
11 this are sometimes a little easier to understand  
12 if you plot them in terms of a cumulative  
13 distribution. In other words, sort of integrate  
14 under that curve as you go from left to right.  
15 What this number is, this says here that 50  
16 percent of the values are less than this number,  
17 75 percent are less than this number, going on  
18 up, you have this long tail. This is a  
19 cumulative probability distribution rather than a  
20 frequency distribution.

21 Owen mentioned this inverse dose-rate effect.  
22 For both neutrons and alpha particles, there is  
23 weak evidence in animal studies and some weak  
24 evidence in the uranium miner data for radon of  
25 something that's been called the inverse dose-

1 rate effect. And what this means is -- suppose  
2 you did two experiments where you deliver the  
3 same total dose to two groups at different rates.  
4 One group gets the same -- a given dose at a  
5 fairly high dose rate, and the second group gets  
6 the same total dose but at a much lower dose  
7 rate. There's weak evidence that at the lower  
8 dose rate that the risk increases slightly. This  
9 is what Owen referred to as a superlinear  
10 response.

11 And the evidence is weak, and because the  
12 evidence is weak the correction that we applied  
13 for this is small. It's a small correction to  
14 the REFs for chronic exposure to neutrons and  
15 alpha particles. Well, all exposures to alpha  
16 particles are chronic, because these alpha  
17 emitters have fairly long half-lives. And I  
18 don't think we have anybody that was standing in  
19 an unshielded beam of a pulsed alpha source, and  
20 I don't think you're going to find that one very  
21 often. So alpha particles are always chronic.  
22 Neutrons in some cases certainly are.

23 And we used a discrete distribution where we  
24 gave most of the weight to the value one simply  
25 because the evidence that this effect actually

1 exists is quite weak. But there's some evidence  
2 that the inverse dose-rate effect could be as  
3 high as three, and we gave successively smaller  
4 weights going from one up to three. And on  
5 average, the correction was 40 percent for  
6 neutrons and 20 percent for alpha particles,  
7 fairly small. But it's in there. It's in there,  
8 and you can certainly change this. But you just  
9 don't see this in all studies.

10 My personal opinion is that it's already  
11 incorporated in the data for alpha particles  
12 because they are delivered chronically to begin  
13 with.

14 And if you apply the inverse dose-rate effect  
15 to the data for alpha particles in solid tumors  
16 you get something that's kind of lognormal, but  
17 it's even more skewed to the left than before.  
18 We started with a lognormal distribution from 3  
19 to 60, I think it was -- 3 to 80, and adjusted by  
20 the inverse dose-rate effect. It now goes from  
21 3.4 up to 100, and there are a few values that  
22 straggle out here beyond 100. And the median  
23 here is 18, and this is the number that you would  
24 compare with the standard ICRP assumption of 20,  
25 because again all exposures to alpha particles

1 are chronic.

2 So we're pretty close. But I think some  
3 justification could -- some thought could be  
4 given to whether we could start with something  
5 other than a lognormal distribution and maybe  
6 have this median go up a bit. But that's -- it's  
7 all judgment. It's all judgment. We just don't  
8 have any data.

9 I'm going to skip the next one, I think. Oh,  
10 here's our funky hybrid distribution for  
11 leukemias with the inverse dose-rate effect.  
12 This is the one where we had 25 percent weight  
13 for one, and then kind of a lognormal-looking  
14 distribution that tailed out here. Now when you  
15 apply this inverse dose-rate effect where almost  
16 all the weight gets at one, you have a spike here  
17 and very skewed to the left, but still numbers  
18 dribbling on out here to the high side. Here the  
19 median is four. This shows a clear difference  
20 between leukemias and solid tumors for alpha  
21 particles. Here the median was four. On the  
22 previous one it was 18.

23 And again, I think a lot of work needs to be  
24 done here. I can't tell you -- I don't have any  
25 confidence in my state of knowledge about what

1 alpha particles and leukemias are all about  
2 because the dosimetry problems are so severe. My  
3 gut feeling is that if you use the standard ICRP  
4 dosimetry models and you put this REF in those  
5 models, you're probably going to overestimate the  
6 leukemia risk. But again, I think if the  
7 dosimetrists have a problem they should go fix  
8 it, and we shouldn't bury their problems in the  
9 biological effectiveness factor. And if you have  
10 ideas about that, we welcome them. But that's my  
11 bias. I don't want to take their problems under  
12 my tent.

13 And this just shows the same thing in a  
14 cumulative distribution. It rises very steeply,  
15 and then this long tail.

16 So for neutrons and alpha particles, our  
17 distributions clearly encompass what the ICRP has  
18 done. We have a broad range of uncertainty,  
19 which is different.

20 Now when we get into photons, things change.  
21 Here's a curve that the ICRU published 15 years  
22 ago in a nice little report; it's only about 20  
23 pages thick. This is a calculation of the  
24 quality factor for photons as a function of  
25 energy. Our reference radiation is cobalt-60

1 high energy gamma rays, which is out at this end  
2 of the curve. And you can see that in the  
3 calculation, the biological effectiveness goes  
4 up. And here in the range of X-rays, it's about  
5 twice as effective as high energy gamma rays.

6 And this report had an extensive discussion  
7 of the data that supported this conclusion. And  
8 the ICRU report said there is clear evidence that  
9 X-rays, 280 to 250 kVp X-rays are twice as  
10 effective as high energy gamma rays in causing  
11 stochastic effects, said that right there in the  
12 report. And this is a dataset and a conclusion  
13 that the ICRP has never adopted in anything they  
14 did. They have assumed that the biological  
15 effectiveness of photons of any energy from 50  
16 electron volts up to 100 MeV is the same. And if  
17 we look in ICRP-60 for an explanation of this,  
18 they say we don't think it would be helpful to do  
19 anything different.

20 But here's a hint. The evidence is fairly  
21 compelling. This is a calculation, but there's a  
22 lot of data that say that X-rays are twice as  
23 effective as gamma rays. And I'm going to kind  
24 of go through the data and show you what we did  
25 about it. So here's a place where we part

1 company from ICRP.

2 Somewhat surprising to me, historically there  
3 were not that many experiments that were designed  
4 to study the biological effectiveness of lower  
5 energy X-rays. X-rays were one of the reference  
6 radiations that people often used to study  
7 neutrons. But there weren't a whole lot of  
8 studies that just looked at X-rays themselves as  
9 the radiation under study, but there was a lot of  
10 data on a particular kind of end point in a cell  
11 system. And you could say, well, what relevance  
12 does this have for induction of cancers in  
13 humans, and that's a fair comment.

14 **DR. ZIEMER:** Could I interrupt and ask you to  
15 clarify? Are you or they using the kVp value  
16 like -- is this --

17 **DR. KOCHER:** Okay --

18 **DR. ZIEMER:** In other words --

19 **DR. KOCHER:** This is a double dose of  
20 spinach.

21 **DR. ZIEMER:** Yeah, because the --

22 **DR. KOCHER:** The energies --

23 **DR. ZIEMER:** A 250 kVp X-ray spectrum has  
24 virtually no 250 kVp X-ray -- or kV X-rays in it.

25 **DR. KOCHER:** I will take the time to explain

1        why we assigned REF to this energy range. But  
2        Dr. Ziemer's point is this: If you have an X-ray  
3        tube that you apply this potential difference to,  
4        the energies of X-rays tend to be a lot lower  
5        than this --

6                **DR. ZIEMER:** About a third.

7                **DR. KOCHER:** -- by about a third. The peak  
8        of this -- you get a spectrum of X-rays, and the  
9        peak is in the 50 to 70 keV region. It depends  
10       on how it's filtered, and everybody does it  
11       different.

12               But yeah, what you're actually measuring here  
13       is the biological effectiveness of X-rays in the  
14       50 to 70, 50 to 80 keV region. And I'll have to  
15       come back in a second as to why we assumed that  
16       those data apply in the energy range of 30 to  
17       250. That's a good point.

18               These are the studies that the ICRU pointed  
19       to to say that there's a clear difference between  
20       X-rays and high energy gamma rays. And all the  
21       data ranges from a low of about 1.5 up to a high  
22       of -- central estimate of about 4, with fairly  
23       large uncertainty. And it was on the basis of  
24       this that the ICRU said that there's a clear  
25       difference of about a factor of two between these



1 low energy X-rays and high energy gamma rays.

2 Now here's another case -- initially we were  
3 just going to use this dataset. But as a result  
4 of one of the rounds of technical reviews and  
5 some further thinking on our own part, there are  
6 data in humans that can be used -- well, I'm  
7 skipping ahead. Let me go to this line here.

8 These are studies where the biological  
9 effectiveness of X-rays was studied directly.  
10 But there are other studies where you can infer  
11 the RBE for X-rays indirectly in the following  
12 way: You do a study of neutrons, you're trying  
13 to investigate the biological effectiveness of  
14 neutrons. And you do one set of measurements  
15 with high energy gamma rays as your reference  
16 radiation, and you do another set of measurements  
17 with X-rays as your reference radiation. The  
18 difference in RBE for those two studies gives you  
19 an indirect measure of RBE for the X-rays.  
20 Because you're going to see a difference in the  
21 two results for neutrons, and you can compare  
22 those two to infer what the RBE for X-rays was.  
23 And there's a lot of studies, and they're listed  
24 in nauseating detail in the report. And these  
25 again show a clear difference of about one and a

1 half to about three between X-rays and high  
2 energy gamma rays.

3 Now the third piece of information, there are  
4 data in humans that can be used to investigate  
5 the question of are X-rays biologically more  
6 effective in causing cancers in humans than high  
7 energy gamma rays, because you have the A-bomb  
8 survivors where children had their thyroids  
9 irradiated by high energy gamma rays, but there  
10 are all these studies of children who were given  
11 X-rays for various medical treatments. These are  
12 fairly large populations, and they've been  
13 studied. And so you can compare the thyroid  
14 cancer risks in the A-bomb survivors with the  
15 thyroid cancer risks in these other medical  
16 groups to infer an RBE. And unfortunately, the  
17 statistics are so poor in these data that the RBE  
18 that you infer ranges all over the map. You can  
19 get -- the 95 percent confidence interval ranges  
20 from an RBE of .2 up to 4, so you can get any  
21 number you want.

22 But what I think is kind of striking -- and  
23 they are even poorer datasets for other cancers,  
24 like breast cancer and colon cancer and a few  
25 others -- none of these datasets show a clear

1 difference between X-rays and gamma rays. By the  
2 same token, none of them show that there's not a  
3 difference. You can't infer anything from  
4 something like this about the effectiveness of X-  
5 rays relative to gamma rays. And what I think is  
6 kind of striking is that the central estimates  
7 tend to cluster near one to two. You don't ever  
8 find an outlier out there, which is kind of what  
9 you would expect on pure random grounds. So we  
10 took this as a dataset that we could apply some  
11 weight to.

12 So we have different sets of information, and  
13 as I did for alpha particles and leukemias, we  
14 just gave different weights to this information  
15 to come up with some kind of a hybrid  
16 distribution. And here we felt that the evidence  
17 from the non-human studies was just fairly  
18 compelling, so we gave a 75 percent weight to a  
19 distribution between one and five. It was a  
20 combination of the data on the dicentric  
21 chromosomes and all the indirect inferences --  
22 there were about 10 or 15 of them that I listed  
23 in the report, all of which showed a clear effect  
24 -- so we gave a 75 percent weight to that.

25 But we gave a small but still substantial

1 weight to the possibility that there's no  
2 difference in humans. Again, the human data  
3 neither support nor refute any assumption you  
4 want to make. So we just said, well, maybe  
5 there's no difference. So we just assigned a 25  
6 percent weight to the fact that there would be no  
7 difference. And the result is a 95 percent  
8 probability that it's somewhere between one and  
9 nearly five, and a median of about 1.9.

10 Now how did we take this data for a very  
11 limited range of X-ray energies and assume that  
12 it applies between 30 and 250 keV? Well, that  
13 goes back to this curve right here. We said  
14 we're going to trust the ICRU calculation where  
15 the radiation quality is flat over this entire  
16 energy range. And this mean here is at 30,  
17 roughly. And your guess is as good as mine as to  
18 where you want to draw the cut-off up here, but  
19 we put it at 250, which is about here. So we  
20 said everything in here is twice as effective,  
21 roughly, as out here, which is our reference  
22 radiation. So the 30 to 250 comes from assuming  
23 that this curve is right. But in fact, as Dr.  
24 Ziemer pointed out, all the data are in a fairly  
25 narrow range of energies down here, so it's an

1 inference from the calculation.

2 Well, the other thing that you see from this  
3 curve is as you go below 30 keV that the  
4 biological effectiveness starts to rise, and so  
5 below 30 keV we assumed that this curve would be  
6 more or less correct. We were not aware of any  
7 actual radiobiological data that investigated  
8 this low energy range, but we assumed that this  
9 curve was more or less correct in going below 30  
10 keV. And because of that, we increased the  
11 previous distribution by a triangular  
12 distribution as we went below 30 keV. The mean  
13 of that rising curve is about 1.3. We didn't  
14 figure that it was worth actually having this be  
15 energy-dependent. We just applied the same  
16 distribution at any energy below 30 and gave it a  
17 triangular distribution. So that increases the  
18 biological effectiveness even more as you go  
19 below 30 keV.

20 And what you get when you do that -- here's  
21 our 25 percent weight at one, smeared out by a  
22 triangular distribution, and then the rest of the  
23 lognormal similarly smeared out. This is a  
24 probability distribution for the lowest energy  
25 photons, median of about 2.4. And there are lots

1 of calculations out there. This is an  
2 interesting problem for breast cancer in women,  
3 because they're starting to use really low energy  
4 X-rays to do this. And people have done a lot of  
5 calculations using different assumptions about  
6 radiation quality. And they come up with numbers  
7 that agree with the ICRU curve, but I don't know  
8 of any real data to describe this problem. If  
9 those of you in the medical community on this  
10 Board know about it, let me know.

11 So for photons we are certainly departing  
12 from the standard ICRP assumption that it's one  
13 for everything. So we have an increased  
14 effectiveness as we go below 250 keV, a further  
15 increase as we go below 30, but some weight given  
16 to values less than one. There is this little  
17 tail down here.

18 The last category is electrons. The only  
19 radiation that I know of that's been studied is  
20 tritium beta particles, because tritium is a  
21 radionuclide that's encountered often in the work  
22 place. It's been studied six ways from Sunday,  
23 as reviewed by Tore Straume and Carsten and  
24 documented in our report. The history of this in  
25 terms of radiation protection, I think, is quite

1 interesting.

2 What did ICRP do 40 years ago, Paul? Do you  
3 remember this?

4 **DR. ZIEMER:** I can't remember back 40 years.

5 (Laughter)

6 **DR. KOCHER:** Well, I was in high school, so I  
7 can't be expected -- anyhow, in ICRP Publication  
8 2, the exposure limits for tritium incorporated  
9 an RBE of 1.7. This is 1960, so this phenomenon  
10 was known. But that increase -- this was the  
11 famous N factor in the equation  $H$  equals  $DQN$ .  
12 I'm really digging deep into ancient history  
13 here. This N factor was -- the ICRP had was to  
14 account for anything else that you wanted to put  
15 in the equation. It went from absorbed dose to  
16 dose equivalent. And they assumed  $N$  equals 1.7  
17 for tritium beta particles back in 1960. Well,  
18 that was dropped beginning in publication 26, and  
19 it's still not there. So this has a history of  
20 being used, but it's not used today. ICRP today  
21 says the biological effectiveness of tritium beta  
22 particles is one.

23 Well, you could argue this till the cows come  
24 home. There's all kinds of data on various kinds  
25 of biological systems that says it's not one, and

1 this has been written about by many different  
2 people. No data on cancer induction in humans,  
3 so who knows what the story really is. But we  
4 said there's all this data in various biological  
5 systems; we ought to use it. There's probably 20  
6 or 30 good experiments out there that show a  
7 clear increase in biological effectiveness for  
8 these very low energy electrons.

9 The RBE's range from about one to two at the  
10 low end up to about six at the high end, and  
11 we've excluded these really unusual chemical  
12 forms of things that get bound to DNA and don't  
13 really mimic what tritiated water would do in the  
14 human body. But still you get up to about six.  
15 And here again, the standard ol' lognormal  
16 distribution from a low of about 1.2 up to about  
17 5, median of about 2.4; 2.3 is a number that  
18 you'll find in ancient literature in some cases.  
19 So this is a clear effect that the ICRP doesn't  
20 have in their model.

21 One of the problems here, of course, is that  
22 these energies of beta particles are very low;  
23 4.7 keV, I think, is the average energy of that  
24 spectrum, and the endpoint of that spectrum is  
25 less than 15 keV. So these are very, very low



1 energy electrons, but they show a clear effect.  
2 And you're going to have tritium exposures in  
3 your claimants, that's for sure.

4 Well, at that point we kind of went off the  
5 deep end, and here's where I don't really -- I  
6 won't give you an extra dose of spinach on this  
7 one. But we just wondered, these energies of  
8 tritium beta particles are so low, is there some  
9 intermediate energy electron, range of  
10 intermediate energy electrons where the  
11 biological effectiveness would be lower than for  
12 tritium beta particles, but would still be  
13 greater than one? And we went through a long  
14 song and dance -- and it's in the report -- that  
15 for energies from about 15 to 60 keV there ought  
16 to be an increase, just based on physical  
17 grounds, looking at what are the radiations that  
18 electrons produce when they interact with matter,  
19 and going back to the ICRU curve for photons.  
20 But I won't take time to do that here.

21 But if the Board members who are interested  
22 in this problem want to review what I have in the  
23 report and comment on it I'd appreciate it, and I  
24 think NIOSH would, too. I don't think you're  
25 going to encounter a lot of cases where

1 intermediate energy electrons, say between 50 and  
2 60 keV, are important. Carbon 14 is the only one  
3 that I know of that falls in that group, and I  
4 don't really know what kind of exposures to  
5 carbon 14 you're going to have out there. But we  
6 haven't done anything about that.

7 The other thing that we did not touch is this  
8 whole question of these really low energy Auger-  
9 emitting radionuclides, and these are electron  
10 energies that are often a keV or thereabouts or  
11 less. And sometimes those radionuclides get  
12 incorporated directly into DNA, so the RBE can be  
13 huge. But that's a special problem that we have  
14 ducked, and I think rightly so. If you think  
15 somebody was exposed to Auger-emitting  
16 radionuclides in the work place and they were  
17 incorporated into DNA, you really need to look at  
18 that as a special case.

19 Okay, let me just try to sum up here what we  
20 have done, just a kind of two-page summary of the  
21 different radiation types and what we developed.

22 Photons is a case where we clearly have  
23 departed from the standard ICRP assumption. We  
24 have separate distributions of an REF that are  
25 greater than one, and entered one distribution

1 for energies less than 30 keV and another for  
2 energies between 30 and 250. This distribution  
3 is based on data for X-rays, most of whose  
4 energies are in the 50 to 80 keV region, combined  
5 with the ICRU curve which says that radiation  
6 quality should be flat between about 30 and 250.  
7 Applies to all cancers equally.

8       Electrons, we have just a single distribution  
9 for tritium beta particles, for reasons that are  
10 explained in the document, we assume applies out  
11 to energies of 15 keV, but nothing in the  
12 intermediate energy range. That's something that  
13 could come in the future, I think. Again,  
14 applies to all cancers.

15       What's really nice, I think, that helps kind  
16 of tie this all together, the distribution for  
17 the tritium beta particles is essentially  
18 identical to the distribution for the lowest  
19 energy photons. Which if you know about the  
20 physics of how photons interact with matter, this  
21 is as it should be. Less than 30 keV photons,  
22 the dose is delivered by electrons whose energy  
23 is 15 keV or less. So this is really nice. The  
24 radiobiological data and the calculations have a  
25 nice story that ties together, so I'm pretty

1        confident about this.

2                For alpha particles we have separate  
3        distributions for leukemias and solid tumors,  
4        again based on the evidence which says that for  
5        high LET radiations the difference in  
6        effectiveness does depend on whether you have  
7        this kind of cancer or this kind of cancer.  
8        Again, I think that the shakiest part of our  
9        entire analysis is alpha particles and leukemias.  
10       And I really welcome comments about what we might  
11       do about this.

12               These distributions are independent of  
13       energy. The good news about radioactive decay is  
14       that the range of alpha particle energies is very  
15       limited. It's about four to eight MeV is all you  
16       get.

17               And we apply an inverse dose-rate effect in  
18       all cases. All exposures to alpha particles are  
19       assumed to be chronic. And again, the central  
20       estimate here at the end of the day was about 18,  
21       which is more or less 20, but it's a broad range  
22       of values. Again, you have to think about  
23       uncertainty, not just where the central estimate  
24       lies, and there's a lot of uncertainty in these  
25       REFs.

1           And lastly, for neutrons, again we  
2           distinguish between leukemias and solid tumors;  
3           and furthermore, we have an energy-dependent REF.  
4           We have these five energy bins as defined by  
5           ICRP. So we have three sets of distributions,  
6           each for the two different types of cancer. And  
7           we have a correction for the inverse dose-rate  
8           effect that would be applied only in cases of  
9           chronic exposure to neutrons.

10           Well, after that spinach you can have some  
11           chocolate ice cream for lunch, I guess. You've  
12           got to balance the diet here. I'm sorry about  
13           that, but I really didn't know how to talk about  
14           this without making it painful.

15           **DR. ZIEMER:** Thank you very much. An  
16           extremely interesting approach that's been used  
17           to what clearly would be a difficult problem if  
18           point values were used on all of these things.

19           **DR. KOCHER:** Yeah, I might comment. The  
20           atomic veterans' dose reconstructions haven't  
21           done any of this. Of course, the presumption was  
22           that they don't have a lot of problems with alpha  
23           particles and neutrons, but of course they do  
24           have some. The veterans got some neutrons, and  
25           some veterans got some plutonium. But they have

1 basically in that work assumed point estimates as  
2 developed by the protection authorities, so this  
3 is breaking new ground.

4 **DR. ZIEMER:** And it's taking into  
5 consideration a wide variety of studies, some of  
6 which appear to us now to conflict in terms of  
7 what they tell us.

8 **DR. KOCHER:** Yes.

9 **DR. ZIEMER:** So you've given some weight to  
10 --

11 **DR. KOCHER:** And there were always questions  
12 about how to apply data in different biological  
13 systems to humans. This is really in the realm  
14 of what do you do. That's a problem for  
15 neutrons, could be a problem for alpha particles.  
16 The dicentric chromosome aberrations, is that  
17 relevant for induction of cancer in humans or  
18 not? I don't know. We've gotten feedback both  
19 ways as to whether those datasets are useful.  
20 But we tried -- again, we tried to be honest  
21 about what we did, warts and all, warts and all.

22 **DR. ZIEMER:** And Owen, we appreciate the  
23 comment, a sort of correction that we have  
24 assumed that you built in biases. Actually those  
25 biases come, in terms of application to

1 compensation, come in terms of where you draw the  
2 cut-off, and that's more of a political/legal  
3 issue. So I think we're seeing at least an  
4 attempt here to be sort of neutral on how you do  
5 this.

6 DR. KOCHER: Yes, sir, I --

7 DR. ZIEMER: And let the science try to speak  
8 for itself.

9 DR. KOCHER: Exactly. That's exactly what I  
10 did. And the science is imperfect, there's no  
11 question about it. But we did not try to start  
12 out -- I did not try to start out with a certain  
13 bias as to what we should do, just let the data  
14 speak to us and see what we get.

15 DR. ZIEMER: Well, let's take a couple of  
16 minutes here for additional questions, then we  
17 need to take a break. Yeah, Gen.

18 DR. ROESSLER: Well, David, that was  
19 wonderful. I read your report on the airplane,  
20 and I wasn't even tempted to look at my novel.  
21 It was so interesting and so refreshing to see --

22 DR. KOCHER: Are you having trouble sleeping  
23 at night?

24 (Laughter)

25 DR. ROESSLER: No, well, except thinking

1 about a few things here. But I think the  
2 science, the degree to which you've applied  
3 science, really should be applauded. And the  
4 honesty with which you talk about things, because  
5 I was going to really nag at you about the  
6 leukemias and alpha particles.

7 **DR. KOCHER:** Please.

8 **DR. ROESSLER:** Well, you already -- there's  
9 nothing left, because you already admitted the  
10 weak points. And I guess the one thing that  
11 maybe isn't quite reflected correctly in your  
12 paper is when you put that 50 percent weight on  
13 the Thorotrast patients, it seems as though it  
14 all came from that one paper, the Hunacek and  
15 Kathren. But in fact, it really -- there's more  
16 --

17 **DR. KOCHER:** They reviewed -- they did a  
18 review of the other studies as part of their  
19 work, is where that comes from.

20 **DR. ROESSLER:** Yeah, so it's really not based  
21 just on those two autopsies, but --

22 **DR. KOCHER:** No.

23 **DR. ROESSLER:** -- the rest -- yeah. And I  
24 think maybe in the way the paper's written, it  
25 implies that it was just that one.



1           **DR. KOCHER:** Yeah, I need to make it clear  
2           that when we used that paper that I was using  
3           information that they got from all the previous  
4           studies.

5           **DR. ROESSLER:** Yeah, I think that would help.

6           **DR. KOCHER:** They were really the ones that  
7           pointed out the uncertainties in dosimetry in the  
8           other studies. And that's where the range in  
9           values comes from, is the difficulty in  
10          estimating dose. But yes, I will do that.

11          **DR. ROESSLER:** That's my only comment.

12          **DR. ZIEMER:** Other comments? It's the point  
13          at which the desire for a break overcomes the  
14          desire to --

15          **DR. HOFFMAN:** Just a suggestion, that is  
16          definitely have a break now, after which there's  
17          a discussion period?

18          **DR. ZIEMER:** Yes, we're coming back.

19          **DR. HOFFMAN:** Much of what Brian Thomas is  
20          scheduled to present leads right into discussion,  
21          because this next rather brief presentation is an  
22          attempt to sum it up. And the bottom line is two  
23          individuals with the same disease and the same  
24          dose don't necessarily get the same probability  
25          of causation.

1           **DR. ZIEMER:** That's right, we do have to hear  
2 from Brian yet. But I think we're close enough  
3 to the hour, and there's enough squirming going  
4 on, to necessitate a break.

5           (Whereupon, a break was taken at 10:21 a.m.)

6                           - - -

7           **DR. ZIEMER:** Before our discussion period  
8 we're going to hear from Brian Thomas.

9           Brian, if you're set, let's go.

10          **MR. THOMAS:** Now I'm sure everyone had a good  
11 time so far with the previous presentations, and  
12 what I'm going to do is just run through a real  
13 quick PowerPoint presentation that I've prepared  
14 that has two or three case studies in it.

15          Then we're going to get right into the model,  
16 and I have some bad news about the model, and  
17 then some accompanying good news. The bad news  
18 is that the Internet server that houses NIOSH-  
19 IREP is not accessible this morning, for one  
20 reason or another. We -- wonderful, we hear. We  
21 have some people working on that, because there  
22 are some things that we would like to show you  
23 that we've just added in the past week, and  
24 that's under the view model details button on the  
25 web.

1           So in the event that we don't get to access  
2           that web site today, next time you get on it look  
3           down at the bottom of that main screen. There's  
4           a button that says "View Model Details." You can  
5           access lots more details now than you could just  
6           two or three weeks ago. And there's even some  
7           additional calculation buttons under the view  
8           model details now that will show you the exact  
9           original ERR per sievert value that was used for  
10          the case you're running. And then you can see  
11          the ERR per sievert after it's been adjusted for  
12          the errors in dosimetry, after the values have  
13          been transferred to the U.S. population, and then  
14          after they've been adjusted by the DDREF, and  
15          then the final. So all of those are there as  
16          buttons you can click and calculate.

17          Probably what we'll do today, once I run  
18          through this real brief PowerPoint talk, is we'll  
19          get right into the source code, and I'll show you  
20          kind of how it's laid out. It's not as user-  
21          friendly for it to give you a copy. It'd be a  
22          little harder for you to browse through than it  
23          would be to run it on the web. But we'll go  
24          through some of that. If there's questions that  
25          come up, we'll immediately be able to address

1       those within the model.

2               So I'm going to start out by just discussing  
3       some of the required model inputs. You guys are  
4       extremely familiar with this, but I at least have  
5       a slide that will touch on them. I'm going to  
6       show you some results from two or three case  
7       studies that we've come up with. And the purpose  
8       of this entire talk is just to show you that two  
9       people that were exposed the same way might not  
10      have the same probability of causation.

11              And just a note about the results that I'm  
12      going to be showing today, the slides up here  
13      were done with 1,000 iterations. And if you guys  
14      have read the rules, the Department of Labor are  
15      going to be using 2,000 iterations for all their  
16      runs.

17              The inputs for the personal information  
18      include the individual's gender, their year of  
19      birth, the year that they were diagnosed with a  
20      particular cancer. Then you'll need to select  
21      from a pull-down menu a cancer model. There are  
22      30 cancer models included in NIOSH-IREP, and  
23      there's even a category called other and ill-  
24      defined sites. So if someone has a cancer that's  
25      not included with one of those models, that would

1 be the model that would be used. A couple of  
2 other things that need to be entered, if the  
3 individual has lung cancer, the smoking history  
4 needs to be selected. If the individual has skin  
5 cancer, they need to select the ethnic origin.

6 The exposure to be entered, this will be done  
7 by the people who do the dose reconstruction from  
8 NIOSH. All these things will be entered: The  
9 number of exposures that an individual had --  
10 this could be multiple exposures in one year,  
11 some acute exposures that a person had; could  
12 represent one exposure per year, which would be a  
13 chronic exposure over an entire year, and so you  
14 could have several of those; the year of each  
15 exposure; the exposure rate -- whether they got  
16 the dose acutely just in a short period of time,  
17 or whether they got it over a long period of  
18 time; the radiation type, which is what David  
19 Kocher just went into; and of course the organ  
20 dose.

21 Now some of the advanced features. Owen  
22 touched a little bit on the user-defined  
23 uncertainty distribution already. Also, the  
24 simulation sample size can be edited. By  
25 default, the Department of Labor will be doing

1 2,000, but anyone else looking at the model on  
2 the web, you can pre-select that, any value you'd  
3 like. Same thing with the random number seed,  
4 and that simply is just a value which the Monte  
5 Carlo simulations use as a starting point.

6 So the main question is will two individuals  
7 who receive the same dose have the same  
8 probability of developing cancer? Here's a case.  
9 This is a female -- there's actually going to be  
10 two cases. Age at exposure for the first female,  
11 she's 20 years old. She gets cancer when she's  
12 50. Liver cancer, one exposure to chronic  
13 photons, energy range 30 to 250 keV, and I've  
14 just entered a constant dose of ten  
15 centisieverts.

16 And what you see in the first column here is  
17 the first individual. This is the one that was  
18 exposed at age 20, and this is the individual  
19 exposed at age 40. And so what this shows you is  
20 the dependence on age at exposure. You can see  
21 that the person who was exposed at a younger age  
22 would qualify under the current regulations, and  
23 the person who was exposed at an older age would  
24 not.

25 I have a case here, just to show you a little

1 bit how smoking history affects the probability  
2 of causation. We have a male exposed at age 20,  
3 diagnosed with lung cancer at age 50. Case 2A,  
4 he never smoked, case 2B, he smoked one to two  
5 packs per day. And I just put a dose in here of  
6 50 centisieverts. I selected the dose in a way  
7 that the 99th percentile would be at or around  
8 the 50 percent. So you see the person who never  
9 smoked has the higher probability of causation  
10 than the person who did smoke.

11 And here's an example just to show the time  
12 since exposure effect, the time between when  
13 they're exposed and when they're diagnosed. And  
14 so what we have here is an individual exposed at  
15 age 20. One of them gets cancer at age 25, the  
16 other at age 35. This is lung cancer, and  
17 neither individual has smoked, 50 centisieverts.  
18 And so what you see here is that the person who  
19 got the cancer earlier has a lower probability of  
20 causation. And so immediately you think, well,  
21 that's kind of weird, but not really. With all  
22 cancers, as you know, there's a latency effect.  
23 And so if I get exposed today and get cancer  
24 tomorrow, that's not really practical. It takes  
25 time for those cancer cells to develop.

1           And there is an S-shaped curve then in NIOSH-  
2       IREP to account for this. It doesn't just go  
3       five years and then have a steep incline there.  
4       It's an S-shaped curve. And so there is still  
5       some probability that a person who gets the  
6       cancer five years later is -- there's still some  
7       probability that that exposure caused their  
8       radiation, but not as likely as someone who got  
9       the cancer 15 years later from the same exposure.

10           And so normally at this point what I was  
11       planning on doing is click this button, and it  
12       would take us right on line and we'd run a few  
13       more examples. I don't know if you guys have  
14       been on line recently, but one of the neat  
15       advancements that we've added to this thing since  
16       we traveled around to the Department of Labor  
17       sites in April is that right on the front screen  
18       there are two buttons now instead of just one.  
19       The Department of Labor claims examiners had  
20       expressed an interest in reducing the number of  
21       mouse clicks that it took to process a claim.

22           And so what we've done is right on that front  
23       page we've provided the option. They can --  
24       well, an individual using the code can click on  
25       the first button, and that will take you right



1       into the input screen. You can manually input  
2       everything. Or you can click on the second  
3       button, and what that will allow the claims  
4       examiners to do is to use a pre-formatted input  
5       file prepared by NIOSH. They'll just locate it  
6       on their hard drive, upload it. All the fields  
7       will be pre-selected for them, so it reduces the  
8       possibility of errors in entering it more than  
9       once.

10       So what we're going to do at this point -- so  
11       you saw with the slides my conclusions that two  
12       people can have a different probability of  
13       causation for the same dose. So now we're going  
14       to get right into the model, and I'm going to  
15       show you just a little bit in here -- I might run  
16       one example, and then we'll start with some  
17       questions and run some specific examples.

18       Now when we first began working with the  
19       National Cancer Institute -- do we already have a  
20       question, before I get started?

21       **MR. GRIFFON:** Yeah, just one question.

22       **MR. THOMAS:** Sure, go ahead.

23       **MR. GRIFFON:** This model you're running right  
24       now, it is Version 5.2, and it's running in  
25       Analytica. Is this -- we've been told that this

1 new version of IREP isn't available on CD, and it  
2 looks like this might be. This is something the  
3 Board has asked for for review purposes.

4 **MR. THOMAS:** I stayed awake late last night  
5 cleaning this thing up to be able to show you  
6 just in case, and it still would require some  
7 time to clean up a little more. And we can have  
8 some discussions about how feasible that would  
9 be. I think the primary concern with spreading a  
10 lot of CD versions around would be that -- well,  
11 let me start by saying the reason that we went to  
12 the web was two-fold.

13 First of all, almost everyone is familiar  
14 with a web browser, and they can navigate around  
15 with the little finger and click back and forth.  
16 Most people aren't that familiar with the  
17 Analytica programming platform, and so it's a  
18 little harder to navigate around in there. So  
19 that's one reason we went to the web-based  
20 approach.

21 The other reason is that as updates occur,  
22 it's much easier to change it once on one server  
23 computer, and then everyone accessing it from  
24 that day on is getting the current version. So  
25 the fear is that we would float a lot of CD

1 versions around, the model gets updated, and then  
2 someone would run one of the CD versions and get  
3 a different answer than what comes on line. So  
4 perhaps there's a way to release a CD that's just  
5 for review purposes, never to be intended to  
6 process claims with or to compare to what's on  
7 line.

8 **DR. ANDERSON:** Self-destruct.

9 **MR. THOMAS:** Self-destruct in five days,  
10 okay.

11 **DR. ANDERSON:** Like all that test software  
12 you can get off the --

13 **MR. THOMAS:** Exactly, yeah. Okay.

14 So what I'm going to do is -- yes, we are in  
15 software called Analytica. When we began working  
16 with the National Cancer Institute we chose  
17 Analytica because when presenting to the public  
18 it's really nice to be able to show diagrams and  
19 things like that as opposed to trying to show  
20 them some C code or Fortran code, or even Excel  
21 is really hard to go through that with the  
22 public. And this does the same calculations, and  
23 deals a lot easier with arrays of data. And so  
24 we chose Analytica for that reason. It includes  
25 uncertainty analysis software right in it, so

1       it's really nice. And we did release a CD  
2       version, Version 2.1, for the NAS review  
3       committee to have. And overwhelming comments  
4       were it's too hard for them to navigate through,  
5       and so that just again pushed us to go towards  
6       the web version.

7               And it's not going to look exactly like what  
8       you're used to on the web, but still has the same  
9       inputs. Just to let you know how this works is  
10      this program is housed on a server computer.  
11      Every time you log on to the web, enter all your  
12      inputs, and click calculate it is submitting  
13      those inputs into the server, opening a copy of  
14      this software, running it, and then submitting  
15      the answers back to your web page. So every  
16      calculation is done live. A lot of times what  
17      you see on the web is a calculator, but it's just  
18      look-up tables. This thing is done live every  
19      time -- 2,000 iterations, 10,000 iterations,  
20      whatever you choose.

21              So this is our main input screen that we've  
22      created in Analytica. Notice there are quite a  
23      few more pieces of personal information to be  
24      entered on the web version, or that you can enter  
25      on the web version. Those are programmed in the

1 HTML. They don't even need to call out here,  
2 because it's things like the claimant's Social  
3 Security number and those sorts of things that  
4 don't need to be passed across the web. They can  
5 just stay right on your machine.

6 And so -- but you can see a pull-down menu  
7 for gender; the birth year, you just type it in;  
8 the year of diagnosis; you select from the type  
9 of cancers. On this version the ethnic origin I  
10 have right on the screen. On the web version  
11 it's down one level; there's one more button to  
12 press to get to that. The lung cancer entries  
13 are here. This is where you would enter things  
14 like the smoking history, the radon exposures.

15 Advanced features would include the user-  
16 defined uncertainty distribution, and on the web  
17 there's an advanced features button which --  
18 that's also where you would change the number of  
19 iterations or the simulation sample size and the  
20 random number seed.

21 Here in this step three, enter exposure  
22 information, this is where you would first of all  
23 type the number of exposures, and then based on  
24 the number of exposures you type there that's how  
25 many doses will be used from this table. And so

1        what we have done is -- this is one of the things  
2        that's sort of confusing about this version.  
3        When we first created it, it would create -- it  
4        was sort of an interactive table. Depending on  
5        the number of exposures you typed right on the  
6        front, it would create only one column for only  
7        one exposure, you type it in and go on.

8                What we've done is we have allowed up to 200  
9        exposures from the web. So the web version works  
10       just like that. You type in two exposures,  
11       you're going to get a place to type in two doses  
12       and all the corresponding information. But in  
13       this version, what you have to realize is that  
14       only the first column is going to be used in the  
15       calculation because I only had one on the  
16       previous screen. So if I had had ten there,  
17       it'll use the first ten columns of data.

18               Now another thing that's not as friendly in  
19       this version is that you need to physically type  
20       in the distribution to be used. It's not in a  
21       pull-down menu like on the web, so you have to  
22       know the spelling and you have to capitalize the  
23       first letter. And we have three parameters to  
24       define. This is just like on the web, so the  
25       first number would be for a lognormal, the

1 geometric mean. And there's a lot of help right  
2 on the web site if you click, and it'll tell you  
3 what to type in for each distribution.

4 Now the exposure rate is either a lower case  
5 C for chronic or a lower case A for acute. On  
6 the web it's a pull-down menu between acute and  
7 chronic. Radiation type, there are eleven  
8 different radiation types that you can choose  
9 from. Again, on the web it's a pull-down menu,  
10 and here you have to know that E-1 stands for  
11 electrons, energies less than 50 keV. So if  
12 something like this ever did get distributed,  
13 we'd need to put a little help file right beside  
14 that to tell exactly what those energies are so  
15 you can play with the different ones. I've made  
16 myself a little list, so if we go in and play  
17 with them today, we're all set.

18 So the one example I'm going to run first,  
19 it's for a male born in 1900 -- and the reason I  
20 picked 1900 is because it's easy to add 30 and 50  
21 or whatever to -- so they're born in 1900.  
22 They're exposed in 1930, so they're 30 years old.  
23 We're going to define their dose as a lognormal  
24 distribution with a geometric mean of 20  
25 centisieverts and a geometric standard deviation

1 of 1.4, which is about a factor of two. This  
2 person was exposed to a chronic dose of high  
3 energy photons. This is energies greater than  
4 250 keV. And they got cancer, they got liver  
5 cancer, in 1950.

6 So let's run NIOSH-IREP. And you notice I  
7 have two buttons here. One is this table of  
8 results. All it is is just the percentiles, the  
9 1st through the 99th percentiles. The other one  
10 has a little bit more information in it, summary,  
11 it'll list their cancer type and those sorts of  
12 things, the birth year and year of diagnosis.  
13 Okay, so we see that this individual clearly  
14 qualified for what I entered. So that's how the  
15 results look here.

16 If you remember, on the web you get a really  
17 nice page that you can either save electronically  
18 or print out that has every piece of information  
19 that went into the run, including simulation  
20 sample size, the random number seed, all the  
21 exposure information, so that years from now you  
22 could take that sheet of paper and rerun the  
23 model and get exactly the same result.

24 Now it just turns out that this projector is  
25 the same projector that we own at our office, so



1 I know that it has this feature where it will let  
2 us enlarge, if I aim it right at it, and you can  
3 see those results. I apologize for those of you  
4 in the back. I didn't think to do that earlier.

5 So again, the 99th percentile is what we're  
6 concerned about for compensation purposes.

7 Okay, so that's an example that kind of shows  
8 you how this Analytica version works. Let me  
9 show you one more piece of information that is  
10 really cool, and this is also available on the  
11 web just as tables. There's a button down at the  
12 very bottom called intermediate results or -- I  
13 can't remember the exact wording, but it's more  
14 results that you can go in and see the excess  
15 relative risk that was calculated, and you can  
16 see the breakdown of the contribution to  
17 variance.

18 So what I have here -- and I apologize,  
19 because I know that at least one person has  
20 complained that on the web we used to have these  
21 pie charts like this, and I just created these in  
22 a little picture editor program just to show that  
23 it's broken into three pieces. This doesn't --  
24 this is not intended to show which one is --  
25 they're all equal size. But when you click this

1 little calculation button, you're going to get a  
2 table that is live that has to do with this exact  
3 case we just looked at.

4 Now what you see at the very top -- there's  
5 really no need for us to look at this one because  
6 we have sources other than radon. If we had had  
7 radon sources and we had had some user-defined  
8 additional uncertainty, then this would be broken  
9 into three components. When we click it now,  
10 it's only broken into one component; 100 percent  
11 of it goes to the excess relative risk, sources  
12 other than radon. So you can see the little  
13 arrow that goes across here. If we had had radon  
14 sources, we could go here and see the breakdown  
15 of the ERR for radon. Since we don't, we're  
16 going to go to the left, and we're going to look  
17 at a breakdown of everything that it takes to  
18 calculate the excess relative risk.

19 One component is dose, and you'll remember we  
20 had some small uncertainty on the dose; the RBE,  
21 which has now been updated to be REF; and then  
22 the adjusted ERR per sievert. Now the word  
23 "adjusted" just simply means that it's been  
24 through all the adjustments now. This is not  
25 looking at the original ERR per sievert. This is

1 including all the uncertainty for the DDREF, for  
2 the transfer to the U.S. populations, for bias  
3 and uncertainty with everything else.

4 Okay. So then let's go and look at that.  
5 And what you see, that the organ dose plays a  
6 little bit into it. So the organ dose plays a  
7 little bit into the uncertainty because it had a  
8 GSD of about 1.4.

9 But you can see that the ERR per sievert  
10 dominates the uncertainty here. So let's zoom  
11 out, and let's go find out -- let's look at a  
12 breakdown now of the adjusted ERR per sievert.  
13 So what you see in this list is the original ERR  
14 per sievert. This is what came straight from the  
15 -- this just includes the statistics on the  
16 Japanese survivor data.

17 Errors in dosimetry accounts for a very small  
18 amount of the uncertainty. Transfer to the U.S.  
19 population in this case is the largest  
20 uncertainty, and that has to do with the  
21 backgrounds, it has to do with whether they use  
22 an additive or a multiplicative approach when we  
23 use the Japanese data for U.S. population. This  
24 is your DDREF that Owen went into and showed you  
25 the distribution for. You can see that it

1 affects about 23 percent. And again, this is not  
2 23 percent of the total uncertainty. This is 23  
3 percent of that 80-some percent that we looked at  
4 before. So it's 23 percent of this piece, which  
5 was 80 percent of the total.

6 Now of course this lung -- adjustment for  
7 smoking doesn't play into this because we're  
8 looking at liver cancer. On the web when you  
9 click intermediate results, it'll bring up  
10 separate tables for lung so you won't see that  
11 blank line, because that might confuse someone if  
12 it says lung cancer and they know they selected  
13 liver.

14 So that's a really nice tool for analyzing  
15 like what you guys want to do, to look through  
16 the model.

17 Okay, what's next? Any questions? What do  
18 we want to look at?

19 **DR. HOFFMAN:** Brian, last evening when we  
20 just arrived, I think it was Rich Miller cornered  
21 us and said he really doesn't like what we've  
22 done through the DDREF. He says that it isn't  
23 really conclusive that DDREF is absolutely  
24 linear, and therefore we should use 1.0 and not  
25 this 20 distribution that we've put in. Well,

1       now that Brian has pulled up the source code, go  
2       in and change the DDREF to 1.0 and see what the  
3       difference would be. Show them the original  
4       calculations that we have here, and then replace  
5       the distribution with just simply 1.0 and show  
6       them what the difference would be.

7               **MR. THOMAS:** I'm jotting down some numbers.

8               **DR. HOFFMAN:** Yeah, here it's -- you'll have  
9       to memorize it -- the 99th percentile, it falls  
10      at 50.8, and 50th percentile is at 12.6.

11              **MR. THOMAS:** So what we've done on the web  
12      version under view model details is just taken  
13      screen shots of each of these screens that I'm  
14      going to go through. This is the screen that I  
15      was mentioning earlier. Now there's calculation  
16      buttons -- there's actually a link which will  
17      show up right here on the page. You click that,  
18      and it'll bring you to another web page that will  
19      have all the buttons on top of each other. You  
20      can just click each one and see the adjustments,  
21      see what effect the adjustments have.

22              So we are going to go right into this DDREF,  
23      and instead of using a distribution we're going  
24      to replace all this -- I'm going to cut it so I  
25      can paste it back in a moment. Don't anyone

1 worry, this isn't the official one that's on --  
2 this is just -- this is only my copy, don't  
3 worry.

4 (Laughter)

5 **MR. THOMAS:** Okay. So we've changed the  
6 DDREF, and I'm going to click run here to show  
7 you that one, that's what it's going to use now  
8 for the DDREF. So we'll go right back to the  
9 front page, put calculate, see what difference it  
10 makes.

11 Well, it's not exactly the same number, and  
12 you can see -- remember we had about 13 or 14 for  
13 the midpoint, now we have 19. And the 99th  
14 percentile used to be 51, now we have 55. And  
15 this is based on 2,000 iterations. So it makes  
16 some small difference, which we saw previously  
17 when we looked at those pie charts. We saw that  
18 it did have some effect on the overall  
19 uncertainty, but it's not a significant source of  
20 uncertainty.

21 **DR. HOFFMAN:** The other thing to show there  
22 is by changing the DDREF, the midpoint comes up  
23 almost a factor of two, but at the 99th  
24 percentile --

25 **MR. THOMAS:** Well, it was 13 and -- well,

1           okay, if you round down to ten or up to 20 --

2           **DR. HOFFMAN:** But the 99th percentile is just  
3 a few percentage points.

4           **DR. ZIEMER:** Let's see if there are  
5 additional questions or comments. Anything you'd  
6 like demonstrated here, or varied or massaged?

7           Larry.

8           **MR. ELLIOTT:** Brian --

9           **MR. THOMAS:** Yes.

10          **MR. ELLIOTT:** -- on the web version from the  
11 early Version 2.1 Analytica that was sent out as  
12 a disk, in that 2.1 version there was the ability  
13 to look at the risk coefficients in the models.  
14 And we've had some concerns and comments that in  
15 the web version that's been up lately we weren't  
16 showing that. And there's good reason for that,  
17 that that was based on NCI's release of their  
18 documentation and what we had adapted from them.  
19 But now, as of today, the risk coefficients are  
20 viewable and available. Correct?

21          **MR. THOMAS:** Yes. Now that's a good point,  
22 Larry.

23          **MR. ELLIOTT:** If we can get the server up.

24          **MR. THOMAS:** Yes, exactly. Larry, that's a  
25 good point, and perhaps what I could do is take a

1 moment just to show you, or maybe those of you  
2 who have not browsed through a CD version, where  
3 those things are, and kind of how they're used in  
4 NIOSH-IREP.

5 What you'll have access to on the web, those  
6 five buttons that I discussed, one of -- actually  
7 one of the buttons will be before any truncations  
8 are made. So for cancers like uterus, where  
9 there's a negative dose response in some cases,  
10 the negative values are preserved. They're  
11 there. You can see them. The very next step  
12 truncates everything at zero, because we won't  
13 use the negative values in the calculation. So  
14 you'll see both of those buttons, and it'll be  
15 for the case that you have selected on the front  
16 screen. So if you wanted to look at a different  
17 cancer type just select a different cancer on  
18 your pull-down menu, and go right back and click  
19 calculate and that'll let you see any of those  
20 coefficients.

21 So we're going to go right into the original  
22 ERR per sievert data, and these are actually the  
23 nodes that get referenced from the web, so it  
24 calls out and uses those. This ERR per sievert  
25 database is actually a separate Analytica file.



1 And we had toyed with the idea of putting these  
2 things into an Access database and hitting it.  
3 It might even make it a little more efficient.

4 If you've played with the web version, let's  
5 say two months ago versus last week, you see a  
6 significant speed increase. It used to take  
7 somewhere around -- just for a really simple case  
8 it would take somewhere around 10 to 15 seconds  
9 to get your answer back. For a very complex case  
10 it would take minutes to an hour to get back.  
11 This is someone who might have been exposed to  
12 100 different exposures, three exposures per year  
13 for 30 years. So it's probably not that  
14 uncommon.

15 So for that reason we went into the model,  
16 and we ran some diagnostics on it and found out  
17 where the roadblocks were, and we tried to  
18 alleviate as many of those as possible. And so  
19 now, after you do the very first run on the web,  
20 what that does is establishes the connection. So  
21 that one's still going to take anywhere from five  
22 to ten seconds. After that it's almost  
23 instantaneous. As soon as you click the button  
24 -- and I don't know how this all works -- but it  
25 sends it across the line and right back to you

1 just really, really fast.

2       So anyway, I digressed from talking about  
3 Access. These are in a separate file, and what  
4 we've done is created some different groups.  
5 There's a PDF file you can download right from  
6 the web. If you click on this node on the web,  
7 it'll give you the option to download a PDF file  
8 that discusses these different answer groupings,  
9 and it shows you all the elaborate equations that  
10 went into those.

11       Now Charles Land did all the statistical  
12 analysis on this data, and he sent us a list of  
13 about 15 percentiles, ranging from the 1st to the  
14 99th, that described the distribution that he  
15 felt best represented the Japanese data for all  
16 these cancer types. What we have done is taken  
17 that list of 15 and done just one more step of  
18 analysis, and instead of having only 15 values to  
19 describe it we've done some cubic spline  
20 interpolation, and what that has done for us is  
21 created 100 values that we can sample from as  
22 opposed to just the 15. And so what you will  
23 see, if you look at any one of those cancers, is  
24 a list of 101, actually, 101 values, because we  
25 had to have a midpoint, and these are in

1 increasing order.

2 Yes.

3 **MR. GRIFFON:** I should say we won't see this  
4 on the web, am I correct or incorrect?

5 **MR. THOMAS:** That's right, you won't see 101  
6 values. Every time we've presented this we've  
7 had the opportunity to explain what those 101  
8 values are. For just someone that got a hold of  
9 a CD, it might be a little harder -- or even if  
10 we had that on the web -- it's a little harder  
11 for someone to understand what those 100 values  
12 are. So what we present is the step right after  
13 this, where we create the distribution out of it.  
14 So we show the distribution on the web, and it'll  
15 allow you to see seven to ten percentiles from  
16 the 1st to the 99th. So you'll see a range  
17 similar to this, but it won't be 100 values. And  
18 so at least on a CD version this is the place  
19 where someone could look at those 100 values for  
20 every cancer type.

21 And then what's done immediately is we pull  
22 in that ERR per sievert from the database. We  
23 use 101 probabilities. These probabilities go  
24 from zero to one, and that just defines what each  
25 of those values are. And then we create the

1 distribution in this step. And so this is,  
2 again, for liver. So this is very similar to  
3 what the web version will show you, and actually  
4 it'll look more like this, so you'll get a table  
5 that looks a lot like that now. And again, this  
6 is the original ERR per sievert.

7 We have a step here where we correlate for  
8 multiple exposures. This is the value before  
9 it's truncated, so that's the one that gets  
10 pulled out. This is after it's truncated to  
11 zero.

12 Then we make the adjustments for errors in  
13 dosimetry, and this is discussed very well on the  
14 web. The exact numbers and distributions that we  
15 used in the model are provided on the web. This  
16 is where we adjust for the model mixture factor.  
17 There's a good discussion of that in Charles  
18 Land's report on IREP.

19 The last step is to adjust for the DDREF.  
20 And as Owen showed you, that's in the  
21 denominator, so you divide by that and it takes  
22 you right to the final ERR per sievert. You  
23 multiply that times the organ dose. Within this  
24 organ dose is where Dave Kocher's work comes into  
25 play, the RBE, which now is the REF. And so what

1       you see here is the programming behind the  
2       photons, electrons, alpha, and neutrons. This  
3       pulls all of them into one file, one database,  
4       and then this one pulls out just the one that we  
5       need for the model. This is what sends it out to  
6       the Internet version.

7               So there's lots of nodes in here that won't  
8       mean much to the average person looking at this  
9       code. But we have tried to at least keep it  
10      relatively easy to understand. Most people who  
11      program in Analytica use it with influence  
12      diagrams, and so in this case they would have  
13      excess relative risk sitting here, relative risk  
14      sitting here, and probability of causation down  
15      here with arrows going in, just showing that that  
16      node depends on those. What we did is we just  
17      created a little equal sign, a line, a times, and  
18      a 100 so that we could make it look like the  
19      equation really looks. Now if you go into the  
20      probability of causation you can see the syntax  
21      that's used in Analytica, so the total ERR  
22      divided by the total ERR plus one times 100.

23              Now one of the strengths that we found early  
24      on of Analytica is it first of all it provides  
25      you a place to type in a variable name. This is

1 -- anytime you use this variable anywhere else in  
2 Analytica, you just reference or type in A-S, and  
3 it'll use this node. You can type a title,  
4 anything you want there. In this description,  
5 you could put paragraphs of information there,  
6 references of where it came from. And then of  
7 course you type the equation in here, shows you  
8 all the inputs to that. Of course, this one only  
9 has one input, the total ERR, and it shows  
10 everywhere that this node is used throughout the  
11 model.

12 A lot of our uncertainty, Monte Carlo-type  
13 calculations that we did five, six years ago, we  
14 were doing in a software called Crystal Ball, and  
15 add-in to Excel. It was a really great program.  
16 The problem is Excel's two dimensional, and so  
17 it's hard to program some of these things in  
18 Excel. And if you guys have done things in  
19 spreadsheets, you know that if you want to get a  
20 calculation for different scenarios, you have to  
21 have it in different cells. All your results  
22 would be in different cells. The equation is  
23 just duplicated. And it's easy enough to copy  
24 down and that sort of thing. But someone  
25 reviewing that spreadsheet, what we ran into in

1 the past, is they have to review every cell of  
2 it, and they have to make sure that you've copied  
3 properly, and that you've held constant the rows  
4 and the columns and that sort of thing.

5 What's nice about Analytica is that the  
6 equation is only entered one time. So what you  
7 saw there, that simple equation for probability  
8 of causation here, is entered one time. So it's  
9 really easy for the people who have reviewed this  
10 so far to just browse through and make sure that  
11 everything is kosher.

12 All right. What else?

13 **DR. ZIEMER:** I think since we actually have  
14 Dr. Land sort of standing by, I'd like us to see  
15 if we have questions. We had the one that got  
16 answered, but if we get Dr. Land on the line we  
17 may re-ask that question, just to validate the  
18 answer.

19 But are there any other questions that any of  
20 you want to direct to Dr. Land? Remember now,  
21 he generated the original NCI stuff upon which  
22 this is all based. I think originally there was  
23 some question in the Board as to how we got from  
24 the NCI stuff to the NIOSH stuff and that kind of  
25 thing. Maybe that's all clear now. Or are there

1 still questions? I don't want Dr. Land just to  
2 be twiddling his thumbs for the next two hours  
3 waiting to hear from the Pentagon or something.

4 **MR. THOMAS:** Yeah, we don't want him to think  
5 we've stood him up. And we can leave this up on  
6 the screen, too, and so if more questions come up  
7 --

8 **DR. ZIEMER:** Yeah, we can come back. But I  
9 want to see, identify --

10 **MR. THOMAS:** Certainly.

11 **DR. ZIEMER:** Do any of you have questions  
12 that you would like Dr. Land to address, which in  
13 a sense goes back to the original NCI stuff?  
14 Would that be a fair way to state it?

15 **MR. THOMAS:** (Nods affirmatively)

16 **DR. ZIEMER:** Or are you comfortable now with  
17 that as the starting point?

18 **MR. GRIFFON:** I think my answer's neither to  
19 that. I'm not comfortable with it, but I don't  
20 know if I have questions right now. I've e-  
21 mailed back and forth, and I need to do more work  
22 on Charles's report that we just got. Some  
23 things are clearer now.

24 I think the reason I'm pushing for this CD  
25 version again is that -- just in terms of being



1       able to review this. I know the ERR per  
2       sieverts, as Larry points out, are now going to  
3       be on the web version. But as I understand it,  
4       it's still going to be on a case-specific basis.  
5       In other words, you have to put in age at  
6       exposure, attained age, and then you get a  
7       generated profile, as you just showed, that  
8       generates distribution of the ERR per sievert.  
9       If we're looking -- if we're concerned about  
10      factors like age at exposure and how that was  
11      handled, then that puts the onus on me to sit at  
12      home and generate -- plug in different ages and  
13      make my own table, when in fact it already  
14      exists. So that's the frustration on the  
15      transparency in terms of being able to review it.

16           I should add, I'm not sure that needs to be  
17      in the web-based version. I'm not even saying  
18      that. I just think that it would be helpful for  
19      us to understand.

20           **DR. ZIEMER:** Also I might, before you respond  
21      there, in terms of Dr. Land, he did indicate that  
22      he might even prefer, if we had detailed  
23      questions, that we could just prepare them in  
24      writing and he would answer them in detail,  
25      rather than the top of the head on his phone.

1           So maybe what we want to do is call him and  
2           indicate that the folks this morning did such a  
3           great job that there are no --

4           **DR. ANDERSON:** That he could take the  
5           afternoon off.

6           **DR. ZIEMER:** Owen.

7           **DR. HOFFMAN:** I took the trouble to read the  
8           minutes of your last meeting, and what stood out  
9           to me was this outstanding question: Why is  
10          there such a big difference between what you get  
11          out of IREP and what you got out of the CIRRPC  
12          table in 1985? I think that's the underlying  
13          question that needs to go to Dr. Land, and I  
14          think he's prepared to answer it. And so just  
15          the general question of can you elaborate why the  
16          differences.

17          **DR. ZIEMER:** That deals with that table that  
18          was pointed out yesterday, I think.

19          Mark, did you have anything?

20          **MR. GRIFFON:** Yeah, I've asked him that in e-  
21          mail format, and it's still not -- I think he's  
22          answered it qualitatively. I'm looking for more  
23          of a quantitative, and I need to work through the  
24          math and have -- he's shown the factors that were  
25          modified that contribute to that difference, but

1       until you sit down and play with some hard  
2       numbers then -- and part of it's just my  
3       understanding of how they went from A to B. I'm  
4       not even -- it's just the ability to review.

5               Part of the other thing about transparency  
6       was, as Owen pointed out in his presentation,  
7       this was based on the Thompson data in the 1994  
8       report, find that's available. I've looked at  
9       it. However, as Charles pointed out to me and  
10      Owen said again, they re-analyzed that data. So  
11      we can't -- so in terms of comparison, you can't  
12      really turn to that. So again, we're left as --  
13      we didn't have the data. Now we might have some  
14      form of it on the web, but we haven't really had  
15      the opportunity to look at that to make -- to go  
16      from A to B.

17             **DR. ZIEMER:** And so the bottom line, though,  
18      is that a brief telephone discussion now may not  
19      be suitable to answer the question, because you  
20      want to see some additional -- or have additional  
21      time to study the material?

22             **MR. GRIFFON:** I don't want to speak for  
23      everyone.

24             **DR. ZIEMER:** Yeah, for yourself.  
25      Owen.

1           **DR. HOFFMAN:** The reason why I'd like to  
2 encourage you to talk to him is this is what  
3 we've just gotten via e-mail from Charles, which  
4 is an attempt on a spreadsheet to explain the  
5 differences between CIRRPC and IREP.

6           **DR. ZIEMER:** Okay, so --

7           **DR. HOFFMAN:** So I think you bring Charles  
8 on, we get detailed insight to that question.

9           **DR. ZIEMER:** Okay.

10           Is Cori still here?

11           **MS. HOMER:** I'm right here.

12           **DR. ZIEMER:** Okay, so I guess we will at  
13 least ask him to -- and he has a copy of this  
14 before him, I presume --

15           **MR. THOMAS:** Yes, he just e-mailed this to us  
16 just a few minutes ago.

17           (Whereupon, Dr. Charles Land was contacted  
18 via telephone.)

19           **DR. ZIEMER:** Dr. Land, can you hear me?

20           **MS. HOMER:** Dr. Land?

21           **DR. LAND:** Yes, speaking.

22           **DR. ZIEMER:** Okay, can you hear me from  
23 there? I'm on a mike here, Dr. Land.

24           **DR. LAND:** I can hear you.

25           **DR. ZIEMER:** Great. Okay. Well, we have the

1 full Advisory Board here. Sorry we're a little  
2 later than we had planned on. Our original  
3 papers went a little longer, and then we had  
4 trouble getting through the phone line here, but  
5 at least we're here now.

6 One of the items that we have before us now  
7 is some material that I think you just e-mailed  
8 to the group, because one of the issues that has  
9 arisen is the differences in the CIRRPC and the  
10 IREP values that are shown in the June paper.  
11 We're looking at the material that you sent --  
12 what is this table called?

13 **DR. LAND:** Is it the last table, or the last  
14 --

15 **DR. ZIEMER:** Well, it's the last table in the  
16 paper, and then -- yes, table E-4 --

17 **DR. LAND:** Uh-huh.

18 **DR. ZIEMER:** Is it E-4? Yes. And the  
19 differences between the CIRRPC values and the  
20 IREP values, that has been a bit of an ongoing  
21 question. And then I guess you have sent,  
22 relative to that, you have e-mailed some  
23 information which includes transfer rate and  
24 DDREF's and so on. So I'm not even sure what to  
25 ask at this point, but maybe you can simply begin

1 by helping us understand the differences between  
2 those two. And Mark Griffon has an additional  
3 comment.

4 **MR. GRIFFON:** I may be able to give people a  
5 -- Charles, this is Mark Griffon. And I think  
6 your spreadsheet is what I was also trying to do  
7 with the e-mail values you sent me, so this is  
8 helpful. I think what you're trying to  
9 demonstrate in this spreadsheet is to go from  
10 table 4-D-2 or D-4-2 -- I forget which -- anyway,  
11 from the ERR per sievert values to the -- how the  
12 transfer from the Japanese population and the  
13 other factors that would affect that to get back  
14 to the final IREP ERR per sievert value, if I set  
15 that up right.

16 **DR. ZIEMER:** Did you catch that?

17 **DR. LAND:** Yeah. It sounds as if you have  
18 the spreadsheet that goes from the median values  
19 for the uncertainty distributions, the  
20 statistical uncertainty distributions, and then  
21 there's a correction for -- immediate correction  
22 for the uncertainty introduced by the dose  
23 reconstruction, which is a .82. And then there's  
24 a -- I'll divide by the DDREF, and then again is  
25 the median value, and then multiply by a transfer

1 factor which depends on -- really on whether the  
2 baseline risks are higher or lower in Japan. And  
3 then the product is essentially the median of the  
4 IREP, which is -- I think it's in table -- this  
5 particular case it's table E-2, it's Appendix  
6 Table E-2.

7 **DR. ZIEMER:** Okay. For the group here,  
8 that's page 108 of the document, that Appendix E-  
9 2, right.

10 **MR. GRIFFON:** So Charles, just looking at  
11 your spreadsheet here because we don't have it,  
12 we're looking at it on a projector, is it column  
13 M? Is that the IREP value? And I think column  
14 C, if I could look back, was the original ERR per  
15 sievert -- yes, column C, or D and E. D and E  
16 would have been the original values.

17 **DR. ZIEMER:** Mark is looking at the  
18 spreadsheet that you e-mailed us.

19 **DR. LAND:** I e-mailed -- is that the -- could  
20 I ask Owen, is that the same as the spreadsheet I  
21 --

22 **DR. ZIEMER:** Yeah, the one -- oh, you e-  
23 mailed to Owen? Was it, Owen?

24 **DR. HOFFMAN:** (Nods affirmatively)

25 **DR. LAND:** Okay, right. Okay, then we're on

1 the same page.

2 DR. ZIEMER: Okay.

3 DR. LAND: The IREP value is in column I.

4 DR. ZIEMER: Column I, where it says Japan?

5 DR. LAND: It's sheet two of the spreadsheet.

6 DR. ZIEMER: Oh, okay. Okay, here we are.

7 Okay, we have that.

8 DR. LAND: Okay. Then the column N is the  
9 CIRRPC value, and column G is the multiplication,  
10 because I don't figure this exercise involving  
11 columns C, D, E and F is going to be exact, but  
12 it's good enough. It gets there. And so you can  
13 see that -- you're starting with C. C is the  
14 median of the statistical uncertainty  
15 distribution. Column D, then, is this correction  
16 factor for the dose reconstruction for the A-bomb  
17 survivors. That's a .82 except for --

18 DR. ZIEMER: Right, except for thyroid.

19 DR. LAND: -- thyroid. And then there's one  
20 over the DDREF, right, because you divide by the  
21 DDREF. It's simpler just to multiply across, and  
22 that's .6 for most everything except for breast  
23 and thyroid, which is .66, and for leukemia,  
24 which is 1. And then there's the transfer, which  
25 is the -- that's the least easy to explain, but



1           anyway, there you have a really big factor for  
2           liver and smaller factors for many other things.  
3           Transfer -- I'm not sure I believe the value for  
4           stomach.

5           **UNIDENTIFIED:** Yeah, I was questioning --

6           **DR. LAND:** I don't think that's right.

7           **MR. GRIFFON:** I think it might have been 9.4  
8           in the e-mail you sent me.

9           **DR. LAND:** Yeah, I think it's supposed to be  
10          9.4, and so the value is much larger.

11          **DR. ZIEMER:** We had a different table that --  
12          or Mark did, that showed that value as being 9.4  
13          for males and 9.3 for females, or something like  
14          that.

15          **DR. LAND:** Oh, yeah, 9.4. It should be --  
16          somehow it got here as 2.4. Well, I'll just  
17          change it. And you could change it, too, I  
18          guess. It's --

19          **DR. ZIEMER:** Right. Right, and that -- and  
20          then the new product, then, is .547 --

21          **DR. LAND:** Yeah.

22          **DR. ZIEMER:** Yeah.

23          **DR. LAND:** And then I have the IREP here as  
24          .13, so I don't --

25          **MR. GRIFFON:** Charles, in looking at that one

1       you just changed there, I'm looking at column G  
2       versus column I now, and that's quite a  
3       disparity. Unfortunately, that was the one that  
4       I picked out to try to replicate at home, and I  
5       was wondering if I was doing something wrong.  
6       But .54 versus .13 in IREP, seems to me that --  
7       and maybe it's the simplistic form that we're  
8       doing this analysis in, is that --

9               **DR. LAND:** I don't understand this particular  
10       one, and I -- the first thing that's brought up  
11       is one that I don't understand.

12               (Laughter)

13               **MR. GRIFFON:** It's the first one I reviewed,  
14       too.

15               **DR. LAND:** Yeah, I really don't understand  
16       that. I'm going to look at Iulian Apostoaei's  
17       paper on that, in which he gives the factors.

18               **DR. ZIEMER:** Well, that's something you'll  
19       need to follow up on, then, and --

20               **DR. LAND:** Yeah, I'll follow up on it, yeah.

21               **DR. ZIEMER:** But then can you speak more  
22       generally to the original question about the  
23       differences between the CIRRPC and the IREP  
24       values?

25               **DR. LAND:** Okay. The differences are --

1 first place, the NIH -- the table, figure K --  
2 sorry, column K, these are the medians or the  
3 point estimates that were developed by the NIH,  
4 the 1985 NIH committee.

5 **DR. ZIEMER:** Right.

6 **DR. LAND:** And they assumed, except for  
7 breast cancer and thyroid, assumed a quadratic  
8 dose response. And CIRRPC, which actually sort  
9 of acts the same way as the DDREF correction in  
10 the present, except it doesn't have the amount of  
11 uncertainty in it. And CIRRPC, in the column L  
12 that's labeled FDL, that's their way -- they're  
13 moving -- they're making -- they're assuming  
14 linear dose response, so they're correcting for  
15 what it would be if the dose response were  
16 linear. So in effect they're taking away the  
17 DDREF. This is one of the conservative things  
18 they did in order to get a screening rule that  
19 would tend to let in things that -- well, the  
20 idea was that if something got screened out that  
21 it would definitely not be qualified for  
22 compensation, all right?

23 And then the other one here is this factor  
24 FB, which is in column M, and that's taking the  
25 baseline -- it's a baseline factor, and it has to

1 do with substituting -- rather than the baseline  
2 for the whole U.S. population, it's the baseline,  
3 the ten percent baseline -- that is, in the  
4 lowest ten percent of counties, what was the  
5 baseline? So there you have this multiplying  
6 factor here.

7         So these two things multiplied together,  
8 that's a factor of about five. It varies, but  
9 it's about five, on average. And that's why the  
10 product in column N, which is the median for this  
11 distribution or this uncertainty distribution, is  
12 so much higher. But it's intended to be higher.  
13 It's deliberately intended to let in as many  
14 cases as possible that would then be evaluated  
15 more stringently.

16         So there's two things going on here. One is  
17 these factors here that are intended to boost  
18 values; and the other thing is that the NIH, in  
19 the NIH model the transfer between populations  
20 was assumed to be additive. And that means that  
21 the coefficients for something like stomach would  
22 be higher than they would be if you used a  
23 multiplicative transformation. But anyway, it's  
24 expanding things, and then for something like  
25 breast where the U.S. rates are higher, then it

1 would make the excess -- I'm sorry, that would  
2 make -- yes, that would make the excess relative  
3 risk lower.

4 **DR. ZIEMER:** Okay. Let me now ask the Board  
5 if they have any follow-up questions on that at  
6 this point.

7 Mark Griffon.

8 **MR. GRIFFON:** Just one follow-up, are these  
9 values documented in your report? I don't know  
10 if these transfer values are documented in your  
11 report, the recent 2002, June 10th, I guess,  
12 report.

13 **DR. ZIEMER:** June 11th, yeah.

14 **DR. LAND:** It's -- no. They're described,  
15 and it tell you how we got them. But that's  
16 something we just noticed, that we really should  
17 have a table of them, and we will be putting that  
18 in either as an errata sheet or as an addendum to  
19 the report.

20 **MR. GRIFFON:** And just the -- I'm going to  
21 run through the spreadsheet, too. I think it's  
22 very useful. I should note there's a couple of  
23 other differences on the e-mail that you sent me,  
24 so -- it has liver cancer with a value of 8.3 for  
25 transfer ratio, so --

1           **DR. LAND:** Oh, you know what? The stuff I  
2 sent you was -- here's what it is. This was for  
3 white males or white females, whatever,  
4 whichever. Anyway, it was for whites, and for  
5 the -- the ones we're using are for the whole  
6 population in the country, and there are a number  
7 of population subgroups that have higher  
8 baselines. And liver cancer and stomach cancer  
9 are sort of major examples of that.

10           **DR. ZIEMER:** Let me ask again now, any other  
11 follow-up questions by the Board here for Dr.  
12 Land?

13           (No responses)

14           **DR. ZIEMER:** Okay. Dr. Land, thank you very  
15 much. What we'll do, if additional questions  
16 arise I think what we'll do is ask that the Board  
17 put them in writing --

18           **DR. LAND:** Sure.

19           **DR. ZIEMER:** -- and then we'll shoot them  
20 back to you.

21           **DR. LAND:** Okay.

22           **DR. ZIEMER:** This has been very helpful. We  
23 appreciate your taking the time out of your  
24 schedule to sort of stand by and wait for us to  
25 call, so we appreciate that.

1           **DR. LAND:**   You're welcome.

2           **DR. ZIEMER:**   Thank you very much.   Good-bye.

3           (End of telephone conference.)

4           **DR. ZIEMER:**   Okay.   Now does that help some?

5           You --

6           **MR. GRIFFON:**   Yes, yes.

7           **DR. ZIEMER:**   Okay.   Let's open it back up for  
8           any questions on any of the material.   We are  
9           going to need to break for lunch, but I think we  
10          have a few minutes we can continue.

11          And Owen, you and the others are going to be  
12          here for a while after lunch as well, so --

13          **DR. HOFFMAN:**   We're at your disposal all day.

14          **DR. ZIEMER:**   Okay.   Well, it is 12:00, and we  
15          do need to grab a bite to eat.   We are shooting  
16          for a 4:00 adjournment because a number of folks  
17          have to get to the airport by about 6:00, 6:30 --  
18          that is, they have flights by 6:30, which means  
19          they need to be at the airport shortly after 4:30  
20          or roughly.   So we're going to shoot for  
21          adjourning by 4:00, which means the public  
22          comment period will be moved up.

23          Is anyone signed up for public comment today?  
24          Are any of you that are here know that you're  
25          going want to --

1           **MS. HOMER:**   No.

2           **DR. ZIEMER:**   We'll certainly accommodate if  
3           there are additional public comments, but we do  
4           want to shoot for adjourning by then.

5           We have not only additional discussion on  
6           this, but we have an updated report on the dose  
7           reconstruction subgroup, and also a report from  
8           the group that was looking at comments on the  
9           rule-making. So we have all of that to do, and  
10          then talk about when we meet again.

11          So it's now 12:00. Let's try to be back by  
12          1:15 if we can.

13          (Whereupon, a lunch break was taken from  
14          12:00 noon until 1:21 p.m.)

15                               - - -

16          **DR. ZIEMER:**   Folks, we need to jump ahead a  
17          little bit on the schedule and do some  
18          administrative housekeeping, partially because I  
19          think the earliest flight out now is Tony's, and  
20          --

21          Tony, what time do you have to leave us? You  
22          have to leave here about 2:00?

23          **DR. ANDRADE:**   Around.

24          **DR. ZIEMER:**   Around 2:00.

25          **DR. ANDRADE:**   Maybe 2:00, 2:30.



1           **DR. ZIEMER:** 2:00 to 2:30. In any event, we  
2 want to talk about work schedule and meetings and  
3 so on.

4           A couple of things to keep in mind. Number  
5 one, it may be by the end of the day today that  
6 we will still need to polish some comments for  
7 the proposed rule-making. That would require  
8 either a face-to-face or a telephone conference.

9           Also, the subcommittee workgroup, the  
10 subgroup, working group -- I forget what the  
11 proper term is -- the working group dealing with  
12 our process for overseeing, as it were, the dose  
13 reconstructions -- that is, the Mark Griffon  
14 working group -- also wants to plan a meeting in  
15 Cincinnati, which would include an opportunity to  
16 see the facilities and look at some dose  
17 reconstructions and so on.

18           One thought was that it might be possible  
19 somewhere mid to late August to combine those two  
20 things, so that we could all see the Cincinnati  
21 facilities and have an opportunity to see what  
22 the group is doing there, and also to take care  
23 of both the subcommittee's activities and have  
24 even some input on their final recommendations,  
25 as well as do the final polishing on our

1        comments.

2                Now the negative side of all this is that  
3        between now and then the NIOSH staff is going to  
4        be extremely busy taking care of the road trips,  
5        public comments, and related things. I know that  
6        Larry's availability schedule is very limited.  
7        His wife is even insisting on some vacation time  
8        in there. I can't understand why, but in any  
9        event, those are some options we need to think  
10       about.

11               If it were in August, it would have to be the  
12       third week, I think.

13               **MR. ELLIOTT:** The week of the 12th.

14               **DR. ZIEMER:** Is that the third week, or it's  
15       the second full week as far as -- that's the only  
16       week Larry's available in August, and it's  
17       available theoretically. You'd be barely back  
18       from the road shows.

19               **MR. ELLIOTT:** Right.

20               **MR. PRESLEY:** The 12th?

21               **DR. ZIEMER:** The week of the 12th is --

22               **MR. ELLIOTT:** The only week I have available  
23       in August.

24               **DR. ZIEMER:** Then it could be toward the end  
25       of the week.

1           **MR. ELLIOTT:** Yeah.

2           **DR. ZIEMER:** But I guess we'd like a little  
3 input both from staff and from the Board as to  
4 what your druthers would be.

5           I don't know, Mark, on your working group how  
6 soon you were thinking about meeting in  
7 Cincinnati, or had you thought about that?

8           **MR. GRIFFON:** As soon as possible.

9           **DR. ZIEMER:** But the staff is not likely  
10 they're going to want to have you showing up  
11 before mid-August, because they're going to be  
12 gone.

13           **UNIDENTIFIED:** Can you just leave a key?

14           **DR. ZIEMER:** Under the mat, okay.

15           **MR. PRESLEY:** Can we come up, the working  
16 group, the first part of the week, say Monday and  
17 Tuesday or Tuesday and Wednesday, and then have  
18 the Board meeting on Thursday and Friday? Or --

19           **DR. ZIEMER:** Or 13<sup>th</sup>, 14<sup>th</sup>, or something?

20           **UNIDENTIFIED:** The working group would only  
21 need two days?

22           **MR. PRESLEY:** Yeah. That's what Mark's  
23 talking about.

24           **DR. ZIEMER:** Jim, how much of that would be  
25 sort of seeing the sights, the facilities, that

1 the full Board might want to be involved with?

2 DR. NETON: Well, our facilities aren't very  
3 extensive.

4 DR. ZIEMER: So allow a few minutes for that.

5 DR. NETON: I think a five-minute tour -- no,  
6 a couple of hours to do that.

7 I was thinking in terms of the working group.  
8 To actually sit down, maybe go over a few case  
9 studies that we could set up with our health  
10 physicists, and maybe back up a step and actually  
11 go over our implementation guidelines; and then  
12 to sit down in a room with some CD-ROMs that has  
13 data on them would take a couple of days, I  
14 think. Maybe not full two days, but it would be  
15 hard-pressed to cram it into one day, I think.

16 DR. ZIEMER: That part of it, the working  
17 group part, would mainly involve you, Jim, and --

18 DR. NETON: Yeah, that's --

19 DR. ZIEMER: -- some of your immediate staff,  
20 so it might not require the rest of the staff?

21 DR. NETON: Right, right. I think it's --

22 DR. ZIEMER: I'm trying to think in terms of  
23 impact on the ongoing work.

24 DR. NETON: Right. Primarily the health  
25 physicist. We have three health physicists on

1 the staff, and we can move them in and out as  
2 needed. Each has its own specialty. They have  
3 an internal dosimetry person, an external, and  
4 then sort of an overview person, so we could  
5 rotate them through. We could set you up in a  
6 conference room with computer terminals and  
7 whatever we need to facilitate the reviews.

8 **DR. ZIEMER:** Let me ask this question at this  
9 point. Is there anyone that could not -- we'll  
10 start with the working group. Anyone on the  
11 working group that could not do it that week if  
12 that turned out to be a desirable week?

13 **MR. ESPINOSA:** On the 16th I've just got to  
14 be back in Albuquerque by 1:30.

15 **DR. ZIEMER:** All right, on Friday. Yeah,  
16 okay. But perhaps we could be talking about  
17 13th, 14th, 15th or something. I'm not even sure  
18 this group would have to meet the full two days.  
19 We might overlap on the afternoon of the second  
20 day or something, and then go into the next day.  
21 I'm just -- just top of the head. I don't know.

22 **MR. PRESLEY:** Jim, you think -- you said two  
23 days. Could we schedule Monday and Tuesday for  
24 us?

25 **DR. NETON:** Yeah, maybe even a day and a

1 half. I think one day would be optimistic to be  
2 done with everything we wanted to do to go over.  
3 We spend hours on a telephone conference, and  
4 we're barely scratching the surface on where  
5 we're heading. So I'm just -- I think a day, day  
6 and a half. A day and a half, if not two.

7 **MR. ELLIOTT:** Don't cut yourself short.

8 **DR. NETON:** Okay.

9 **MR. ELLIOTT:** We want to allow you ample  
10 opportunity to go through all the information you  
11 want to see.

12 **DR. NETON:** Yeah, I'd rather do it now than  
13 have to come back for a second trip.

14 **DR. ZIEMER:** Would the 12th and 13th work?  
15 Are you -- in other words --

16 **UNIDENTIFIED:** Is that a Monday and Tuesday?

17 **DR. ZIEMER:** When do you finish the road  
18 show?

19 **MR. ELLIOTT:** Well, let me go over our plans  
20 for the road show so everybody can factor that  
21 into their schedules here. Right now we're  
22 trying to -- folks back in Cincinnati on my staff  
23 are trying to work out the logistics. That means  
24 getting a room where we can have these meetings  
25 in these locations.

1           But we have targeted, for the week of July --  
2           it'll be starting the 23rd, 24th, and 25th, one  
3           of those three nights. We would be up in  
4           Amherst, New York, and then come back to  
5           Cincinnati and hold a second meeting, a second  
6           stakeholder meeting somewhere in the Cincinnati  
7           area. So that's the first two.

8           Then the second two would be done the week of  
9           -- it'd actually be August 7th we would hope to  
10          be in Richland, and then August 8th we would be  
11          in Espanola. So you can see what we have lying  
12          ahead of us. That's if we can get the logistics  
13          worked out.

14          We're going to make one *Federal Register*  
15          announcement for all four meetings. We have a  
16          press release that will be developed and will be  
17          distributed to the local area media for each of  
18          these four sites. We have talked with Department  
19          of Labor about who their points of contact have  
20          been at these sites to set up their traveling  
21          resource center meetings or their town hall  
22          meetings that they've had. And of course we'll  
23          be working with DOE to try to get the word out  
24          for those three sites, or three areas where we  
25          have current active DOE sites that they could get

1 the news to the workers and former workers.

2 So today that's the plan. It's being worked  
3 on and developed as we speak.

4 **DR. ANDRADE:** Larry, to give you a breather,  
5 just in case you end up going late that week  
6 before, would it be better to plan the working  
7 group on the 13th and the 14th, and the regular  
8 Advisory Board meeting on Thursday and Friday?

9 **MR. ELLIOTT:** Well, Monday --

10 **DR. ZIEMER:** Rich has a problem --

11 **MR. ELLIOTT:** Monday's always a good day for  
12 us when we come back off a weekend and off a  
13 series of travels, to get our heads back clear  
14 and collective on a topic. And I appreciate that  
15 offer. I think Monday -- if you could give us  
16 Monday the 12th to do that, that would be  
17 helpful.

18 **DR. ANDRADE:** I think for both meetings, for  
19 both meetings in case you have to -- in case the  
20 agenda is such that you don't have to go the full  
21 second day. That still would be fine, wouldn't  
22 it?

23 **MR. ESPINOSA:** If it make it easier, I can  
24 cancel the meeting on the 16th, my meeting. I've  
25 got plenty of time to cancel that.



1           **DR. ZIEMER:** Is Rich the only one with a  
2 conflict that week?

3           **DR. MELIUS:** I've got a problem on the 16th  
4 also.

5           **DR. ZIEMER:** The 16th also?

6           **DR. ANDERSON:** Yeah, I do, too.

7           **MR. ELLIOTT:** Well, I just wonder maybe if  
8 you think about the --

9           **DR. ANDERSON:** Well, I could -- I was going  
10 to cancel it.

11           **MR. ELLIOTT:** I think it would be helpful to  
12 me if you'd talk a little bit about what your  
13 agenda might be, and whether or not you need two  
14 days. Maybe you only need a day and a half. But  
15 I know that won't allow you to get back to where  
16 you need to be on that Friday, perhaps.

17           **DR. ZIEMER:** He gains a couple of hours,  
18 though.

19           **MR. ELLIOTT:** You might gain a couple of  
20 hours, I don't know.

21           **DR. ZIEMER:** Right now it appears that the  
22 main thing on the agenda would be --

23           **DR. ANDERSON:** Finalize our comments.

24           **DR. ZIEMER:** -- to finalize the comments on  
25 the special cohort rule, and possibly have some

1 input on the oversight of the dose  
2 reconstructions, because the workgroup will have  
3 a better feel for how that should proceed. So  
4 those would be the two main items. I don't know  
5 that we would even need any speakers -- that is,  
6 outside speakers -- to come in.

7 **DR. ANDERSON:** Yeah, unless we wanted to hear  
8 from the VA.

9 **DR. ZIEMER:** Well --

10 **DR. ANDERSON:** That would be the only one I  
11 would think --

12 **MR. ELLIOTT:** DTRA.

13 **DR. ANDERSON:** Yeah, I'm sorry. Yeah.

14 **DR. ZIEMER:** So it might well be possible to  
15 call a day and a half meeting, and the last half-  
16 day could be primarily workgroup output so that  
17 those that had to leave before midday could slip  
18 out.

19 **MR. ELLIOTT:** Let me suggest this. What if  
20 the workgroup met all day Tuesday and the first  
21 half of Wednesday, and you started your meeting  
22 on the second half of Wednesday and continued it  
23 through Thursday? And if the workgroup still  
24 needed to -- absent Rich, maybe -- if you needed  
25 to stick around, we could still work with you on

1 the Friday morning or Friday all day, if you  
2 wish.

3 **DR. ZIEMER:** And perhaps that -- that's a  
4 good suggestion. Perhaps that second half of the  
5 second day might be the time in which you bring  
6 the full Board into what your thinking is on the  
7 dose reconstruction.

8 **MR. GRIFFON:** That sounds good.

9 **DR. ZIEMER:** It appears that we may have some  
10 degree of unanimity on the 13th, 14th, and 15th.  
11 Is that right? Or 13th, 14th, 15th, and half the  
12 16th.

13 **MR. PRESLEY:** Let me throw something out.  
14 Would we want DTRA to come in that first -- the  
15 afternoon of the first day, and do their  
16 presentation before we make any of our  
17 presentations as a working group? Do we need to  
18 listen to their presentation?

19 **MR. ELLIOTT:** I can see if they're available  
20 for that.

21 **DR. ZIEMER:** You're looking at them to  
22 present to the working group only, or to the full  
23 Board?

24 **MR. PRESLEY:** No, to the full Board.

25 **DR. ANDERSON:** But on the afternoon of the

1 14th.

2 DR. ZIEMER: The afternoon of the 14th.

3 MR. PRESLEY: The 14th?

4 DR. ZIEMER: Yeah.

5 MR. PRESLEY: That way then we've got the  
6 night of the 14th or the afternoon of the 14th  
7 when they get through to get our presentation  
8 ready to give to the full Board on the 15th.

9 DR. ZIEMER: As a tentative approach, does  
10 that sound okay staff-wise, Larry?

11 MR. ELLIOTT: If I can get a nod from Jim and  
12 Cori, because this is going to require Jim's  
13 staff to support it and Cori to put it in place.  
14 I think -- we can do it?

15 DR. NETON: (Nods affirmatively)

16 MS. HOMER: (Nods affirmatively)

17 MR. ELLIOTT: We'll make it happen. We'll  
18 contact the DTRA and see if we can get their  
19 commitment to present on the afternoon of the  
20 14th, but that might be contingent on their  
21 availability.

22 DR. ZIEMER: Again, for clarity, working  
23 group 13th and 14th, full Board afternoon of the  
24 14th and the 15th, and possibly the first half of  
25 the 16th -- or did we say --

1           **UNIDENTIFIED:** The working group.

2           **DR. ZIEMER:** -- would stay over if needed,  
3 okay. So the workgroup would hold -- okay.

4           Is that agreeable to everyone? So unless  
5 some major issue arises that impinges  
6 particularly on the staff between now and then  
7 and with the arrangements, I will proceed on that  
8 basis. And that gives us a little breathing  
9 space on finalizing comments, so we won't feel  
10 pressured to try to wrap that up necessarily  
11 today, although we want to move along on it.

12           Cori has distributed a calendar, and I'm  
13 going to suggest that even though we have already  
14 set these dates up that you go ahead and block  
15 off your known conflicts between now and December  
16 so that they have those.

17           Is that good, Cori or is that --

18           **MS. HOMER:** We can go -- I'm guessing that  
19 November will be enough.

20           **UNIDENTIFIED:** Go through November?

21           **MS. HOMER:** Yeah, because going as far as  
22 December is probably --

23           **MR. ELLIOTT:** December is always a confused  
24 month with the holidays.

25           **MS. HOMER:** Yeah.

1           **DR. ZIEMER:** Well, the other question to ask  
2 was does the Board wish to tentatively schedule  
3 ahead beyond August?

4           **MR. PRESLEY:** It'd be nice.

5           (Affirmative responses)

6           **DR. ZIEMER:** To block off dates, not  
7 necessarily settling where it will be even, but  
8 to say okay, when would we meet.

9           **DR. ANDERSON:** The week of the 18th.

10          **DR. ZIEMER:** Of what?

11          **DR. ANDERSON:** November.

12          **MS. MUNN:** We can't do that.

13          **DR. ANDERSON:** Well, we're meeting already in  
14 August, so

15          **DR. ZIEMER:** If we meet in August, probably  
16 would not need to meet in September. I'm not  
17 sure about October. Again, it's perhaps a little  
18 dependent on where we feel we are at that point,  
19 but --

20          **MR. ESPINOSA:** Well, as I've said before, I'd  
21 like to invite everybody to New Mexico. The  
22 balloon fiesta's in October, at the first, so --

23          **DR. ZIEMER:** Is that a bad time to travel  
24 there, with all the --

25          **MR. ESPINOSA:** Not necessarily a bad time to

1 travel. It's a bad time to make hotel  
2 reservations and such. But if we do it now, it  
3 might be a possibility to get in.

4 **MR. PRESLEY:** Possibility.

5 **MR. ESPINOSA:** Possibility.

6 **DR. ANDERSON:** Those \$400 a night rooms.

7 **MR. ESPINOSA:** Yeah, it's a big event.

8 **MS. HOMER:** That's in October?

9 **MR. ESPINOSA:** It's October, the first week  
10 of October.

11 **DR. ANDERSON:** First week of October's okay  
12 for me, so --

13 **DR. ZIEMER:** Well, as a practical matter, as  
14 much as everyone may want to see the balloon  
15 festival, that in fact is not a good time to go  
16 to Albuquerque, because that's where we're going  
17 to have to fly into.

18 **MR. ELLIOTT:** If I may, a practical matter  
19 also would be to consider what you're going to do  
20 at that meeting, and I would think it would --

21 **DR. ANDERSON:** Watch balloons.

22 **MR. ELLIOTT:** The heavy lifting at that  
23 meeting probably will be looking at your first  
24 reviews of completed dose reconstructions. And  
25 if we are successful in awarding our contract, as

1 we hope we are, I think it's going to be November  
2 before we're going to have a goodly number of  
3 those for you to select from. Maybe November  
4 might be a better time to look at a date. Just a  
5 suggestion.

6 **MS. HOMER:** And if we need to get together  
7 for a shorter amount of time, just to address a  
8 specific issue or two, we can always have a  
9 conference call.

10 **DR. ZIEMER:** Uh-huh.

11 **MS. MUNN:** Would it be worthwhile to look at  
12 possibly setting aside a couple of days in late  
13 September?

14 **DR. ZIEMER:** In what -- when?

15 **MS. MUNN:** In late September, just in case?  
16 We can always -- it's very easy to cancel.  
17 Nobody's ever going to cry if we take those dates  
18 off our calendar.

19 **MS. HOMER:** I have to make all the  
20 arrangements, and we have to pay late fees if we  
21 cancel.

22 **MS. MUNN:** Yeah, I understand.

23 **MS. HOMER:** There's cancellation fees, and --

24 **DR. ROESSLER:** Then if we juggle other  
25 meetings and we commit to them, then we move



1 other meetings, and it -- I think we should go  
2 with what we think is pretty definite.

3 **DR. ZIEMER:** It's a little difficult for me  
4 to see that we would need to meet as early as  
5 September if we're meeting in mid-August, and  
6 Larry suggested November might be a good time in  
7 terms of having some reconstructions in place.

8 **DR. ROESSLER:** How's your weather in  
9 November?

10 **MR. ESPINOSA:** Well, you can still get a  
11 chartered balloon ride.

12 (Laughter)

13 **MR. ESPINOSA:** I just feel that it's --  
14 because of the outreach that I've done with Los  
15 Alamos POWs and other groups in New Mexico, I  
16 just feel it's really important that this group  
17 go to New Mexico. For the Board, I would like  
18 them to see the balloons and everything else like  
19 that, but it doesn't have to be in October.

20 **DR. ZIEMER:** Let's find out what availability  
21 is in November. How about the week of November  
22 4th, any conflicts?

23 **MS. HOMER:** I can't. I have a meeting that  
24 week.

25 **DR. ZIEMER:** That week's out. Okay. The

1 week of November 11th?

2 **MR. ESPINOSA:** If I can speak on Andrade's  
3 behalf, he said that every week -- any time in  
4 October (sic) except for Thanksgiving weekend.

5 **DR. ZIEMER:** November.

6 **MR. ESPINOSA:** Did I say October?

7 **DR. ZIEMER:** Yeah.

8 **MR. ESPINOSA:** Oh, I meant November.

9 **DR. ZIEMER:** Actually the week of the 11th,  
10 I'm out of the loop.

11 **DR. ANDERSON:** The 11th is Veteran's Day.

12 **DR. ZIEMER:** The week of the -- when is  
13 Thanksgiving Day? How about the week of the  
14 18th?

15 **MS. MUNN:** I'm gone all week.

16 **DR. ZIEMER:** All week?

17 **MS. MUNN:** Uh-huh (affirmative).

18 **DR. ZIEMER:** The week of the 25th getting too  
19 close to the holidays?

20 **DR. ANDERSON:** Yeah.

21 **MR. PRESLEY:** That is the holiday week.

22 **DR. ZIEMER:** Bad time to travel.

23 **MR. PRESLEY:** Bad time to travel.

24 **DR. ANDERSON:** First week of December.

25 **MR. ESPINOSA:** What about the first -- the

1 11th?

2 **MR. PRESLEY:** Who had problems with the 11th,  
3 anybody?

4 **DR. ZIEMER:** I'm out all week the 11th. Let  
5 me ask about the last week of October.

6 **MS. MUNN:** I'm out.

7 **DR. ANDERSON:** I'm out.

8 **MS. MUNN:** But the first few days, the first  
9 half of the first week in November I could make  
10 it.

11 **DR. ZIEMER:** Well, somebody --

12 **MS. MUNN:** Through the 4th, 5th.

13 **DR. ZIEMER:** Somebody had a conflict in  
14 November.

15 **DR. ANDERSON:** I do.

16 **MS. HOMER:** Yeah, early November I can't --

17 **DR. ZIEMER:** November isn't looking good, is  
18 it?

19 **MS. MUNN:** No, it isn't.

20 **DR. ZIEMER:** How's the third week of October?  
21 Week of the 21st of October?

22 **MS. MUNN:** Gone.

23 **DR. ZIEMER:** Bad?

24 **UNIDENTIFIED:** Bad.

25 **UNIDENTIFIED:** We're gone. Different places.

1                   **UNIDENTIFIED:** I'm on vacation.

2                   **UNIDENTIFIED:** So am I.

3                   **DR. ZIEMER:** How's the week of the 14th of  
4                   October?

5                   **MS. MUNN:** 14th? Can do.

6                   **DR. ZIEMER:** Bad?

7                   (Inaudible conversations)

8                   **MR. ESPINOSA:** Yeah, keep on going, keep on  
9                   going.

10                  (Laughter)

11                  **DR. ZIEMER:** You can see the slow balloons  
12                  that week, right?

13                  **MR. ELLIOTT:** Nobody said they couldn't do  
14                  the 14th, I don't believe.

15                  **MR. ESPINOSA:** I don't know about Tony. He  
16                  just talked about November.

17                  **DR. ZIEMER:** I think all we would want to do  
18                  is pencil in dates and not ask for hotel  
19                  reservations until next meeting, right? We just  
20                  want to get the Board to block off some dates.

21                  Do you want to -- is early in the week better  
22                  or --

23                  **DR. ANDERSON:** Early.

24                  **MS. MUNN:** Early.

25                  **DR. ZIEMER:** Do you want to travel on a

1 Sunday and meet Monday/Tuesday?

2 MS. MUNN: Sure.

3 DR. ANDERSON: Monday's a holiday.

4 DR. MELIUS: Monday's a holiday.

5 DR. ANDERSON: Which is fine.

6 DR. ZIEMER: What is it?

7 MR. ELLIOTT: Columbus Day.

8 DR. ZIEMER: Columbus Day.

9 DR. ANDERSON: It's not in Wisconsin. It's a  
10 federal holiday. Too bad.

11 MR. ESPINOSA: Would anybody have objections  
12 traveling that Monday?

13 MS. HOMER: Dr. Andrade might.

14 MR. PRESLEY: If we have it at Los Alamos, he  
15 won't have to travel.

16 MS. HOMER: Yeah, so he won't have to worry  
17 about it, will he?

18 DR. ZIEMER: We'll have it in Santa Fe or  
19 Albuquerque. It's very hard to get to Los  
20 Alamos. Rooms are much more expensive in Santa  
21 Fe, too.

22 MS. HOMER: Yeah, they are. But there are  
23 places that are covered by per diem.

24 DR. ZIEMER: It's not clear to me -- let's  
25 not spend too much more time. Are we talking

1 about meeting on the 15th and 16th or 14th and  
2 15th?

3 **UNIDENTIFIED:** 15th and 16th.

4 **UNIDENTIFIED:** I was hoping 14th and 15th.

5 **MR. ELLIOTT:** Can we just block those three  
6 days out right now, and then make a decision in  
7 August? In August we would need to make a  
8 decision so that we can effect a contract with  
9 the hotel.

10 **DR. ZIEMER:** We'll block off 14, 15, and 16.

11 **MS. HOMER:** Yeah, I'll have to have  
12 information soon.

13 **DR. DEHART:** Could I suggest we get an  
14 alternative week as well in November? I realize  
15 there was a conflict or two, but if we don't meet  
16 in October then we'll probably need to.

17 **DR. ZIEMER:** We haven't found any weeks in  
18 November where everyone's clear.

19 **DR. DEHART:** I understand. That's a  
20 secondary goal, recognizing that some --

21 **DR. ZIEMER:** Plan B.

22 **MS. MUNN:** Unless we want to have  
23 Thanksgiving together.

24 **DR. ZIEMER:** The week of the 4th, Cori is not  
25 available. The week of the 11th, I'm not

1 available. I think the Chairman has to be there,  
2 and I think Cori's --

3 **MS. HOMER:** Yes, you have to be there.

4 **DR. ZIEMER:** The week of the 18th?

5 **MS. HOMER:** No Chairman, no meeting.

6 **DR. ZIEMER:** How many people had conflicts on  
7 the 18th? One, two --

8 **DR. MELIUS:** Depends on what day it is.

9 **DR. ANDERSON:** Yeah, early is all right.

10 **DR. MELIUS:** Early is okay.

11 **DR. ANDERSON:** 18th and 19th is okay.

12 **DR. ZIEMER:** This is a back-up time. Okay,  
13 November 18th, 19th.

14 **MS. HOMER:** And that's still in Santa Fe?

15 **DR. ZIEMER:** Possibly. Don't make any  
16 reservations yet.

17 **MS. HOMER:** No, I won't.

18 **MR. ELLIOTT:** In August we'll need to make a  
19 decision, which of these two dates you've held.

20 **MR. PRESLEY:** So what's the date?

21 **MS. HOMER:** First date was October 14th  
22 through 16th. We're setting aside November 18th  
23 and 19th.

24 **DR. ZIEMER:** Pencil those in, folks. Set  
25 them aside. Thank you.

1           A couple more housekeeping items.

2           Larry.

3           **MR. ELLIOTT:** Okay. Under this agenda item  
4 of housekeeping, if you would please make sure  
5 before you leave today to give me your  
6 preparation time so that -- we put a lot of  
7 information in front of you for your reading  
8 pleasure, 300-plus pages. The working group  
9 worked hard and long, I know two different  
10 sessions. So we need to get that accounted for.

11           Secondly, if you haven't noticed in the  
12 roster, the Board membership roster, your names  
13 are presented along with your address and  
14 affiliations and also your appointment dates.  
15 And you'll notice that your appointment dates, I  
16 think across the board, expire August, almost all  
17 of them. Which doesn't mean you're off the hook.  
18 Under FACA you continue your boardmanship until  
19 you either extract yourself fully or you're  
20 relieved from your appointment, even if your  
21 appointment expires.

22           So they do expire in August, but we are  
23 working diligently toward extending those. And  
24 so the White House will be -- I hope -- making an  
25 appointment to extend your memberships to this



1 Board before we have our next meeting. If they  
2 don't, then you're still on the hook as a Board  
3 member to continue your involvement until your  
4 appointment is extended.

5 Any questions on that?

6 (No responses)

7 **MR. ELLIOTT:** Okay. And I think everybody's  
8 travel and pay has made your -- I hope. We have  
9 not heard any complaints to the contrary that  
10 you've not been -- your automatic deposits  
11 haven't made it. So we'll leave it at that.

12 **MR. PRESLEY:** Is there any way that we can  
13 find out when those are made?

14 **MS. HOMER:** That's a good question. Contact  
15 your bank.

16 **DR. ZIEMER:** Check with your bank.

17 **MR. PRESLEY:** Yeah, that's what we have to  
18 do, is just call the bank.

19 **MS. HOMER:** We do have -- there are some  
20 folks that I can contact to get that information  
21 to you, or just keep an eye on your statement. I  
22 don't know how you manage your accounts, but we  
23 check all the time what's coming and going. So  
24 if you keep a copy of your voucher sheet, then  
25 you should know exactly what that amount should

1 be. Your travel, nothing is deducted from that  
2 like it is from your salary, so you'll know  
3 exactly what the amount is going to be.

4 **DR. MELIUS:** I'm on some other CDC boards,  
5 and they have some sort of system. They usually  
6 e-mail me saying expect a travel or whatever  
7 deposit within the next week, or something like  
8 that. So there must be some sort of system down  
9 there.

10 **MS. HOMER:** Well, I know that we have that --  
11 as full-time employees they usually let us know  
12 by e-mail when a travel payment's going to be  
13 making it to your account. If you're not  
14 receiving one, I'm not sure how to request that,  
15 but I'll check into it. Now you know that you're  
16 getting salary because I'll send you your  
17 earnings and leave statement.

18 Now Dr. Melius, you're a little different.  
19 We file a manual on you because you do belong to  
20 more than one board, so it keeps the accounting  
21 straight if we file a manual time card for you.

22 **DR. ZIEMER:** Thank you.

23 I'm going to ask at this time, since we  
24 didn't actually call for public comment before  
25 lunch even though it was on the agenda, were

1           there any public comments?

2           (No responses)

3           **DR. ZIEMER:** I think we heard yesterday from  
4           several of those who were attending. I just want  
5           to give the opportunity if there are any further  
6           public comments.

7           **MR. MILLER:** Just to take two minutes very  
8           briefly, I thought -- it's Richard Miller.

9           One of the issues that Owen Hoffman was very  
10          helpful in bringing up was I guess sort of the  
11          adaptability of the model. And with the  
12          exclusion of the worker studies on radon, the  
13          model does not -- particularly lung cancer models  
14          -- doesn't particularly account for many of the  
15          worker epidemiology studies that have been done.

16          And I just would encourage you all,  
17          recognizing you have a full plate at least for  
18          your next meeting, to think about on a going-  
19          forward basis some kind of examination of worker  
20          epidemiology and how it could, should, might,  
21          ought not fit in. It's certainly in the statute  
22          that you're to account for worker epidemiology.  
23          I certainly think there's room for debate about  
24          whether the model adequately accounts for the  
25          uncertainties that exist around the age at

1 exposure question.

2 But leaving that for debate for another day,  
3 I would just strongly encourage you all to think  
4 about it. This is a worker compensation program,  
5 and yet very little worker epidemiology has been  
6 brought to the table in terms of the discussion.  
7 And the model looks like it's equipped to kind of  
8 compensate for or adjust for that.

9 And one of the issues that's come up is  
10 should the healthy worker effect be a factor  
11 that's considered when you look at the baseline  
12 risks, or whether you want to use population  
13 averaging. And again, these are the kinds of  
14 questions which would be, I think, very valuable  
15 to have examined perhaps at some later date.

16 The second question was just a technical one.  
17 When I was in Los Alamos, we had gotten a number  
18 of individuals who have already filed claims who  
19 are survivors for people who worked at the  
20 accelerator and the Meson facility there. And  
21 the question was, is NIOSH going to be in a  
22 position to adjudicate those claims if IREP  
23 doesn't have that currently in its list of energy  
24 levels or types of radiation to account for? And  
25 if so, how are you planning on accounting for

1       those types of claims, or are those just  
2       automatic candidates for a special cohort?

3             I think those are sort of the two key points,  
4       worker epidemiology and what to do about the  
5       accelerator population.

6             **DR. ZIEMER:** Thank you very much. On the  
7       accelerators, I don't know that that would  
8       necessarily be excluded. We're basically -- are  
9       these unique particles that aren't covered, or do  
10      you know? Because they usually are looking at  
11      secondaries from these --

12            **DR. NETON:** Right. I don't know that it  
13      necessarily follows that these people were  
14      exposed to particles other than what we've  
15      covered --

16            **DR. ZIEMER:** They are monitored.

17            **DR. NETON:** -- first of all. They are  
18      monitored.

19            Secondly, if there are those instances -- and  
20      we've thought about this when we were moving  
21      forward with the rule -- that the population of  
22      personnel or workers that would be exposed to  
23      such particles would be so small that we would  
24      address those on an individual basis within the  
25      dose reconstruction themselves. It would

1 essentially require an effort to go and quantify.

2

3 And given the magnitude of the exposures,  
4 there may be some -- using our efficiency  
5 approach, there may be some extremely  
6 conservative values one could apply, and evaluate  
7 the case using an efficiency approach thing. And  
8 as it gets closer and closer to where we had to  
9 do a full-blown dose reconstruction, we of course  
10 would commission some sort of a study into that.  
11 But it doesn't follow that these unusual type  
12 particles are going to be the predominant  
13 exposure in those workers at those facilities.

14 **DR. ZIEMER:** Did you have an additional  
15 comment?

16 **MR. MILLER:** To the extent that -- correct me  
17 if I'm wrong -- it was my understanding that the  
18 monitoring devices are relatively recent  
19 developments, say, in the last 20 years,  
20 particularly for those types of particles. And I  
21 wasn't quite sure, is that something that is  
22 going to pose an obstacle for adjudicating claims  
23 for, say, prior to 1980 or so?

24 **DR. ZIEMER:** That may be something that has  
25 to be looked into by the group, but I think the

1        accelerator people have been monitored -- and  
2        maybe, Tony, you can answer this -- for as long  
3        as others. And aren't we still looking basically  
4        at a lot of secondary gammas and maybe some other  
5        particulates?

6                **DR. ANDRADE:** You're going to have -- of  
7        course, the potential exists in accelerator  
8        situations to be -- the highest potential is to  
9        be irradiated by the direct beam itself or a  
10       scatter of the direct beam. But then afterwards,  
11       it's the decay products from the target or target  
12       areas or misaligned portions, or portions where  
13       misaligned beams may have hit. And you run the  
14       gamut of beta gamma emitters, anything that can  
15       be produced by energetic particles, either  
16       proton, electron, or heavier ion.

17               **DR. ZIEMER:** There are anecdotal stories  
18       about early cyclotron workers who aligned beams  
19       visually -- yes. So there I think -- and the  
20       biological endpoint was cataracts, which wouldn't  
21       be covered here. But very definitely an issue  
22       with some early cyclotron workers.

23               Thank you for the comments, though.

24               Jim.

25               **DR. MELIUS:** Just to follow up on Richard's

1 comment, there's some epidemiological points that  
2 have come up relative to the worker populations,  
3 the healthy worker effect, there are differences  
4 there. There's also regarding the Japanese  
5 population in terms of a survivor effect or  
6 something like that. And I think, to follow up  
7 on Richard's comment, that it would be worth us  
8 starting to develop some background and  
9 discussion on those. And if we could start that  
10 with the next meeting, it would be helpful.  
11 Again --

12 **DR. ZIEMER:** That would be an item to add to  
13 the laundry list that we've been accumulating.

14 **DR. MELIUS:** Yeah.

15 **DR. ZIEMER:** Thank you.

16 **MR. SCHOFIELD:** Can I just make one comment?  
17 In relation to the healthy worker effect, one  
18 thing that needs to be taken into consideration  
19 when this is done is the fact that I can't speak  
20 for other facilities, but at least at Los Alamos  
21 you go through a physical exam and your  
22 (inaudible) exam. So people who go into those  
23 jobs have to be above average in health. And  
24 those people who start falling down in health  
25 that normally would be able to keep their



1 positions are weeded out. So that introduces a  
2 definite bias.

3 **DR. ZIEMER:** Okay. Thank you.

4 Now we want to allow a little time for  
5 additional discussion relating to the papers we  
6 heard this morning. Owen is still here. I think  
7 Dave is still here. They're all still here.

8 Is there an additional question or comment or  
9 --

10 **MR. ELLIOTT:** Also at this point on the  
11 agenda, which is really what we had targeted at  
12 the 10:45 mark, if there were any questions or  
13 issues or comments relevant to the NIOSH-IREP  
14 documentation that was provided to you for  
15 reading. You heard about the REF from David  
16 Kocher.

17 You've also been provided the subject matter  
18 expert comments and how those were addressed by  
19 Mary Schubauer-Berigan through the NIOSH review  
20 process. So we wanted to -- Mary could not be  
21 here today. She's in Lyon, France, at IARC.  
22 Somebody had to do the tough job there. But we  
23 would like, if you have any issues or questions  
24 you want to raise about our technical  
25 documentation, that we can bring Mary back or

1 another NIOSH technical expert back, we'd like to  
2 hear those and table those till we can get you an  
3 answer.

4 **DR. ZIEMER:** Mark.

5 **MR. GRIFFON:** I did want to ask -- I think  
6 I've mentioned this a couple of times -- but I  
7 would want to request officially that all the  
8 Board members get copies of this most current  
9 IREP model on CD. I think we've seen it's  
10 available. I really think it'd be useful for  
11 review purposes.

12 Larry has a comeback. He doesn't want to  
13 give it to me.

14 **MR. ELLIOTT:** Well, no, I don't. And here's  
15 the reason why. We think it needs to be on the  
16 web in the current version, and that's the  
17 version that will be used to adjudicate claims.  
18 If we have a version on a CD floating around,  
19 we're legally concerned that that version might  
20 be used to advise a potential claimant what their  
21 PC might be, and that may be inadvertent and  
22 cause frustration and disillusionment among the  
23 claimants population.

24 So this is a policy decision that we're  
25 examining right now. We have to take into

1 consultation general counsel's advice on that  
2 before we can take a step forward. We've talked  
3 about this at each meeting. It's present in each  
4 of the transcripts. And each time I've said, no,  
5 there's not one available. We are still  
6 deliberating on whether we can provide it. But  
7 that's basically the background on why we feel  
8 strongly we can't provide it.

9 **MR. GRIFFON:** Then if -- I'm not sure that's  
10 a hurdle that can't be overcome, but if that is  
11 the case then I would argue that can the on-line  
12 model include some of these tables.

13 I think we're close, and the Excel  
14 spreadsheet e-mailed today was helpful in  
15 explaining how you get from X to Y. But it just  
16 doesn't make -- from a review capacity, from my  
17 personal need to review this, I really am getting  
18 kind of tired of entering one at a time cases  
19 when I know that data's there, and I don't want  
20 to have to recreate age at exposure distributions  
21 when I know they already exist in 2.1. But  
22 that's old, that's old ERR per sievert  
23 distributions that I'm looking at. I can't turn  
24 to the Thompson data because they're reanalyzed  
25 it specifically for this report.

1           So just for the need of transparency, I think  
2           somehow we have to be able to get to this. And I  
3           think -- I don't care if it's on the web that way  
4           or on a CD. I'd prefer a CD, as you know, but --

5           **DR. ZIEMER:** The concerns are so noted in the  
6           --

7           **DR. MELIUS:** Can't we just get this resolved,  
8           though? It's --

9           **DR. ZIEMER:** Well --

10          **DR. MELIUS:** If the counsel has objections  
11          let's hear them next meeting, and --

12          **DR. ZIEMER:** Right.

13          **DR. MELIUS:** -- at least get it settled,  
14          because --

15          **DR. ZIEMER:** Legal counsel does carry weight  
16          in the agencies, I know. But it may be that some  
17          of this can be on the on-line version that will  
18          allow -- and that would probably be the better  
19          solution.

20          **MR. GRIFFON:** Is there a technical hurdle for  
21          having the tables? I don't know if that slows  
22          down --

23          **UNIDENTIFIED:** (inaudible response)

24          **MR. GRIFFON:** It doesn't slow down any -- no.  
25          So having all the tables there would not be a

1 problem on the web version? Okay.

2 **DR. ZIEMER:** Any further comments or  
3 questions on that material from this morning?

4 (No responses)

5 **DR. ZIEMER:** Okay. Now I want to go back for  
6 a moment to the Special Exposure Cohort, and Ted  
7 has asked for some additional time to amplify  
8 some things he talked about yesterday.

9 **MR. KATZ:** Yes. If you recall, I had that  
10 little snag with the projector not being able to  
11 go in reverse, and that managed to fluster me  
12 enough to not say some things I meant to say.  
13 And I didn't really realize I hadn't said them  
14 until Tony made the comment that it was his  
15 perception that -- and here I'm talking about the  
16 use of a threshold for health endangerment, and  
17 the use of averaging threshold that you would get  
18 from using a solid tumor and leukemia as a basis.  
19 That's creating a threshold in a case where you  
20 have external exposures, external exposures,  
21 external dose.

22 So when Tony said that seemed to him  
23 arbitrary, it sort of shocked me into thinking  
24 what is it I missed saying. And this morning I  
25 realized that I had sort of skipped through that

1 slide because I couldn't reverse, and hadn't said  
2 what I wanted to. And then as a result we also  
3 didn't talk about the slide that we did have up  
4 there, and I think you all have handouts. And  
5 this should at least be explained, so you know  
6 what you have there as well, so I'd like to do  
7 both those things.

8 What I'd like to do is give you as full an  
9 understanding as possible -- meaning everything  
10 -- about how we came to the decision of what's in  
11 there, arriving at that threshold, how that  
12 evolved, and what the reasoning is. And I hope  
13 this helps you understand why that's not an  
14 arbitrary threshold. You may disagree with it,  
15 and that's good, that's the whole point here is  
16 to get your feedback.

17 **DR. ZIEMER:** Now which handout are you  
18 referring to?

19 **MR. KATZ:** I'm sorry. I'm referring to --  
20 it's the handout that was provided late. It was  
21 a slide that was not in my prepared presentation,  
22 because it was developed over the weekend at  
23 night, (inaudible) hard work. So at the top of  
24 the handout it says "PC Values, 99 Percent  
25 Credibility Limit." Everybody on the same page?

1           Okay, so let me just talk about how we got  
2           there. We started off with really a theoretical  
3           or a conceptual basis for how we would establish  
4           this threshold. And the conceptual basis was  
5           this: We knew that we would have to be making  
6           subjective judgments about what the actual dose  
7           levels could have been, as high or higher than  
8           what. We knew we'd have to do that because we  
9           can't do a proper dose reconstruction in these  
10          cases when we're talking about Special Exposure  
11          Cohort groups.

12           As a result, we wanted to have a threshold  
13          that was as bulletproof as possible in the sense  
14          that no claimant would take issue with the  
15          threshold itself. Since they're going to already  
16          be addressing then the subjective judgment that's  
17          applied using that threshold, we wanted that to  
18          be sort of as plain and simple and unarguable as  
19          possible.

20           So we started off as a -- again, it's  
21          basically purely conceptual -- that we would  
22          simply have the most radiogenic cancer that  
23          applies to the exposures that occurred, that  
24          would be the determinant of the threshold dose  
25          level. Does everyone follow that? So what that

1 would mean is wherever there were external doses,  
2 what we would be talking about is using leukemia.  
3 Simple, simple and plain. Where it was a matter  
4 of internal doses you'd be going to the relevant  
5 cancers, right. That's where we started.

6 Then we had review of this position, and  
7 people who didn't have their nose quite so close  
8 to the paper saw the implications of just that  
9 conceptual approach which we hadn't considered,  
10 which is, well, okay, so you're using leukemia  
11 with external radiation, and that means that you  
12 could be as low as using a threshold of around  
13 one rem. And that just seemed to them to be a  
14 stubborn fact to want to question, then, what is  
15 the basis for this? How do you end up having a  
16 threshold which I think would be hard for many to  
17 accept as a threshold for evaluating health  
18 endangerment for a class, a threshold that low?

19 And explicating further, there was this  
20 different view which is one we hadn't considered,  
21 which was that you are -- the job here is to  
22 characterize health endangerment for the class --  
23 not for a conceptual member, single member of the  
24 class, the most vulnerable potential conceptual  
25 member. Does everyone follow that?



1           So that was what was posed to us. Well,  
2           really this should be representative of the  
3           class, and how do you do that? And the response  
4           that we thought of on the cuff there was, well,  
5           how would we do that if we wanted to do that,  
6           most simply have a perfectly representative  
7           threshold? Well, there we then would have to  
8           have what is in effect a weighted average of the  
9           doses for all the cancers that are potentially  
10          related to the exposure, and you would weight  
11          them by incidence rates. Right? So that the  
12          more prevalent the cancer in terms of expected  
13          occurrence among that population the more weight  
14          that value would have, and you would average  
15          that. And that would be representative, sort of  
16          straight, no question about it, representative of  
17          the class in that sense.

18          Now there's problems with doing that  
19          approach. We didn't think it was feasible to do  
20          that to start with, as a first issue, because we  
21          would be working with then expected values for a  
22          dose that we don't know that we're going to  
23          assume it could be so high or higher. That's  
24          what the subjective judgment's going to be made.  
25          You'd be using that subjective judgment to then

1       come up with a threshold that you're applying  
2       your subjective judgment against. It just  
3       doesn't carry water. So we said, that can't be  
4       done.

5               So the next step, then, was what is then a  
6       practical approach to this if we need a  
7       representative value? And we also, frankly, were  
8       concerned because we thought we should be more  
9       claimant-friendly than that as well. And so that  
10      made us uncomfortable anyway, that approach, even  
11      if it were feasible.

12             So what's a practical solution to this? And  
13      the practical solution that occurred to us was  
14      the one that you have before you, which is to  
15      simply average, in this case, the two different  
16      types of cancers, the classes of cancers -- the  
17      solid tissue cancers and leukemia -- to average  
18      those dose thresholds and to use that.

19             Now I guess it would be more proper if you  
20      were still working with their incidence rates  
21      still and weighting it. But again, I just  
22      explained what the problem is with doing that.  
23      And in this case we felt that this was a much  
24      better solution in the sense of being claimant-  
25      friendly. Because certainly given the difference

1 in the incidence you would expect for the solid  
2 tissues and the leukemia, the leukemia is going  
3 to have far disproportionate weight when you're  
4 just averaging them. Is that clear? Is that  
5 clear, what I've explained there?

6 So that's how we came about this approach  
7 that we put before you. And I think that  
8 explains that fully. I would like to give some  
9 air time and for you to consider the table and  
10 the approach we have proposed if we're going to  
11 go down that route. I don't know, does everyone  
12 have this table before you? I just want to sort  
13 of run down these values.

14 Now this is just an example. This is just  
15 one case example. And what we've done here is  
16 simply taken these PC values you see in the box  
17 above, the fixed inputs. What these are are  
18 basically just median values for all the claims  
19 we've seen so far. So this isn't really -- this  
20 is just to show you how this would work, but  
21 these values that you get in the table below  
22 obviously would differ depending on the values  
23 that you would actually input. The values we  
24 used are just median values for all the claims  
25 that we've received so far.

1           So we have proposed that you would use, in  
2           the absence of other evidence about the class,  
3           you would use in effect the lowest latency for  
4           leukemia, because that would be giving the  
5           benefit of doubt to the claimants, that would be  
6           most claimant-friendly. And you can see -- and  
7           you're also using the most radiosensitive of the  
8           leukemias, CML in this case, and that ends up  
9           with a 1.5 rem dose.

10           And we would use the highest latency for the  
11           solid tissue, solid tumors. And in this case it  
12           turns out to be thyroid, and the dose level is  
13           nine.

14           You're averaging one and a half and nine, and  
15           you're ending up at what, four and a half? So  
16           that would be the threshold that we would  
17           establish if this were a case here, if these were  
18           the values we were using.

19           **MR. ELLIOTT:** If it were a Special Exposure  
20           Cohort petition.

21           **MR. KATZ:** Right, exactly.

22           **MR. ELLIOTT:** Not a case.

23           **MR. KATZ:** No. Case, meaning a case of a  
24           Special Exposure Cohort petition, I'm sorry.  
25           We're not talking about individual dose -- this

1       isn't about dose reconstructions.

2               Then there's, I think, just one other thing  
3       to say about this when we're talking about  
4       extremely low levels of exposure, which is when  
5       we're doing dose reconstructions, if there's a  
6       component of the dose reconstruction where we  
7       don't have good information, one approach is to  
8       simply cap it and do that dose reconstruction  
9       with that, in effect, maximum dose for that  
10      element of the dose reconstruction. And that's  
11      talked about in our rule and so on, how we do  
12      that.

13             So some of these cases, even though you can't  
14      properly estimate a very low dose, those cases  
15      would go away. In effect you would still do the  
16      dose reconstruction. You would give it a maximum  
17      value. So extremely low dose levels, also you  
18      have to consider that some of those are going to  
19      get taken care of by individual dose  
20      reconstructions, despite the problems there are  
21      with doing the dose reconstruction about that  
22      element of the exposure history.

23             So anyway, that fully explains what I omitted  
24      and wanted to address, really to address Tony's  
25      concern, which is a very important one.

1           **DR. ZIEMER:** Okay, thank you. Let's see if  
2 there's any questions on what was just said here  
3 now.

4           Roy.

5           **DR. DEHART:** If I'm understanding this  
6 correctly, the petitioning group need not have  
7 leukemic or thyroid cancers in them?

8           **MR. KATZ:** That's right.

9           **DR. DEHART:** And the threshold that you're  
10 establishing at 5.5 or whatever becomes the  
11 threshold used in what specific way?

12           **MR. KATZ:** It's the threshold for  
13 establishing health endangerment. So it is --  
14 right. There may not be any cases of either in  
15 that class. It's simply the threshold that will  
16 be used as the bar for making a judgment, then,  
17 were radiation doses possibly as high as this or  
18 higher.

19           Which raises another point that I have  
20 omitted that I should point to, when we're  
21 concerned about the possibly or known leukemia  
22 case in a class, which is these values that I  
23 just went through on this table are given the  
24 most propitious circumstances, that's the value  
25 you would come up with. But your actual leukemia

1 case may not have incurred the leukemia within a  
2 five-year latency period, and all the other  
3 factors may differ. And as you see in this one  
4 example, the leukemia actually level rises above  
5 the level of hard tissue in certain  
6 circumstances.

7 So that's just an important, again,  
8 complication, but to keep in mind.

9 **DR. ZIEMER:** Thank you.

10 Now while Tony is still here I'd like us to  
11 move to the rule-making, which is the 42 CFR 83.  
12 You recall that yesterday we raised a number of  
13 issues to be considered. We had a small working  
14 group last evening or late yesterday afternoon  
15 that identified some potential -- I don't  
16 necessarily want to call them fixes -- but  
17 potential recommendations that were felt perhaps  
18 would improve the document. And I've asked Tony  
19 if he would lead us through some of those. I  
20 think it's safe to say that perhaps the group  
21 didn't identify everything or capture everything  
22 that was brought out in the discussion, but this  
23 is at least a start to what was felt might help  
24 clarify some of the issues.

25 So Tony, if you would take the floor at this

1 time. I know you have to take off soon. Are you  
2 still okay for a few minutes?

3 **DR. ANDRADE:** Yes. Before I get into detail  
4 insofar as proposed, very draft proposed changes  
5 to wording, let me tell you a little bit about  
6 the philosophy with which we approached the issue  
7 of trying to clarify some of the language in the  
8 proposed rule.

9 Number one is we wanted to first and foremost  
10 explain clearly and up front, at least in the  
11 rule itself -- and perhaps if you all want to go  
12 back into the preamble and change that, that's  
13 fine -- that establishing or petitioning for a  
14 special cohort status is not necessarily a next  
15 step or a proposed next step seeking remedy in  
16 case the Secretary has determined that a  
17 particular -- a particular case now; we're not  
18 talking about a group of people, but a particular  
19 case -- just does not meet the threshold for  
20 action. So that was one.

21 **DR. ZIEMER:** It's not an appeal process for  
22 --

23 **DR. ANDRADE:** It's not an appeal process.

24 **DR. ZIEMER:** -- for a reconstructed dose that  
25 did not meet the 50 percent POC.



1           **DR. ANDRADE:**   Exactly.

2           Okay.   And then when we got down into 83.1,  
3           what is the purpose of the procedures in this  
4           part, we wanted to be very clear about how a  
5           Special Exposure Cohort might be constructed.  
6           And it appeared to us that the language as  
7           written leaves the onus on the petitioner, on the  
8           individual, to go back and petition for such  
9           status.   Again, that conflicts with what I just  
10          talked about with what I think the philosophy is,  
11          and it would almost force the person into  
12          believing that this is the final recourse.

13          But beyond that, what is new in our thinking,  
14          in our collective thinking -- and this was Dr.  
15          Anderson, Paul, Wanda, and myself -- is that we  
16          felt that NIOSH and/or NIOSH's contractor should  
17          bear some responsibility.   Now we're not talking  
18          about putting this in a statement of work, but at  
19          least being aware of what is going on as dose  
20          reconstruction efforts occur, such that if they  
21          start to find commonality in a situation -- in  
22          other words, somebody has petitioned, yet it  
23          seems like the dose -- several people,  
24          individuals, have petitioned.   They come from the  
25          same facility.   They've done the same kind of

1 work at the same -- during the same relevant  
2 period of time, and they start to see commonality  
3 in activity, that there was a potential for  
4 missed dose, for example, that they should be at  
5 least aware of and report that back to NIOSH or  
6 to HHS.

7 And so we wanted to take the onus off the  
8 individual, who may not be aware of what he, her,  
9 or their buddies were doing at the same time, and  
10 put a little bit of responsibility, perhaps  
11 personal responsibility, back on the contractor.

12 Thirdly is just as we were briefed on  
13 yesterday by the good doctor from Rocky Flats,  
14 new information can come to light during any part  
15 of this process. They've just discovered that  
16 there are body burdens out there for which we may  
17 not ever find records. I think that in itself  
18 should trigger or potentially trigger a petition  
19 for special cohort status. So again, in addition  
20 to the language that is already in 83.1, we  
21 propose two more triggers for special cohort  
22 status.

23 And finally -- and perhaps Dr. Ziemer can  
24 talk a little bit more in detail to this -- we  
25 felt that as a Board that a lot of the procedures

1       that are described in here, starting under 83.2  
2       -- how would cancer claimants be affected by the  
3       procedures in this part, and going on through the  
4       rest of the proposed rule -- talk about a process  
5       by which the Board would become involved in those  
6       decisions, where we would review the decisions of  
7       HHS in which it has already been determined that  
8       they're going to go forth with a special cohort  
9       decision, a positive decision.

10       We felt very strongly that it would be nice  
11       to keep this Board involved, but that we  
12       shouldn't second-guess the HHS. This is part of  
13       being petitioner-friendly insofar as positive  
14       outcomes with respect to going forth with a  
15       special cohort. We would like to be informed,  
16       but that's it.

17       On the other hand, I think it is more  
18       important that we be informed of decisions not to  
19       go forth without some of the details that are in  
20       here. In other words, we would like to be  
21       informed of the decisions as to why one would not  
22       go forth with a petition. I don't think that we  
23       would like to have people who are personally  
24       involved come up and petition us. I think that  
25       would turn us into an adjudicative body. And so

1 we really believe that language in that regard  
2 should be struck from the record.

3 Now I don't have my notes with me. I just  
4 sealed them in my Fed Ex box. But I know that  
5 Paul is taking very good notes, and actually  
6 completing sentences that might be used as  
7 proposed language. But that's to give you an  
8 introduction as to what we did yesterday, how we  
9 feel about the situation, and I think points to  
10 clarify what this rule is for, what trips this  
11 rule, and what our role as a Board should be with  
12 respect to this rule.

13 **DR. ZIEMER:** Thank you, Tony. And with that  
14 sort of introduction to it, perhaps I can add  
15 some specificity to specific items here that will  
16 maybe help clarify some of those issues.

17 For example, in 83.1 -- and we may need help  
18 in the interpretation here -- in 83.1 it appears,  
19 as Tony has suggested, that the process of  
20 becoming part of the cohort -- there's a cohort,  
21 and there's new classes that can be added to it.  
22 As you read this, that there are not new cohorts.  
23 There is a special cohort; it exists now. There  
24 are new classes that are to be added as the  
25 definition gives here -- yes, class of employees

1 to be added.

2 The language in 83.1 says:

3 (Reading) HHS will consider adding new  
4 classes only in response to petitions by or on  
5 behalf of the employees.

6 So it's an employee or a group. I think it  
7 could be a union group representing employees.  
8 But nowhere does it speak to NIOSH taking the  
9 initiative on its own to develop a new class  
10 based on what its findings are. And as has been  
11 suggested, perhaps somebody's dose has not been  
12 reconstructed, and they say, well, I'm not going  
13 to pursue this any further. But over a period of  
14 time, perhaps NIOSH finds that there are 10, 15,  
15 20, or other people from that facility doing a  
16 similar job for whom doses have not been  
17 reconstructed. And perhaps these folks don't  
18 know about each other, don't know that they may  
19 be a class.

20 Was the intent not to have NIOSH be proactive  
21 in initiating a --

22 **MR. ELLIOTT:** Yes, Ted.

23 **MR. KATZ:** Yeah, thank you. Let me -- it's  
24 Ted Katz -- just address that. When we can't  
25 complete a dose reconstruction, part of the

1 report that goes to that individual, whether it  
2 be employee or survivor, saying that we can't  
3 complete a dose reconstruction, part of the  
4 service we provide at that point is to tell them  
5 about the Special Exposure Cohort, and to provide  
6 them materials to be able to petition and  
7 encourage them to petition. So --

8 **DR. ZIEMER:** Understood. But if they don't?

9 **MR. KATZ:** No, I understand. I understand, I  
10 understand. But the interpretation of the law,  
11 EEOICPA, that was given at least, was that the  
12 starting process for considering a class was a  
13 petition by a class of employees. So EEOICPA  
14 didn't authorize HHS to establish petitions on  
15 its own initiative, but that in response to  
16 petitions, and that's why it's written the way it  
17 is.

18 **DR. ZIEMER:** Does it prohibit it?

19 **MR. KATZ:** No, and there's no language in  
20 EEOICPA that says HHS must not, cannot, should  
21 not, whatever. And of course, EEOICPA addressed  
22 the President, not HHS. But anyway -- do this on  
23 its own initiative. It laid out that these  
24 classes would be considered in response to  
25 petitions.

1           **DR. ZIEMER:** Well, that was a concern,  
2           though, that it gives the impression, even though  
3           in reality this might not occur. You do advise  
4           them to do this and so on. It gives the  
5           impression that unless that individual does  
6           something, even if we know that there appears to  
7           be a class out here, unless those folks do  
8           something nothing's going to happen.

9           **DR. MELIUS:** Can I just ask some  
10          clarification? I guess if I understand you  
11          right, Ted, you're saying that there has to be  
12          some sort of active, affirmative process back by  
13          the claimant to request --

14          **DR. ZIEMER:** To trigger --

15          **DR. MELIUS:** -- being part of the Special  
16          Exposure Cohort. Does that necessarily, though,  
17          have to require them to name the class and things  
18          like that? I think --

19          **MR. KATZ:** Right. No --

20          **DR. MELIUS:** If it were like a check box --

21          **MR. KATZ:** And in effect, it is.

22          **DR. MELIUS:** -- yes, I want to be considered

23          -

24          **MR. KATZ:** Yes, and --

25          **DR. MELIUS:** Well, that's not clear.

1           **MR. KATZ:** Well, that's -- no, that may not  
2 be clear. But in effect, all they are providing  
3 is their personal information, their contact  
4 information and so on, and the finding that  
5 NIOSH, in their case, couldn't complete a dose  
6 reconstruction.

7           **DR. MELIUS:** Right. You already have all  
8 this. You've already sort of know their -- you  
9 know all this about them. If all you need is  
10 some sort of an affirmation back that they want  
11 to be considered --

12           **MR. KATZ:** Well, and that's in effect what  
13 we're getting, right.

14           **DR. MELIUS:** Well, it's not clear --

15           **MR. KATZ:** I don't know, a check box or  
16 whether they're filling out their name and  
17 address. But it's not a burden, what we're  
18 asking, just for them to affirm that they want to  
19 be part of the class, part of the cohort.

20           **DR. ANDRADE:** Well, once again, Ted, it's  
21 just appearances, I think. You all may be  
22 planning and actually doing this already, and  
23 advising them about the possibility. However, I  
24 think it would be wise to consider just an extra  
25 line or two in the proposed rule, such that it is



1 clear that if evidence to that effect comes up,  
2 if there is some possibility that they could be  
3 part of the cohort, they might want to petition.

4 **MR. ELLIOTT:** I appreciate the fact that --  
5 this has been very beneficial to hear your  
6 thoughts on this. And it is not clear, I  
7 believe, as I've read it, reread it myself. And  
8 we can certainly take your comments into account  
9 and reflect upon them.

10 I wanted to comment on the second point you  
11 made about putting the burden on us. We believe  
12 the burden is on us, and we need to make that  
13 clear. It's not on our contractor, it's on us.  
14 And it's on us to monitor the results of dose  
15 reconstructions coming out of our contractor, and  
16 observing where dose reconstructions seem to be  
17 on shaky ground or they can't do a dose  
18 reconstruction, and what that means for that  
19 potential class and how we can get an affirmation  
20 from an individual or individuals from that  
21 class. And yes, we may get one that says no, but  
22 hopefully we'll find somebody else who will stand  
23 up and say yes, we need to have a review for us  
24 as a class.

25 **DR. ZIEMER:** And our thought is that this

1 again is partially a perception thing, but you  
2 certainly want to show that NIOSH is going to be  
3 proactive in making some of these things happen,  
4 even if you still require the petition.

5 In 83.5 there's a definition of the class of  
6 employees that says they have similar experience,  
7 they worked at a similar facility, and so on. We  
8 felt that it was probably also important to  
9 include -- and I think you intended to do this --  
10 include the similarity of time periods. It's not  
11 just that here's somebody in 1955 that worked at  
12 Los Alamos as a, let's say, a glove box operator,  
13 and someone in 1980 that did that. Generally  
14 these are also time-related as well as -- and so  
15 we're simply suggesting that that be included in  
16 some way in the definition there.

17 In section 83.1 --

18 **DR. MELIUS:** Paul, before you --

19 **DR. ZIEMER:** Oh, yeah.

20 **DR. MELIUS:** On that same issue, it's the  
21 issue I brought up when we were at lunch. And  
22 part of it's a factual question. Are there  
23 itinerant groups of workers that move from  
24 facility to facility? Because you've got  
25 classes, a person at a facility -- and again,

1       this may be a small portion of who's out there --  
2       but it may be easier to identify the class as a  
3       particular group that does a task, moving from  
4       facility to facility.  Certainly in the  
5       commercial and nuclear power there's a more  
6       highly --

7               **MR. KATZ:**  This is another issue of  
8       interpretation of the legislation, which defines  
9       classes as being at a facility, though -- so the  
10      legislation seemed pretty clear to HHS in  
11      interpreting the legislation that the definition  
12      is -- adheres to a facility, and hence that's why  
13      we discussed before about needing different  
14      petitions separately for different facilities.

15              **DR. ZIEMER:**  But it wouldn't really exclude,  
16      Jim, I think what you're talking about, because  
17      one of these special classes may be part of their  
18      time at some particular facility where such an  
19      exposure did occur, or multiple facilities.

20              **DR. NETON:**  On a practical basis --

21              **DR. ZIEMER:**  You could even name multiple  
22      facilities, but there --

23              **DR. NETON:**  No, it would have to be one  
24      facility.  But on a practical basis -- I could  
25      think of an example, health physics technicians,

1 rad techs that jump from -- to support certain  
2 things. Their exposure profiles are going to be  
3 very different, more than likely, at different  
4 facilities. So it wouldn't be easy to group them  
5 if they worked at Los Alamos and then moved to  
6 Fernald. Fernald you'd have uranium exposures;  
7 Los Alamos you have something else; Rocky Flats.  
8 So I don't think it even makes a practical sense  
9 to lump them into one category of workers who  
10 jumped from facility to facility. They could be  
11 considered at multiple facilities, I suspect, a  
12 Special Exposure Cohort if there was evidence.

13 **DR. MELIUS:** Yeah, but -- again, I'm not sure  
14 how practical this is or meaningful, and I don't  
15 want to belabor it. But in essence it may be  
16 their cumulative exposure over those facilities,  
17 because that exposure differs so much, it makes  
18 it hard to reconstruct their doses, so to speak.  
19 And I'm just thinking --

20 **DR. NETON:** I'm having trouble envisioning a  
21 class like that, but you are right. If there was  
22 such a class, I think --

23 **DR. ZIEMER:** But all they really need is one  
24 facility where you couldn't reconstruct.

25 **DR. NETON:** Well, and --

1           **DR. ZIEMER:** They were all -- that was common  
2 to all the exposed --

3           **DR. NETON:** But the exposure would have to be  
4 sufficiently large to --

5           **DR. ZIEMER:** Correct.

6           **DR. NETON:** -- pass the bar test.

7           **DR. MELIUS:** Yeah, but because it would be --  
8 it's depends on obviously the fact pattern.

9           **DR. NETON:** Right.

10          **DR. ZIEMER:** Yes, a comment?

11          **MS. GADOLA:** From attending some of the  
12 employees meetings in Oak Ridge, there have been  
13 employees that claimed that they were  
14 construction workers or maintenance workers that  
15 moved from facility to facility, and they  
16 envision that their dose reconstruction would be  
17 very difficult to obtain, and that sometimes they  
18 were working -- this is according to them --  
19 sometimes they were working in areas which at  
20 first they were told they did not have to be  
21 badged, and then after they were there for a  
22 while they were given dosimeter badges.

23          **MR. PRESLEY:** That's correct.

24          **MS. GADOLA:** So it would seem that  
25 maintenance workers and construction workers

1 might possibly be their own cohort or fall into a  
2 special cohort. But according to how you're  
3 defining it, they wouldn't be able to. Is that  
4 correct?

5 **DR. ZIEMER:** They still have to link it to  
6 some facility, not just be a construction worker,  
7 right? They would have to -- you would want to  
8 be able to show that when they worked, say, at  
9 Oak Ridge they didn't have -- they couldn't  
10 reconstruct.

11 **MR. PRESLEY:** What Sally's talking about is  
12 at Oak Ridge they had three plants -- I'm sorry,  
13 Bob Presley -- at Oak Ridge you had three plants.  
14 And so what we did is we had one prime  
15 construction contractor for all three plants, and  
16 those people would move around. One week they  
17 may be working at Y-12, the next week they may be  
18 working at ORNL, the next week at K-25. So that  
19 did happen in Oak Ridge.

20 **MR. KATZ:** So that get at the question of how  
21 you define a facility, too.

22 **DR. ZIEMER:** Right, right.

23 **MR. PRESLEY:** Yes, that's correct.

24 **DR. ZIEMER:** But all it would take would be  
25 for one of those, let's say Y-12, where the dose

1       couldn't be reconstructed, even if the others  
2       could, and it was sufficiently large, then they  
3       meet the criteria.

4               **DR. MELIUS:** Yeah, I'm just worried about  
5       them getting defined as a class. I don't have  
6       the law here, and I'm not sure what your counsel  
7       said. But if we could sort of look in and follow  
8       up on this it would be helpful to make sure we're  
9       not -- by some of these definitions we're not  
10      excluding somebody, a group that moves from  
11      facility to facility, or that we may change the  
12      definitions here somehow to make it -- facilitate  
13      that kind of a designation.

14             **MR. PRESLEY:** And the other thing is, since a  
15      lot of these people, they're in their seventies,  
16      late sixties, early seventies, even eighties,  
17      we've changed prime construction contractors  
18      about four or five times. Records, things like  
19      that, are almost nil.

20             **DR. ANDERSON:** This is just partly a follow-  
21      up on should NIOSH be proactive. Do you foresee  
22      that NIOSH will publish on a regular basis the  
23      characteristics of those people that don't -- you  
24      can't do dose reconstructions?

25             I think our group concern was it's kind of --

1       it's all very individual-oriented, but the  
2       individual is very isolated. And so to expect  
3       that individual to either go out and find these,  
4       unless your report back to them that says, well,  
5       you ought to contact da-da-da, or we're aware of  
6       X, Y, Z, you then -- you could either be  
7       proactive and do it yourself, or if you put out a  
8       report then unions or others who could file  
9       petitions could analyze that data. But if the  
10      individual data isn't available, the only people  
11      who could do any kind of characterization to look  
12      for commonality would be NIOSH.

13               So that was our concern, is that you will  
14      know something but the individual won't, and so  
15      they won't move forward, and therefore there's  
16      some view that a class is being covered up  
17      because you can't let people know about it.

18               **MR. KATZ:** But so -- I just want  
19      clarification on part of what you're saying.  
20      You're saying that when we let an individual know  
21      that we can't do their dose reconstruction, we  
22      tell them that they should file for a class.  
23      You're saying that they would be more persuaded  
24      to actually do that if they knew other  
25      individuals were in their same bag, than they



1 would be -- is that what you're saying?

2 **DR. ANDERSON:** Well, if you get a letter back  
3 saying you your dose can't be reconstructed, does  
4 that mean de facto you're -- if you just say, oh,  
5 maybe I'm a special class, I'm going to ask you,  
6 NIOSH, to investigate whether I am in a special  
7 class. And all I have to do is say, okay, am I  
8 in a special class? Then you evaluate whether  
9 you're going to evaluate it, and you turn around  
10 and say, yes, we'll evaluate it. If that's the  
11 intent, then it's very easy. But if --

12 **MR. KATZ:** Right, but that part is, I hope,  
13 clear in the rule. In fact, in that case we are  
14 telling them that they should petition to be part  
15 of the Special Exposure Cohort, and there's no  
16 further consideration about the petition being  
17 evaluated. It will be evaluated.

18 **DR. ANDERSON:** See, I don't think that's  
19 clear in there, that in fact everybody who you  
20 can't reconstruct their dose is --

21 **MR. KATZ:** I see, so --

22 **DR. ANDERSON:** -- all you've got to do is  
23 mail it back to you.

24 **MR. KATZ:** Let me explain. And maybe this is  
25 addressed in the preamble, maybe it's not. But

1 the dose reconstruction rule states very clearly  
2 that whenever we can't do a dose reconstruction,  
3 we will provide them with the materials and  
4 information about filing to be part of the  
5 Special Exposure Cohort. That's part of the --

6 **DR. ANDERSON:** Yeah, but I mean to say --

7 **MR. KATZ:** -- dose reconstruction rule  
8 already. It's separate from this rule, but  
9 that's a guaranteed element of completing that  
10 dose reconstruction, and in effect not being able  
11 to.

12 **DR. ANDERSON:** Yeah. I mean I guess the how  
13 to file is a different issue from --

14 **MR. KATZ:** That's what their --

15 **DR. ANDERSON:** -- you are eligible to be  
16 evaluated.

17 **MR. KATZ:** And this Board actually gave us  
18 advice on this, and we took the Board's advice  
19 about giving them -- not just telling them that  
20 they're eligible, but in fact telling them how to  
21 do it and giving them the materials do to it. So  
22 that is part of the dose reconstruction rule  
23 already, to not just tell them they're eligible,  
24 but to give them materials to file, encourage  
25 them to file. And that part will happen.

1           So I guess an individual might decide, well,  
2           I don't want to be bothered or whatever, but  
3           we're certainly going to encourage them to file,  
4           and we're giving them all the materials to file.  
5           And there's nothing more to be done. That  
6           petition will be evaluated by NIOSH, by the  
7           Board, by HHS.

8           **DR. ANDERSON:** Okay. See, I'm confused by  
9           when you say materials. To me, that's the form  
10          you need to fill out, versus here is the  
11          rationale we've provided for you why you could be  
12          a class, and that you will then evaluate that, as  
13          opposed to they send it back and you say, no, we  
14          won't accept this --

15          **MR. KATZ:** No.

16          **DR. ANDERSON:** -- evaluate this.

17          **DR. MELIUS:** They do say they will accept it.

18          **DR. ANDERSON:** Okay.

19          **MR. KATZ:** It's a --

20          **DR. MELIUS:** I think what we were saying  
21          before is that should be as claimant-friendly as  
22          possible.

23          **MR. KATZ:** Yes, and I --

24          **DR. MELIUS:** You're going to have survivors  
25          that have waited some length of time and so

1       forth.

2               The other part of that, though, I think would  
3       be useful is if you could publish in a non-  
4       identifiable form sort of a listing of those  
5       people that you couldn't complete dose  
6       reconstructions on. That's my point about  
7       there's no really criteria out there for people  
8       to understand who that -- so for people --

9               **MR. ELLIOTT:** It gets in a class.

10              **DR. MELIUS:** Yeah --

11              **MR. ELLIOTT:** How do we define the class?

12              **DR. MELIUS:** Right.

13              **MR. ELLIOTT:** We think there's a class here.

14              **DR. MELIUS:** Yeah.

15              **MR. ELLIOTT:** And we're going to have the  
16       Board review it after we've done our research to  
17       define the demographics of that class. And once  
18       the Board says, yeah, we agree, and then we go  
19       forward with announcement, publication --

20              **DR. MELIUS:** No, before that, though. I'm  
21       saying --

22              **MR. ELLIOTT:** Jim --

23              **DR. MELIUS:** -- it's when you have  
24       individuals of why you can't complete their dose  
25       reconstructions, can you publish or make

1 available in some way that as a listing, not  
2 identifiable?

3 **MR. KATZ:** Right, this is entirely separate.  
4 Jim's just wanting some accounting of when we  
5 can't do dose reconstructions, let the world know  
6 that we can't.

7 **DR. MELIUS:** That way if I'm a potential -- a  
8 union, say, or somebody that would be -- or  
9 someone in a similar situation, maybe rather than  
10 applying individually, I say look, that's -- you  
11 ought to get together a petition and do that.  
12 You've already got some information on this.  
13 You've already made a preliminary finding. It  
14 should be easier to go through with. It would  
15 also, I think, help inform people about this on  
16 this case-by-case --

17 **DR. ZIEMER:** Mark.

18 **MR. GRIFFON:** Just a question to follow up on  
19 Larry's part of it, which is once you have a  
20 class established and you release the criteria in  
21 the *Federal Register*, I'm wondering, in  
22 establishing that it seems to me that NIOSH may  
23 actually identify coworkers from the original --  
24 as you're going to do this research you're going  
25 to identify potential people that would fall into

1       that SEC.

2               So I'm wondering about notification.  
3       Obviously once that SEC is released, defined and  
4       released to the *Federal Register*, people can  
5       apply and say that they meet it or don't meet it.  
6       But if you already know a group and found some --  
7       maybe they didn't fail a dose reconstruction.  
8       Maybe you've never heard from them before, but  
9       you identify them in doing your coworker  
10      analysis.  Would there be a proactive sort of  
11      notification process to reach out to those people  
12      and say, hey, in our -- just asking.

13              **MR. ELLIOTT:**  It's a point worth considering,  
14      but we've not examined it in that way as to  
15      whether or not we need a notification piece here.  
16      We have talked with Labor, and have an  
17      understanding of how they see their job in  
18      dealing with claims that come forward and  
19      identifying them -- oh, well, NIOSH has  
20      established or HHS has established a new class  
21      for the Special Exposure Cohort and this claimant  
22      fits into that, so we don't send it to NIOSH for  
23      dose reconstruction.  It's got one of the 22  
24      cancers, they're awarded their compensation.  And  
25      so we have that in place.

1           But we've not talked about or thought about  
2           or considered -- this is something we should, I  
3           think, take up and deliberate on. The risk you  
4           run is you don't know where to find some of these  
5           people. You may not know how to get at them.  
6           You miss people. But it's probably better -- a  
7           benefit rather than a detriment to do it.

8           **DR. ZIEMER:** You're saying if you know  
9           already because you maybe interviewed them to try  
10          to reconstruct somebody else's dose or something  
11          like that.

12          **MR. GRIFFON:** Yeah, or in just doing your  
13          analysis for, say, if one person fails, you can't  
14          reconstruct a dose, and in doing that analysis  
15          you find all these other coworkers. They may not  
16          have even applied through the process.

17          **DR. ZIEMER:** They may not have cancer.

18          **MR. GRIFFON:** May not have cancer, but you  
19          know that they fall into the Special Exposure  
20          Cohort. So rather than put the burden on -- I  
21          think it's just the proactive --

22          **DR. ZIEMER:** Okay, let me continue a moment.

23                 In section 83.1, Tony made the remark about  
24                 making it clear to people that this is not an  
25                 appeal process for individuals for whom dose

1 reconstruction didn't lead to compensation. And  
2 we're actually going to suggest possibly adding a  
3 statement in 3.1 that says what are the purpose  
4 of the procedures, and we're suggesting to add a  
5 sentence or two that also says what the purpose  
6 is not, and it's not an appeal process. If you  
7 had a dose reconstruction that failed to lead to  
8 compensation, this is not plan B. So that's just  
9 a clarification for people to understand what  
10 this is about.

11 Then in section 83.10, this is a section that  
12 gets very specific about some roles for this  
13 Board. And our small group felt like we were  
14 much too involved in the sort of day-to-day  
15 operation of the process, or in the loop too  
16 early.

17 For example, in 83.10 subparagraph (b)(2) it  
18 talks about petitioners who fail to meet the  
19 requirements. If they have a petition that  
20 doesn't meet the requirements, and so they're  
21 going to be turned down, it basically says that  
22 they're going to be turned down -- this  
23 recommendation for turning them down is going to  
24 be reviewed by the Board, as if the Board is  
25 going to second-guess this in some way. It's



1 already stated they don't meet the requirements  
2 of the petition. That's the basis for turning  
3 them down. We felt like that's a staff function  
4 at this point, and we were -- unless we  
5 misunderstood this.

6 And then in the subparagraph (3) it says HHS  
7 will report the recommended finding and its basis  
8 to the Board. HHS will consider recommendations  
9 of the Board before producing a final decision on  
10 whether or not to select the petition. But we  
11 felt like at that point, we're not creating a new  
12 class. We're just saying somebody -- the  
13 petition didn't meet the requirements. If it  
14 doesn't meet the requirements, why do we need to  
15 even review it?

16 **MR. KATZ:** Right. And the reason that's  
17 there -- and this is a valid issue for comment,  
18 particularly by the Board -- but that's there  
19 because it was our view that claimants would  
20 expect that they would get some sort of hearing  
21 by the Board because the Board's named in  
22 EEOICPA, and so on; that in their cases, then,  
23 for those individuals, if the Board didn't look  
24 at that decision they would feel like, well, I  
25 was supposed to have a chance with the Board, to

1 petition the Board, and in fact I never even --  
2 HHS never let me get to the Board. So that's --  
3 that's why that's there.

4 **DR. ZIEMER:** Well, and perhaps this needs  
5 further discussion, but is it really a petition  
6 to the Board, or is it a petition to HHS?

7 **MR. KATZ:** Well, in the language of EEOICPA,  
8 in effect it's a petition to the Board. It's a  
9 petition to the Board to consider their class, in  
10 effect. But HHS -- there's prerogative here.  
11 HHS is given the role of considering these  
12 petitions to the full Board before advancing them  
13 to the Board, and you could read it to say that  
14 HHS has the right to decide without involving the  
15 Board where it doesn't believe a petition meets  
16 sort of basic requirements for being a valid  
17 petition.

18 **DR. ZIEMER:** I think that was our point, that  
19 -- there's two prongs to this. One is the  
20 petition doesn't meet the requirements, so it's  
21 not going to go any further. The other is the  
22 petition does meet the requirements, and it's  
23 going to move up and has the potential of  
24 becoming a new class, which definitely requires  
25 some Board action.

1           But we just wanted to raise this issue with  
2           the full Board. Our small group felt like the  
3           Board's involvement was too early here. We're  
4           getting more involved in the day-to-day  
5           management of that activity. And we haven't  
6           discussed this with the full Board, but we're  
7           just raising this issue and wanted to get some  
8           feedback.

9           And then in item (4), or item (c), 83.10(c),  
10          NIOSH will present the petitions selected for  
11          evaluation to the Board, with plans specific to  
12          evaluating each petition. What we think is  
13          intended here, and it's not clear, is that it's  
14          petitions that NIOSH intends to evaluate, or  
15          maybe we both are. But this has to do with  
16          informing the Board that here's a petition we  
17          plan to evaluate, and here is the evaluation plan  
18          that we plan to use.

19          Is that correct, Ted?

20          **MR. KATZ:** That's completely correct. So the  
21          next step, after you've decided which petitions  
22          need to be evaluated, is to present those so  
23          you're aware of these are new petitions that are  
24          going to be coming up. You won't be having to  
25          address them at that point --

1           **DR. ZIEMER:** But this evaluation is NIOSH's  
2 evaluation?

3           **MR. KATZ:** NIOSH is the first step, right.  
4 Exactly.

5           **DR. ZIEMER:** It sounds like NIOSH is  
6 presenting this to the Board for evaluation.  
7 It's just a wording --

8           **MR. KATZ:** Okay. It's NIOSH that takes the  
9 first step at --

10          **DR. ZIEMER:** It's just informing us that you  
11 plan to evaluate it, and here's the evaluation --

12          **MR. KATZ:** Right. The Board will be  
13 evaluating it later, too, so it's --

14          **DR. ZIEMER:** Right.

15          **MR. KATZ:** The whole process of evaluation  
16 will have to occur. That's what --

17          **DR. ZIEMER:** We're just asking for clarity  
18 there, so at this step it's the NIOSH evaluation.

19          **DR. MELIUS:** If I read this, I think  
20 literally it says it takes two Board meetings to  
21 get something into an evaluation -- the first  
22 Board meeting for the Board to say go ahead, the  
23 second Board meeting for NIOSH to present its  
24 evaluation plan for the approved petition.

25          **MR. KATZ:** No, because the Board doesn't have

1 to say go ahead. So we will go ahead as soon as  
2 -- as soon as a petition meets, we will be going  
3 ahead. And when the next Board meeting arises,  
4 we will then go -- there'll be a generic plan for  
5 how we evaluate these, but we'll present specific  
6 plans when that Board occurs. But we'll have  
7 gone ahead.

8 **DR. MELIUS:** Okay. I don't think it's  
9 completely clear in here.

10 The other point, I think, going back to the  
11 earlier issue also, is that I think -- maybe this  
12 was my other meeting with you, the stakeholder's  
13 meeting -- but the idea that there's this 30-day  
14 period. If there's something missing in the  
15 application, you'll get back to the -- NIOSH will  
16 get back to the petitioner asking for whatever's  
17 missing, further information and so forth, and  
18 give them time to present that. So then it  
19 should be -- hopefully a lot of this stuff gets  
20 addressed -- either makes it or it doesn't at  
21 that point.

22 **MR. KATZ:** That's right. That's right,  
23 that's not a 30-day period. It's as long as it  
24 takes between us and the petitioners. But we'll  
25 do what we can to help the petitioner do all the

1 petitioner can.

2 **MR. ELLIOTT:** For 83.10(4)(c), we just  
3 thought the Board would want to be -- would want  
4 to have an opportunity to weigh in on the plan,  
5 for a specific plan, the specific petition plan -  
6 -

7 **DR. ZIEMER:** Yeah, I don't think we have  
8 trouble with that. We had more trouble with  
9 trying to figure out whether this was telling  
10 people that the Board is going to do the  
11 evaluation, NIOSH is presenting this to the Board  
12 for evaluation. It's just getting the wording  
13 clear that -- it needs to be will present its  
14 evaluation, NIOSH's evaluation package to the  
15 Board. It's a semantics thing there.

16 And then later there's a Board review  
17 process. NIOSH comes back and says here's our  
18 findings, then we weigh in. And then conceivably  
19 NIOSH could say we turned it down, and the Board  
20 could say, well, we think it should go forward.  
21 Both could turn it down. Both could endorse it.  
22 And then it's reported to the Secretary.

23 Now one question in 83.13, then, is the Board  
24 will review the petition and NIOSH evaluation at  
25 a meeting to which the petitioners are invited.

1 And we're just asking the question at this point,  
2 is it necessary to invite the petitioners to this  
3 meeting? Or does that -- would you only do that  
4 in cases where you thought there was going to be  
5 some really big issue that has -- we're  
6 concerned, particularly if there's 90 cases, that  
7 petitioners are going to want to come and not  
8 just tell you in two minutes what their petition  
9 is.

10 **MR. KATZ:** The petitioner is likely to want  
11 to come if they see our report and the report is  
12 not an affirmative report. They're likely to  
13 want to be able to make a case to the Board. And  
14 since it's the Board they're petitioning, we  
15 thought they should have an opportunity to  
16 actually come before the Board, as opposed to  
17 being kept at, in effect, at arm's length with us  
18 in between.

19 **DR. ANDERSON:** I think our discussion was  
20 more if your recommendation is to accept, then I  
21 think our sense on the Board is why would we  
22 necessarily stand in the way of that? Why would  
23 you ask somebody to come in to make an  
24 impassioned plea when the decision is to move  
25 forward?

1           **MR. KATZ:** Well, in an affirmative case,  
2           they're not likely to -- they don't have a lot of  
3           motivation to come in and make a plea. But I  
4           suppose they could still want to address you.

5           **DR. ANDERSON:** But we could turn down your  
6           proposed --

7           **MR. KATZ:** You could reject our --

8           **DR. MELIUS:** See, I don't think there's a way  
9           of avoiding inviting them.

10          **MR. KATZ:** I just think that's a necessary  
11          element.

12          **DR. MELIUS:** There's also issues of --  
13          remember, it's not just the petition, but it's  
14          also --

15          **DR. ZIEMER:** This is more than inviting.  
16          This is inviting them to present views and  
17          evidence. And suddenly you're going to have  
18          attorneys present, and then the Board's going to  
19          say, well, then do we need attorneys present? It  
20          seems to me that this starts looking more and  
21          more like a formal adjudication process of a  
22          document.

23                 What is the wording that is driving this in  
24          the original -- do you have the original  
25          legislation that says the -- that talks about



1 petitioning the Board versus --

2 **MR. KATZ:** It's actually in the rule.

3 **DR. ZIEMER:** But what are the words?

4 **MR. KATZ:** I have it here. Liz just handed  
5 it to me, so let me just read it to you verbatim.

6 **DR. ZIEMER:** While you're looking at that,  
7 because it's really the Secretary that makes the  
8 decision; the Board does not make a decision.  
9 It's one other piece of information that the  
10 Secretary weighs together with the staff  
11 recommendation. So I would sort of argue, is  
12 that really a petition to the Board if the Board  
13 doesn't make the decision? The Board makes a  
14 recommendation. It looks more like a petition to  
15 the Secretary. Otherwise, the only thing the  
16 Secretary could do is accept that, unless they're  
17 --

18 Sally's got a question, while they're --

19 **MS. GADOLA:** I'm good at complicating things.  
20 I brought this up yesterday, because it also says  
21 in the rule about the silica and about silicosis.  
22 And the way that I read it is that it is also  
23 possible for people that have silicosis to also  
24 petition for a special cohort. I know that the  
25 rest of this all talks about radiation, but when

1       you go right back to the very beginning it says  
2       people that worked with silica and developed  
3       silicosis with the Department of Energy. And so  
4       if there is a special cohort out there, can they  
5       come in? No?

6               **MR. ELLIOTT:** Somebody'd better help me out  
7       here, but I don't believe the Act specifies the  
8       Special Exposure Cohort to include silicosis,  
9       silicosis or beryllium. It's only cancer. It's  
10      radiation injury only. And whatever  
11      Congressional rationale for all of that was, we'd  
12      have to go back to Dave Michaels or Richard  
13      Miller or somebody else. But the Special  
14      Exposure Cohort that's been established is for  
15      radiation injury -- i.e., cancer. Not a  
16      deterministic effect, but stochastic effects.

17              **DR. ANDERSON:** Because it's tied into dose  
18      reconstruction.

19              **MR. ELLIOTT:** That's right.

20              **DR. ANDERSON:** You don't have to do dose  
21      reconstruction for silicosis.

22              **DR. ZIEMER:** Right. And endangered health  
23      for this thing is defined as reasonable  
24      likelihood that radiation dose may have caused a  
25      specified cancer.

1           **MS. GADOLA:** I guess I was reading it when it  
2 talks about the background and the statutory  
3 authority right at the beginning. And when it  
4 talks about that it was established benefits as  
5 compensation to covered employees suffering from  
6 designated illnesses occurred as a result of  
7 their exposure to radiation, beryllium, or silica  
8 while in the performance of duty for the  
9 Department of Energy.

10           **MR. ELLIOTT:** But that is referring to the  
11 Act itself, not to the Special Exposure Cohort.  
12 That's the background on why the Act -- that's  
13 the enabling legislation.

14           **MS. GADOLA:** And they did establish one  
15 special cohort.

16           **MR. ELLIOTT:** There's only one Special  
17 Exposure Cohort. That's it. One. And we're  
18 talking about adding classes to that Special  
19 Exposure Cohort, and those classes have to have  
20 had their health endangered by radiation exposure  
21 where we cannot do a dose reconstruction. Simply  
22 put, that's where we're bound by the Act.

23           **MS. GADOLA:** Okay. I just wanted to have it  
24 clarified again.

25           **MR. ELLIOTT:** If I can, I think Liz has

1 pointed out -- this may be what they're  
2 discussing back there -- but of the Act, this is  
3 the EEOICPA Act, Section 36.26, Designation of  
4 Additional Members of the Special Exposure  
5 Cohort, (a), subsection (a), Advice on Additional  
6 Members:

7 (Reading) The Advisory Board on Radiation and  
8 Worker Health under Section 36.24 shall advise  
9 the President whether there is a class of  
10 employees at any Department of Energy facility  
11 who likely were exposed to radiation at that  
12 facility, but for whom it is not feasible to  
13 estimate with sufficient accuracy the radiation  
14 dose they received.

15 So Ted, is that where you're --

16 **MR. KATZ:** Here it is. And it's the way it's  
17 written, it's tucked under, so you have to refer  
18 to another paragraph to know what they're talking  
19 about. But in paragraph 3(1) it says:

20 (Reading) The President shall request advice  
21 under paragraph 1 -- that's what I think you were  
22 reading -- after consideration of petitions by  
23 classes of employees described in that paragraph  
24 for such advice.

25 So petitioners are petitioning for advice by

1 the Board. That's what their petition is for,  
2 advice for their -- they want the Board to advise  
3 the President about a class of employees. Does  
4 that -- it is actually straightforward, except  
5 it's not written neatly.

6 **MR. ELLIOTT:** And the President has delegated  
7 that duty to the Secretary of HHS.

8 **DR. MELIUS:** Does that explain this  
9 appearance and present evidence portion of it?  
10 That's my -- I think that's our question. It's  
11 not -- that actually sounds to me --

12 **DR. ZIEMER:** My question had to do with who  
13 is the petition to.

14 **DR. MELIUS:** Right.

15 **DR. ZIEMER:** That's your point, too, then.  
16 The President shall request advice under  
17 paragraph 1 after consideration of petitions --  
18 this is the President after consideration of  
19 petitions, but now HHS Secretary becomes the  
20 surrogate for the President, so he's considering  
21 the petitions in that paragraph.

22 **DR. ANDERSON:** Asking for advice.

23 **MR. PRESLEY:** But would they not come before  
24 the Board and present their case, and then we  
25 would be the ones to go back to the Secretary of

1 Health and Human Services with advice on who?  
2 That's the way I understand it.

3 DR. ZIEMER: Well, I don't know if we can --  
4 I think the staff has interpreted this to mean  
5 that the petitions come to the Board.

6 MR. KATZ: The petitions are addressed to the  
7 Board, in effect. By this language --

8 DR. ZIEMER: By this language.

9 MR. KATZ: Yes.

10 DR. ZIEMER: In the law.

11 MR. KATZ: Right.

12 DR. ZIEMER: Yeah. I think I'm asking  
13 whether -- I think it could easily be interpreted  
14 differently than that.

15 The Advisory Board advises the President --  
16 i.e., the Secretary of Health and Human Services  
17 -- whether there's a class of employees for whom  
18 it's not feasible to estimate dose. The advice  
19 of the Advisory Board shall be based on exposure  
20 assessment by health professionals, and so on.  
21 And the President shall request advice after  
22 consideration of petitions. It doesn't say  
23 petitions to whom, but it does say petitions by  
24 classes of employees in that paragraph.

25 MR. KATZ: It's petitions for such advice,

1 and the advice is coming from the Board, so it's  
2 for Board advice. This is what these are  
3 petitions for, for Board advice.

4 **DR. ZIEMER:** I don't see where you're linking  
5 that.

6 **MR. KATZ:** It's the rest of that sentence.  
7 After consideration of petitions by classes of  
8 employees described in that paragraph for such  
9 advice, the last three words of that sentence.

10 **DR. ZIEMER:** Shall request advice under  
11 paragraph 1?

12 **DR. ANDERSON:** A mistake has been made.  
13 (Laughter)

14 **MR. GRIFFON:** Yes, we're here.

15 **UNIDENTIFIED:** About those submissions for  
16 extension of term.

17 (Laughter)

18 **UNIDENTIFIED:** You want to back down now?

19 **DR. ANDERSON:** August 4th is looking real  
20 good.

21 **MR. ELLIOTT:** I sense the Board interest to  
22 get out of a little work here. Welcome to my  
23 world.

24 (Laughter)

25 **DR. MELIUS:** But don't worry, Larry, you'll

1 suffer under this one, too.

2 DR. ZIEMER: To me, this wording is not at  
3 all clear cut, but I think --

4 MR. PRESLEY: Let Mary speak.

5 MS. ARMSTRONG: As I understand it, the  
6 concern is having a Board meeting turn into a  
7 hearing.

8 DR. ZIEMER: Is the petitioner really  
9 petitioning the Board, or is the petitioner  
10 petitioning the Health and Human Services  
11 Secretary? Because that is the person who makes  
12 the decision, based on advice from (inaudible).

13 MS. ARMSTRONG: The Secretary -- and I'm just  
14 saying he at this point because the Secretary is  
15 a he at this point -- makes the final  
16 determination. That's clear from the statute.  
17 It says that the Secretary determines upon advice  
18 of the Board. At this point we have it set up  
19 that, because of the wording in the statute, that  
20 the petition is for a petition for that process  
21 to begin, including the petition to the Board for  
22 that advice.

23 Your concern is you don't want this Board  
24 meeting turning into a hearing. These Board  
25 meetings are public. There's always going to be



1 -- the petitioner, if they want to sit in the  
2 audience and make their public comment, that's  
3 what FACA is. These are public meetings. If  
4 there's a concern that we're going to have a  
5 trial type hearing at these particular meetings,  
6 we can take a look at this and try to make sure  
7 that this is a determination based on a written  
8 record with an opportunity for a public comment  
9 period, but not necessarily a representation and  
10 hearings and witnesses, et cetera.

11 Is that what the concern is, basically?

12 (Affirmative nods)

13 **DR. ZIEMER:** Actually, what our subcommittee  
14 -- and again, we're just raising this to the full  
15 Board as to what our -- our concern was really  
16 with the paragraph that says that petitioners are  
17 going to be invited to present views and evidence  
18 at a Board meeting.

19 **MS. ARMSTRONG:** And what you, I think, were  
20 wanting is that all evidence be presented to the  
21 Agency at the time the petition is made, and that  
22 you all will be able to make your recommendations  
23 based upon whatever has been presented to the  
24 Agency. Is that basically --

25 **DR. ZIEMER:** Well, I'm not even sure we got

1           that far. We really were concerned about the  
2           implications of this, because it starts to look  
3           like an adjudicatory hearing.

4           **MS. ARMSTRONG:** A hearing, or a trial-type  
5           hearing.

6           **DR. ZIEMER:** And maybe the intent there was  
7           simply that this is going to be on the docket for  
8           that meeting, and that you're invited to attend  
9           and listen to the deliberations and whatever.  
10          The wording in here looks very much like it's a  
11          formal hearing because it talks about presenting  
12          evidence and so forth.

13          **MS. ARMSTRONG:** Okay.

14          **DR. ZIEMER:** We're only raising it today as a  
15          concern. We don't have a proposed solution, but  
16          I think we would like to think about it and maybe  
17          have the staff --

18          **MS. ARMSTRONG:** And have us think about it,  
19          too.

20          **DR. ZIEMER:** I don't think the issue of who  
21          to petition; that's sort of secondary.

22          **MS. ARMSTRONG:** As much as how the hearing or  
23          how the Board's consideration --

24          **DR. ZIEMER:** (Inaudible) -- the issue remains  
25          the same. Does our thing become a formal

1 hearing?

2 **MS. ARMSTRONG:** Right. Okay. And I think  
3 that would --

4 **DR. ZIEMER:** If we can find words to take  
5 care of that, at least for our subgroup that was  
6 what our concern was.

7 **MS. ARMSTRONG:** And I guess I should identify  
8 myself for the record. I'm Mary Armstrong. I'm  
9 the senior attorney for NIOSH.

10 **DR. ZIEMER:** And our concern is not so much  
11 getting out of work, as much as it is when -- for  
12 example, it was suggested there might be 90 such  
13 petitions. And we're going to have a hearing  
14 that takes less than an hour, there's 90 hours.  
15 Well, let's see, that's only about ten days a  
16 year out of -- that's about how many days we'll  
17 meet this year.

18 **MS. ARMSTRONG:** Right. Right. I can  
19 understand the concern, and I think we need to  
20 look at how this is structured.

21 **DR. ZIEMER:** And then -- let's see. Well, I  
22 think that took care of sort of the major things  
23 we were wrestling with. There are probably some  
24 other details, but I'm going to suggest to the  
25 Board that if it's agreeable we'll ask the four

1 individuals -- and I'll take the lead in this --  
2 to put some of this stuff in more formal words  
3 for our next meeting, and we'll work amongst  
4 ourselves and then prepare a straw man, if that's  
5 agreeable, with any other input that --

6 **DR. ANDERSON:** Yeah.

7 **DR. MELIUS:** Yeah. Who should we get that  
8 input to, that's my question.

9 **DR. ZIEMER:** Me.

10 **DR. MELIUS:** Okay.

11 **MR. GRIFFON:** I was just going to ask --

12 **DR. ZIEMER:** I don't want to volunteer Tony.

13 **MR. GRIFFON:** I was going to ask if -- it was  
14 a working group, so maybe minutes of your -- did  
15 you take minutes?

16 **DR. ZIEMER:** It was really an ad hoc --

17 **MR. GRIFFON:** It was ad hoc, okay.

18 **DR. ZIEMER:** -- group. But we can formalize  
19 it, I think, if that's necessary. I'll simply  
20 exercise the prerogative to appoint this as a  
21 working group. And it's Henry and Wanda and Tony  
22 and me. We can probably add another person if  
23 somebody wants to be involved -- okay, and Sally  
24 -- and we'll work up some straw man words for the  
25 next meeting.

1           **MR. GRIFFON:** Did you consider other issues,  
2 particularly one of my favorite issues that I've  
3 been talking to Jim Neton to some extent on, with  
4 sufficient accuracy and how that was handled.  
5 And also definitions of feasibility. I don't  
6 know if you got around to discussing those.

7           **DR. ZIEMER:** We didn't.

8           **MR. GRIFFON:** I know we brought them up as  
9 issues.

10          **DR. ZIEMER:** And if there are particular  
11 places -- what we're trying to do is say where  
12 would you put some of these things, and what  
13 would you say. And if you have suggestions --  
14 insert the following -- we can add that. Thank  
15 you.

16          **MR. ELLIOTT:** I'd just remind, as a working  
17 group, whatever your deliberations come to be and  
18 you exchange those, we can do that on the web  
19 site because we have to make that public.

20          **DR. ZIEMER:** Right.

21          **MR. ELLIOTT:** So keep that in mind.

22          **DR. ZIEMER:** So I'll copy you on anything  
23 that we send out.

24                 Now let's -- do we need a break yet?

25          **UNIDENTIFIED:** Yes, we're over.

1           **DR. ZIEMER:** Oh, we do. Can we make this  
2 break fairly fast?

3           How long will your report take, Mark?

4           **MR. GRIFFON:** I hope not long. It's similar  
5 to the presentation, so we just refined some  
6 language around those four major --

7           **DR. ZIEMER:** We don't need final action  
8 today, or do we?

9           **MR. GRIFFON:** No. We did word it in a formal  
10 recommendation, but we wanted to do our follow-up  
11 with NIOSH.

12           **DR. ZIEMER:** Let's take ten, and then we'll  
13 reconvene.

14           (Whereupon, a break was taken at 3:22 p.m.)

15                               - - -

16           **DR. ZIEMER:** Brian Thomas has some additional  
17 information, I think, on why -- perhaps it's why  
18 disks cannot be made available.

19           Is that a good way to put it, Brian?

20           **MR. THOMAS:** I grabbed my laptop computer  
21 after this whole thing came up just a little  
22 while ago, and I was trying to look at the  
23 feasibility of putting some tables on line and  
24 trying to answer some of the questions that Mark  
25 had. What Mark was saying, that he liked the CD

1 version because it provides all the data at once  
2 without having to select different cancer types  
3 and ages at exposure. And in fact, the CD  
4 version doesn't do that. We're kind of limited  
5 by the same sorts of things that we have on the  
6 web now. Let me bring it up.

7 We had thought at some point that we'd like  
8 to have printed tables, printed tables had been  
9 requested of us. And at that point we got to  
10 thinking about how in the world could that  
11 happen, because what we're talking about here is  
12 three and four-dimensional tables. There's just  
13 lots of data. That was one of the main reasons  
14 we went away from the look-up tables that they  
15 did back in 1985, because now this thing is so  
16 much more complex. And let me show you what I  
17 mean.

18 I had showed you this earlier, the way the  
19 different cancers are grouped, but let's just  
20 look at this again. Group one cancers, the data  
21 here is a function of age at exposure, and  
22 there's 70 of those; so just imagine now in Excel  
23 you have 70 rows. Attained age, we now take  
24 those up, I think, to 80, and so there's 80. So  
25 you've got 70 by 80, that sounds simple.

1           But then you've got all the uncertainty. And  
2           so if you put at the very minimum five of the  
3           percentiles -- the 1st, 5th, 50th, 95th, and 99th  
4           -- then that's five more tables just like that.  
5           And on top of that, we have gender. And so just  
6           immediately, with all the group one cancers and  
7           most all of the group two cancers, you have four  
8           dimensions to try to print out or to provide on  
9           the web. Group three cancers, some of those are  
10          a little simpler and could be on one page.

11          But that's the reason that we had gone with  
12          the approach that we have on the web now, which  
13          is doing a calculation for one age at exposure  
14          and one time since exposure, and it provides all  
15          your uncertainty with it. Now, what the web  
16          version or what this version does, what we looked  
17          at before is that it brings it in still just for  
18          one age at exposure, time since exposure,  
19          whatever's selected on that main screen is all we  
20          see here in this column.

21          And so I'm sensing what Mark's question is  
22          here -- and so I'm going to go right back to that  
23          main data real quickly -- and he's thinking what  
24          about this 101 values? It's really simple there.  
25          And in effect, it is. But you notice there there



1 is no attained age effect, there's no age at  
2 exposure there yet. That's a multiplicative  
3 factor. It's another uncertain factor that we  
4 apply after this point.

5 And so these values could easily be provided,  
6 but then there would need to be this  
7 multiplication of the additional factor in some  
8 cases. And where to apply that and what that  
9 factor is is discussed in that PDF file that  
10 comes along with this.

11 **MR. GRIFFON:** Can I just -- is that the --  
12 that would be the newly-analyzed Thompson data?

13 **MR. THOMAS:** Yes.

14 **MR. GRIFFON:** I think, for me, that's useful,  
15 too. Also, I guess I'm thinking back to 2.1,  
16 you're saying that in those cases the tables were  
17 constructed differently, so therefore you had --  
18 I think you had tables going across for attained  
19 age, or for age at exposure versus your --

20 **MR. THOMAS:** That's right. For a number of  
21 the cancer types in version 2.1, the way we  
22 handled attained age and age at exposure was  
23 different. And so these tables did include all  
24 the information. And one of the nice things I  
25 had mentioned about Analytica is the way that it

1 handles multi-dimensional arrays. But that's  
2 hard to print that out. It's hard to visualize  
3 four dimensions for someone.

4 So anyway, that was my only comment. We can  
5 now --

6 **MR. GRIFFON:** That data right there would  
7 satisfy my need. I think that data, along with  
8 the PDF document describing the equations and the  
9 age-dependency on those various equations for  
10 cancer groups, you can get from the beginning  
11 point to your endpoint. So that would suffice  
12 what I was requesting.

13 **MR. THOMAS:** Okay. And so maybe what we  
14 could do instead of the 101 values there --  
15 because what we'd have to do with that as well is  
16 provide you with the 101 probabilities that went  
17 along with it -- but perhaps we could provide a  
18 smaller number of those. And then with that  
19 information, plus what you'd have with that PDF  
20 file, you could essentially work through the  
21 calculation yourself.

22 **DR. ZIEMER:** Well, let me suggest that  
23 perhaps you folks can discuss that further, and  
24 if others want copies they can work on that or  
25 talk to you about it.

1           Thank you very much.

2           **MR. THOMAS:**   Sure.

3           **DR. ZIEMER:**   I'd like to ask Mark Griffon now  
4           to present the status of your recommendations  
5           from the working group.

6           **MR. GRIFFON:**   I think we worked on this  
7           yesterday afternoon in our working group. And we  
8           tried to put -- this is again a straw man of some  
9           recommendations of what I presented in the  
10          morning yesterday, and basically broke up into  
11          three groups: the independent panel, this notion  
12          of forming the independent panel; the case  
13          selection; and then the scope of work for the  
14          panel.

15          First, the working group recommends having a  
16          review panel with independent experts, along with  
17          Board representation and Board oversight. That's  
18          exactly as we stated yesterday in the  
19          presentation. The working group proposes that  
20          the panel be comprised of two groups, each  
21          consisting of one expert -- parentheses,  
22          contractor -- and two Board members. And in  
23          addition to that, we're recommending four to six  
24          experts in total be identified so that they're  
25          available on an as-needed basis.

1           The reason for that is we're envisioning --  
2           and if I get this wrong from the rest of the  
3           group, please chime in -- but we envisioned we  
4           might need to rotate subgroups. We might need  
5           certain expertise at certain sites or certain --  
6           for example, like accelerator exposures or  
7           something like that. So you may have to rotate  
8           these experts on these two groups.

9           And the reason for the two groups, at least  
10          initially, we felt we've got to start at least  
11          with two groups just to be able to scale up for  
12          the number of cases we're going to be reviewing.  
13          And we may need more, but we also recognize the  
14          total pool that we may have to work from for  
15          experts may be limited. So we have -- that's  
16          where we came out on those numbers. And again,  
17          this being a draft.

18          Why don't I go through it all, then people  
19          can comment on it and give us --

20          The groups within the -- this is as mentioned  
21          yesterday -- the groups within the panel would  
22          work separately, but as a control we'd give the  
23          same case to both groups and see how they came  
24          out on it -- hopefully they came out the same --  
25          for quality control purpose.

1           Case selection was the next topic we tried to  
2 cover. The workgroup recommends that the Board  
3 should select the cases for review. Again, that  
4 was in the presentation yesterday. The workgroup  
5 recommends a stratified sampling of cases based  
6 on the following parameters:

7           The site -- and when we said by site, we do  
8 say weighted based on number of claims per site.  
9 And we also felt that we might -- we want to  
10 revisit this a little bit, because we didn't know  
11 the distribution by sites. We didn't have that  
12 data with us yesterday to look at. But at least  
13 some parameter based on site, we thought was  
14 important. Some percentage of the awarded claims  
15 -- that's awarded claims; some percentage of  
16 denied claims; some percentage of the cases for  
17 which the dose could not be reconstructed, as  
18 well.

19           And I just wanted to mention one thing we did  
20 consider initially was -- and I think Henry  
21 brought it up yesterday -- was the idea of having  
22 some sort of appeals process. And if people  
23 appeal their dose reconstruction, then we might  
24 sample a group, might sample from that group of  
25 people that appealed.

1           Larry met with us yesterday about -- that  
2           basically reviewing appeals was not a good idea  
3           because it's getting into the adjudication  
4           process, right. However -- and it's not in our  
5           parameters here, but I'm just throwing out there;  
6           it's something we discussed, and I still feel  
7           like we might want to consider it -- is if we had  
8           a group of the appeals pooled and we sampled them  
9           on a deidentified basis, it might be a parameter  
10          we might want to sample from. And I don't know  
11          if that steps over that line, and I would ask for  
12          advice on that. But it's something we discussed.  
13          It didn't make our recommendation here, but it's  
14          something that I was interested in and just  
15          wanted to throw it out there for discussion  
16          possibly.

17          The workgroup also recommended that the first  
18          ten cases which are completed be assessed by the  
19          panel. Part of this was we understand, or at  
20          least we get the sense, that the first ten cases  
21          that are completed are likely to be awarded, and  
22          probably low-hanging fruit, if I can use that  
23          term. But we thought it might be beneficial at  
24          least to get the independent panel, their feet  
25          wet on what these cases are going to look like,

1       how much time may be involved.  Although these  
2       may be simpler cases, it was a starting point to  
3       get the panel engaged on these cases.  So that  
4       was a recommendation.

5               Finally, the scope and protocol.  The  
6       workgroup recommends that the Board establish the  
7       scope of work and the protocols for the panel.  
8       The workgroup recommends that the scope include  
9       the following:

10              One -- and this was not in our presentation  
11       yesterday, but it came from comments -- the panel  
12       should assess the methods for dose  
13       reconstructions.  And that comes from the statute  
14       where there were actually two items, two tasks.

15              Second, the panel should determine whether or  
16       not the dose reconstruction -- or the  
17       reconstruction of the dose provides a reasonable  
18       estimate of the dose, at least as needed to  
19       determine eligibility.

20              Three, the panel should determine whether or  
21       not the assumptions, individual case assumptions  
22       or assumptions applicable to multiple cases, made  
23       for the dose reconstruction are credible.

24              And finally, the panel should determine  
25       whether or not the data from DOE or other source

1 is of sufficient quality necessary to obtain a  
2 reasonable estimate of dose. All right.

3 And I think that's it. That's what we boiled  
4 things down to as a start of the recommendation  
5 for this.

6 **DR. ZIEMER:** This recommendation, in essence,  
7 comes to the full Board as a recommended  
8 procedure for the Board to use in going forward.  
9 Keep in mind that if it is adopted it can be  
10 modified at any time. This is not set in stone  
11 forever. It could be viewed as a starting  
12 procedure, that we would expect as we gained  
13 experience to modify, add to, change, and so on.

14 Further, this is not a recommendation to the  
15 Secretary or anything like that. This is an  
16 internal document.

17 **MR. GRIFFON:** We feel --

18 **DR. ZIEMER:** The existence of a procedure to  
19 do this could, of course, be reported to the  
20 Secretary as part of our ongoing work, and the  
21 fact that this is being done.

22 But I guess what I would ask the Board today  
23 is are you ready to adopt this now, or do you  
24 feel like you need more time to look at it, again  
25 keeping in mind you could adopt this today and



1 change it at the next meeting, or modify it?

2 This is not a once for all thing.

3 **DR. MELIUS:** I would suggest that we do adopt  
4 it, recognizing that there will be some changes  
5 along the way. At the next meeting the workgroup  
6 is going to be going over some of the records,  
7 and may deal with some of the procedural issues  
8 in more detail and so forth. But at the same  
9 time I think, since some outside consultants need  
10 to be hired and we know that's going to take some  
11 time, that we get started on this.

12 So I really think we should try to adopt  
13 these recommendations at this meeting so that we  
14 can at least get that part of the process going,  
15 have a basic understanding of the parameters of  
16 the review, and so through the August meeting  
17 we'll be able to get underway a little bit more  
18 with this process.

19 **DR. ZIEMER:** Thank you.

20 Wanda.

21 **MS. MUNN:** I guess I'm not really wild about  
22 what we're seeing here. I think an objective  
23 reader could probably, with appropriate selection  
24 of a few numbers, work into two FTEs for the next  
25 year, given this. And maybe that's a part of the

1 objective. I don't know.

2 I'm really concerned, first of all, that any,  
3 for example, search for outside consultants has  
4 to come from somewhere. Whether this Board is  
5 expected to do this or whether this is going to  
6 fall on staff again, while they're out there  
7 trying to expedite all this other stuff that  
8 we're asking them to do, go out and also do a  
9 worldwide search for the appropriate experts to  
10 fit on here.

11 And I had thought that our earlier  
12 discussions had focused around the possibility of  
13 a very small number of cases being overviewed,  
14 with perhaps a couple of experts and possibly one  
15 member of this Board. I was a little surprised  
16 to see two Board members and a hired gun being  
17 proposed.

18 I understand -- I think I understand -- what  
19 the workgroup is trying to do here. But I really  
20 have to express some reservations about the  
21 extent of what I think I see here.

22 **DR. ZIEMER:** Larry, do you want to comment on  
23 that?

24 And Mark, you may wish to respond.

25 **MR. ELLIOTT:** I appreciate your comments,

1 Wanda, but I am very pleased to see this. I  
2 think that we need to have this, because it falls  
3 upon us at NIOSH to put in place the support to  
4 the Board and these contractors. And the sooner  
5 we can get started on that, the sooner the Board  
6 can start its review of dose reconstructions.  
7 And I don't see that's an inordinate amount of  
8 resources that's being requested here. I think  
9 it's an appropriate amount at this time, and  
10 certainly can be modified as we go forward, as  
11 needed.

12 I would also like to make sure that you  
13 understand that the first ten cases that are  
14 going to be completed that we're working on now,  
15 they are the low-hanging fruit, but they're both  
16 extremes. So the first ten are going to  
17 represent awards and denials -- we think. We  
18 think --

19 **UNIDENTIFIED:** Parenthetically, it might be  
20 the easier ones, then, right?

21 **MR. ELLIOTT:** We think. We don't know how  
22 they're all going to shake out, and which of the  
23 first ten is going to be really representative.  
24 But we're working on those that we think are  
25 going to be awards, or compensable and non-

1       compensable cases.

2               And the last thing I'd like to comment on is  
3       your -- what didn't make this list. I would just  
4       ask you -- I know the workgroup took to heart  
5       what Mary had to say. And I would point to the  
6       fact that you are looking at denied cases, and in  
7       those denied cases you are going to see some that  
8       represent those that go forward for appeals.  
9       That, I think, should be sufficient to attend to  
10      your interest about what an appealed denial looks  
11      like versus a denial that somebody just said,  
12      okay, I accept it. So I would ask you to make  
13      sure you consider Mary's advice and counsel on --

14              **MR. GRIFFON:** Well, I actually think we, as a  
15      group, I think the majority was in that opinion.  
16      And that's why I presented it kind of as a  
17      minority. And I'm not sure where I come down on  
18      it yet. I just wanted to leave it on the table a  
19      little bit, and partially because -- Henry  
20      introduced that concept, so it did come up as a  
21      comment yesterday from the Board, and so I didn't  
22      want to just rule it out from there.

23              Also partially because I felt like maybe that  
24      was at least some indirect way that we were  
25      paying attention to those that did appeal the

1 process, without stepping over the bounds of the  
2 adjudicatory process. That was another thought  
3 in my mind, was that it was a way -- while we are  
4 sampling from -- we may not be -- denials, but if  
5 we could say we were sampling from appeals that  
6 may still not satisfy that individual that  
7 appealed, because we may not get his or her case.  
8 But it was sort of one way to pay attention  
9 specifically to that subset of denials. I hear  
10 what you're saying, but --

11 The other thing I wanted to respond to was --  
12 well, two things. One, I think that I just want  
13 clarification. I think Wanda's question about  
14 who is going to find these experts, and we have  
15 been going around on this, and who are going to  
16 be the available pool of experts that can do this  
17 work. But I think that the Board -- it is a  
18 Board task to identify the experts. It's NIOSH's  
19 role to contract with them, certainly. But I  
20 think if this panel's to have independent  
21 expertise to review NIOSH, I think we have to  
22 make sure that these are our picks, the Board's  
23 picks. I think that's a very important  
24 distinction in defining independence for this  
25 panel. I'll leave it at that.

1           Then the other question about the amount of  
2           work and the two full-time equivalents, Wanda, we  
3           specifically -- because we had this discussion,  
4           too. And part of the reason we left out in  
5           yesterday's presentation, I put down a tiered  
6           approach of different levels at which we might  
7           review cases. And we just said, geez, at that  
8           third level, the most in-depth level, it's  
9           getting into a lot of work. And before we can  
10          even get down into those kind of protocols, we  
11          thought it wise to go to NIOSH and review some  
12          real cases and see actually what the magnitude of  
13          what we're asking for is.

14          So I thought that we tried to stick to the  
15          broad scope in protocol rather than -- but we  
16          still want to define, and that's where this would  
17          just be a first draft of a procedure or  
18          something, but we want to further define  
19          protocols. And then I think the Board will  
20          respond to those protocols as well.

21               **DR. ZIEMER:** Mark, in presenting this you  
22          didn't explicitly recommend its adoption. But I  
23          think that was implied in the presentation, and  
24          since this is a subgroup of the Board that's  
25          recommending its adoption that becomes an

1 official motion. I'm going to consider it as  
2 such.

3 It doesn't require a second, since it's from  
4 an official body of the Board. And we've already  
5 had some discussion, but adoption of this  
6 protocol as a procedure for moving forward is  
7 officially on the table for discussion.

8 Further -- Jim.

9 **DR. MELIUS:** I have another plan. It's not  
10 directly relevant to -- concerning the motion.  
11 So we can either do it now or do it later, but  
12 one -- so stop me if you want to, into this. It  
13 shouldn't take long.

14 One way around this dilemma, this getting  
15 involved in an appeals process and so forth, is  
16 that there's certainly also -- there's a back and  
17 forth that goes on between NIOSH and the claimant  
18 during the dose reconstruction process. And  
19 there'd be awareness on the part of the NIOSH  
20 staff that there's some dispute over some of the  
21 factual information, or there may be a  
22 particularly difficult technical issue involved  
23 in the dose reconstruction or whatever.

24 It would seem to me that there should be a  
25 way for NIOSH to refer some of these issues into

1 this review Board group to look at in a way that  
2 would address these, short of the appeals process  
3 and staying out of that appeals process. And I  
4 think that may be a way of also helping with the  
5 credibility of the process. Because if there is  
6 this kind of issue that's in dispute, or sort of  
7 new area or whatever, conflicting approaches or  
8 whatever, that having -- the Board having  
9 reviewed it as part of the process, I think, may  
10 be helpful.

11 And I'd like -- I guess I would request that  
12 Larry and Jim and other people sort of explore  
13 ways of doing that, again keeping us out of the  
14 appeals process.

15 **DR. ZIEMER:** Right, you want to be sure that  
16 we're simply reviewing the process, and not part  
17 of the process.

18 **MR. ELLIOTT:** I guess that would be my  
19 concern. I appreciate your comment, Jim, and I  
20 think it merits our consideration and discussion.  
21 But we do want to do that. You're to review  
22 completed dose reconstructions. And I don't know  
23 if that really -- we need to talk about that. We  
24 need to get general counsel's advice on that as  
25 well.



1           **DR. ZIEMER:** Well, again, as experience is  
2           gained, we'll have some further insights.

3           **DR. NETON:** I would point out, in a random  
4           sampling process you're going to run across  
5           these, I guess what you'd consider contentious  
6           dose reconstructions, because the administrative  
7           record that is associated with all of these cases  
8           has every single piece of correspondence and  
9           transmittal and whatever we've done in that  
10          administrative record. So you will, on a random  
11          basis at least, tend to run into these cases in  
12          your sampling.

13          **DR. MELIUS:** I guess it's when they're  
14          contentious in a technical way or something, not  
15          as -- as opposed to -- I think that's what we're  
16          trying to get at, process for you to access us,  
17          because those are the ones where the credibility  
18          of the process is more at stake than -- if  
19          somebody's going to appeal --

20          **DR. ZIEMER:** Well, and there may be issues  
21          that can be brought to the Board in a generic  
22          fashion that are triggered by a particular --

23          **DR. MELIUS:** Right.

24          **MR. ELLIOTT:** It may not be claim-specific,  
25          but methodologic issue-specific.

1           **DR. MELIUS:** Yeah.

2           **MR. ELLIOTT:** Maybe that's the way to get at.  
3 But it's something we need -- we certainly should  
4 look at, and I agree. But I'm worried about --  
5 we can't violate this what we consider to be the  
6 development of the claim and the administrative  
7 record that goes forward, and that's what you  
8 need to review as a completed dose  
9 reconstruction.

10          **DR. ZIEMER:** Roy has a comment.

11          **DR. DEHART:** Can I call for the vote? I'm  
12 having to leave.

13          **DR. ZIEMER:** Yeah. The question's been  
14 called for. I'm going to take that as an  
15 informal call for the question.

16          **DR. DEHART:** Yes, it is.

17          **DR. ZIEMER:** We're not going to vote on  
18 limiting debate.

19           All who favor adopting this procedure, say  
20 aye.

21           (Ayes respond)

22          **DR. ZIEMER:** All opposed, say no.

23           (No response)

24          **DR. ZIEMER:** The procedure is adopted.

25           Thank you very much, Mark, and the working

1 group for that.

2 **MR. ELLIOTT:** If I could make one more  
3 comment, and that is the surrounding -- I  
4 appreciate the Board's need to be independent and  
5 identify, but it's a procurement issue. So we're  
6 going to have to work together on how we put that  
7 in place. There are certain ways we can do sole  
8 source, and there's certain ways we can't do sole  
9 source. We also have to wait and see what this  
10 pool of available remaining experts looks like.

11 **DR. ZIEMER:** As the Chair packs up his things  
12 to catch a plane, I'm going to ask for a motion  
13 to adjourn.

14 **MR. GRIFFON:** Motion to adjourn.

15 **DR. MELIUS:** We all want to spend time  
16 discussing that.

17 (Laughter)

18 **DR. ZIEMER:** All in favor will head out.

19 (Whereupon, the meeting was adjourned at  
20 3:58 p.m.)

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C E R T I F I C A T E

STATE OF GEORGIA )  
 )  
COUNTY OF DEKALB )

I, KIM S. NEWSOM, being a Certified Court Reporter in and for the State of Georgia, do hereby certify that the foregoing transcript, consisting of 270 pages, was reduced to typewriting by me personally or under my direct supervision, and is a true, complete, and correct transcript of the aforesaid proceedings reported by me.

I further certify that I am not related to, employed by, counsel to, or attorney for any parties, attorneys, or counsel involved herein; nor am I financially interested in this matter.

WITNESS MY HAND AND OFFICIAL SEAL this 23<sup>rd</sup> day of July, 2002.

\_\_\_\_\_  
KIM S. NEWSOM, CCR-CVR  
CCR No. B-1642

(SEAL)